

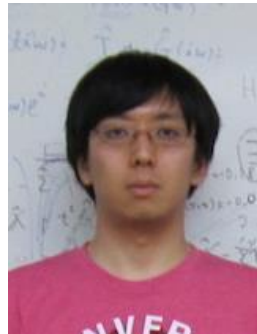
# Phase diagram of the two-dimensional Hubbard-Holstein model

Natanael C Costa<sup>1,2</sup>, Kazuhiro Seki<sup>3</sup>, Seiji Yunoki<sup>3,4,5</sup>, Sandro Sorella<sup>1</sup>

<sup>1</sup> International School for Advanced Studies (SISSA), <sup>2</sup> Universidade Federal do Rio de Janeiro (UFRJ), <sup>3</sup> RIKEN Center for Emergent Matter Science, <sup>4</sup> RIKEN Center for Computational Science, <sup>5</sup> RIKEN Cluster for Pioneering Research



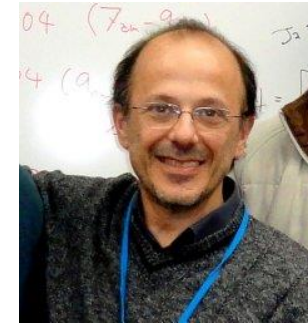
N. Costa



K. Seki



S. Yunoki



S. Sorella



UNIVERSIDADE FEDERAL  
DO RIO DE JANEIRO



# Outline

- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks

# Outline



- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks

# Introduction

## Electron-phonon Interaction

### Conventional Superconductivity

PHYSICAL REVIEW

VOLUME 108, NUMBER 5

DECEMBER 1, 1957

### Theory of Superconductivity\*

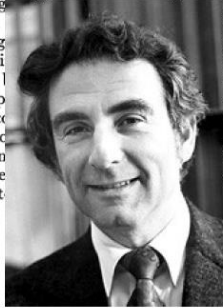
J. BARDEEN, L. N. COOPER,<sup>†</sup> AND J. R. SCHRIEFFER,<sup>‡</sup>  
*Department of Physics, University of Illinois, Urbana, Illinois*  
(Received July 8, 1957)

A theory of superconductivity is presented, based on the fact that the interaction between electrons resulting from virtual exchange of phonons with energy less than  $\hbar\omega$ . In this attractive Coulomb interaction is formed from individual-pair states in which electron and momentum amount proportional to isotope effect.

one-to-one correspondence with those of the normal phase is obtained by specifying occupation of certain Bloch states and by the energy of the pair to form a pair. The theory predicts a transition and specific heats at  $T_c$  are in good agreement with experimental data. Calculated specific heats at  $T_c$  are in good agreement with experimental data. There is a decrease in the specific heat at  $T_c$  of order  $1.5kT_c$  at  $T_c$ . The single-particle wave function calculations of the excited-state transition expansion.



John Bardeen



Leon Neil Cooper



John Robert Schrieffer



# Introduction

## Peierls Instability



The standard explanation for charge-density wave (CDW) formation

“Recipe” for CDW ...

- Fermi Surface Nesting (FSN);
- Create an electronic instability (or a lattice distortion)

# Introduction

## Peierls Instability



The standard explanation for charge-density wave (CDW) formation

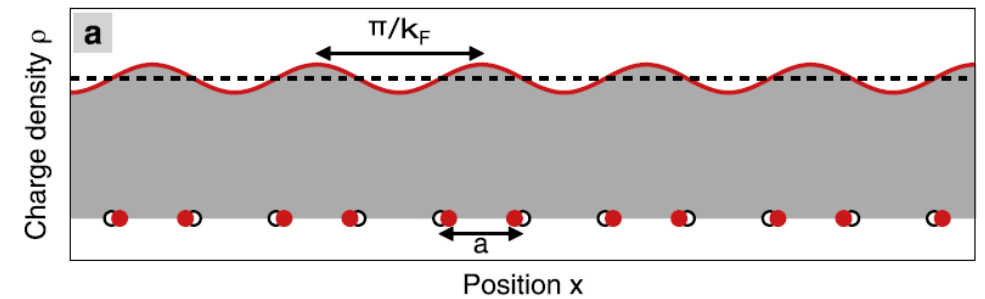
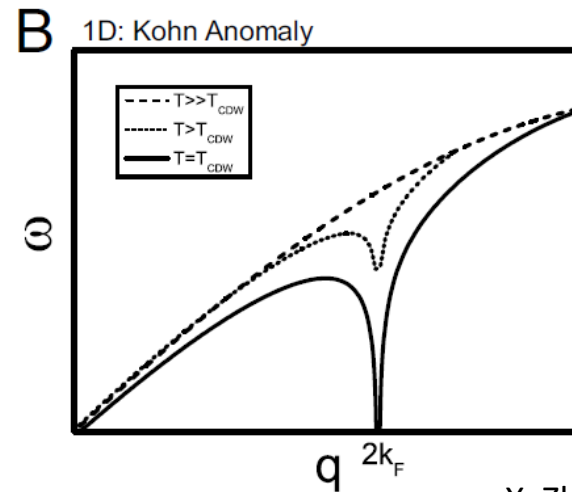
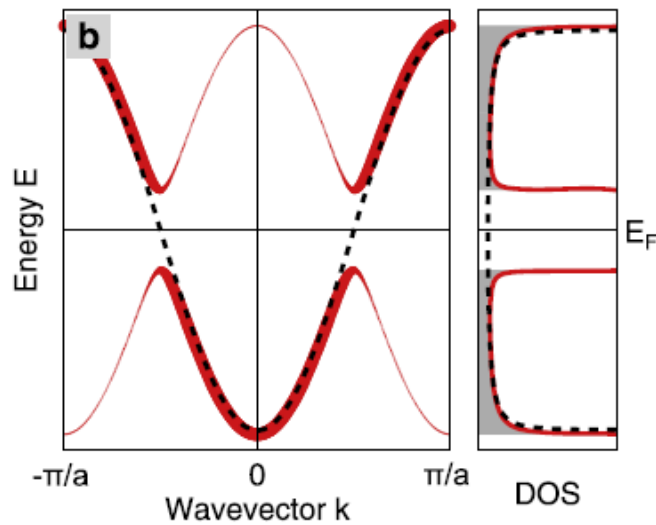
“Recipe” for CDW ...



- Fermi Surface Nesting (FSN);

- Create an electronic instability (or a lattice distortion)

- Charge gap at Fermi level (metal-insulator transition);
- Phonon softening at  $\mathbf{q}=2\mathbf{k}_F$ ;
- Permanent lattice distortion.



# Introduction

C.-W. Chen et al., Rep. Prog. Phys. **79** 084505 (2016)

## Peierls Instability

Linear Response Theory

$$\rho^{\text{ind}}(\vec{r}, \omega) = e^2 \int d\vec{r}' \chi(\vec{r}, \vec{r}', \omega) \Phi^{\text{tot}}(\vec{r}', \omega)$$

$$\chi'(\mathbf{q}) = \sum_{\mathbf{k}} \frac{f(\varepsilon_{\mathbf{k}}) - f(\varepsilon_{\mathbf{k}+\mathbf{q}})}{\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}+\mathbf{q}}},$$

Electronic susceptibility

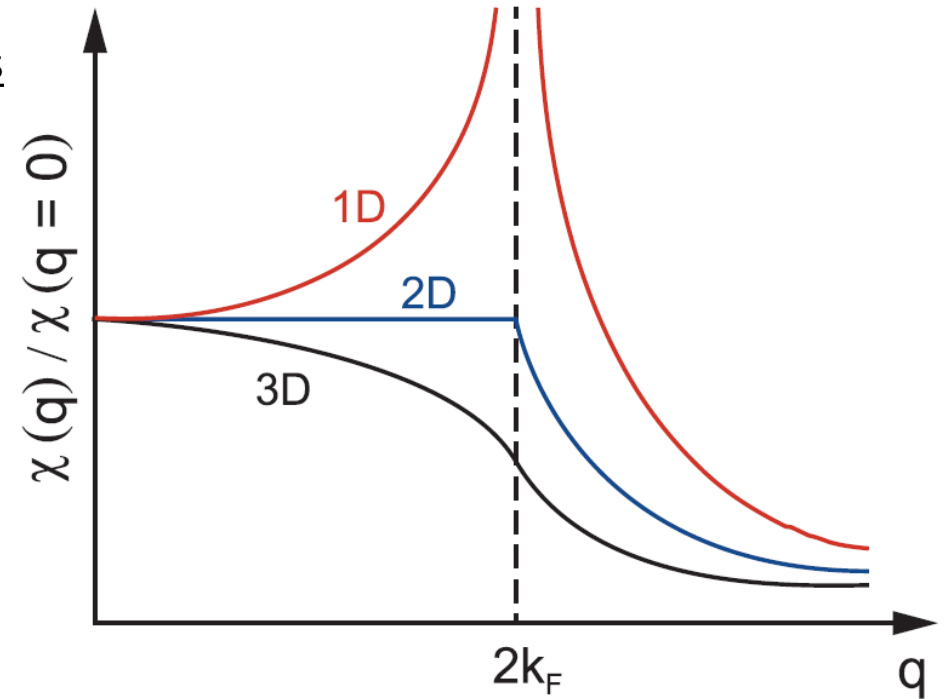
$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega)/\omega = \sum_k \delta(\varepsilon_k - \varepsilon_F) \delta(\varepsilon_{k+q} - \varepsilon_F)$$

$$\mathbf{1D}: \text{Re}\chi_0 \propto -\frac{1}{2q} \ln \left| \frac{1+q/2}{1-q/2} \right|$$

$$\mathbf{2D}: \text{Re}\chi_0 \propto \begin{cases} -(1 - \sqrt{1 - (2/q)^2}), & q \geq 2k_F \\ -1/E_F, & q < 2k_F \end{cases}$$

$$\mathbf{3D}: \text{Re}\chi_0 \propto -\left[ 1 + \frac{1 - (q/2)^2}{q} \ln \left| \frac{1+q/2}{1-q/2} \right| \right]$$

Fermi gas



One-dimensional systems are highly susceptible at  $\mathbf{q}=2\mathbf{k}_F$



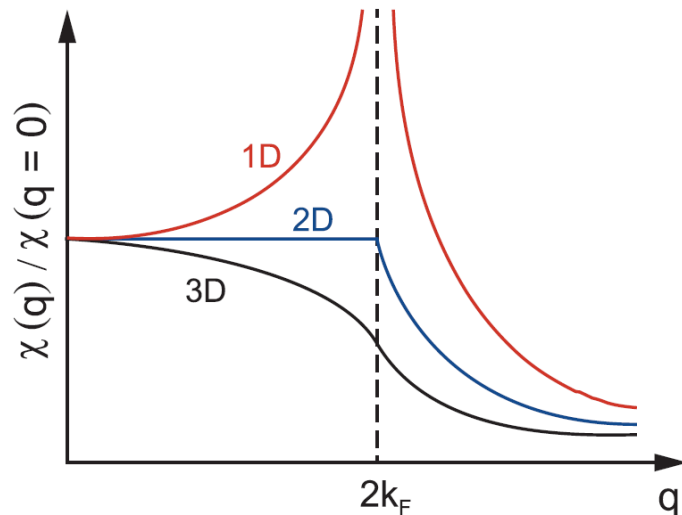
# Introduction

## Peierls Instability

$$\phi^{\text{ind}}(\mathbf{q}) = g\rho^{\text{ind}}(\mathbf{q})$$

$$\rho^{\text{ind}}(\mathbf{q}) = \chi_0(\mathbf{q})\phi(\mathbf{q}) = \chi_0(\mathbf{q})\left[\phi^{\text{ext}}(\mathbf{q}) + \phi^{\text{ind}}(\mathbf{q})\right]$$

$$\rho^{\text{ind}}(\mathbf{q}, T) = \frac{\chi_0(\mathbf{q}, T)\phi^{\text{ext}}(\mathbf{q})}{1 - g\chi_0(\mathbf{q}, T)} \quad 1 - g\chi_0(\mathbf{q}, T) = 0$$



**For ideal  
1D systems \*any\*  
Electron-Phonon  
Coupling leads to  
CDW!**

## Fröhlich Hamiltonian

$$H_{\text{PI}} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} + \sum_{\mathbf{q}} \hbar\omega_{\mathbf{q}} b_{\mathbf{q}}^{\dagger} b_{\mathbf{q}} + \frac{1}{\sqrt{N}} \sum_{\mathbf{k}, \mathbf{q}} g_{\mathbf{q}} a_{\mathbf{k}+\mathbf{q}}^{\dagger} a_{\mathbf{k}} (b_{-\mathbf{q}}^{\dagger} + b_{\mathbf{q}}),$$

## Criterion to CDW (Perturbation theory)

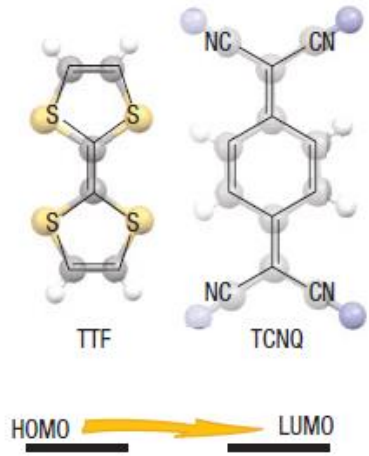
$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$

$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} - 2U_{\mathbf{q}} + V_{\mathbf{q}} \geq \frac{1}{\chi_0(\mathbf{q})}$$

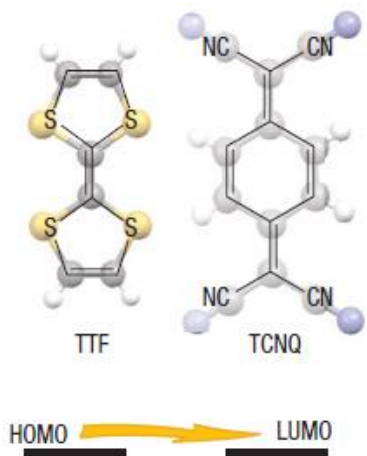
More generally

Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)

# Introduction



Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)



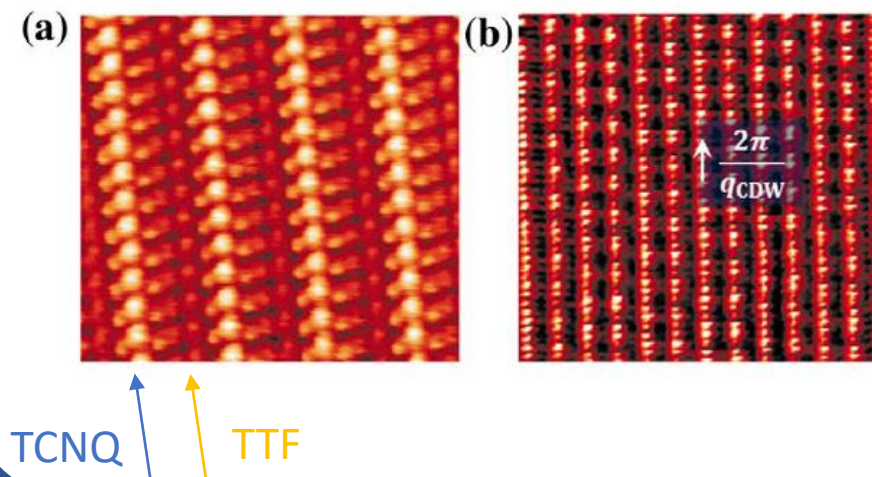
## Introduction

X. Zhu, et al. *Advances in Physics*:  
X, 2(3), 622-640 (2017).

### STM measurements

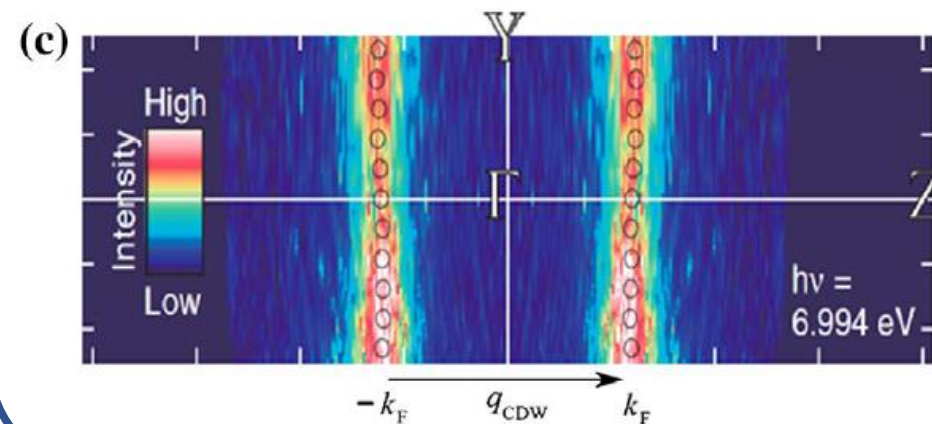
63 K (normal state)

36 K (CDW state)

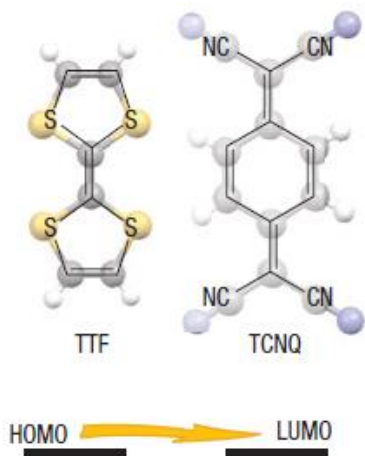


### ARPES measurements

60 K (normal state)



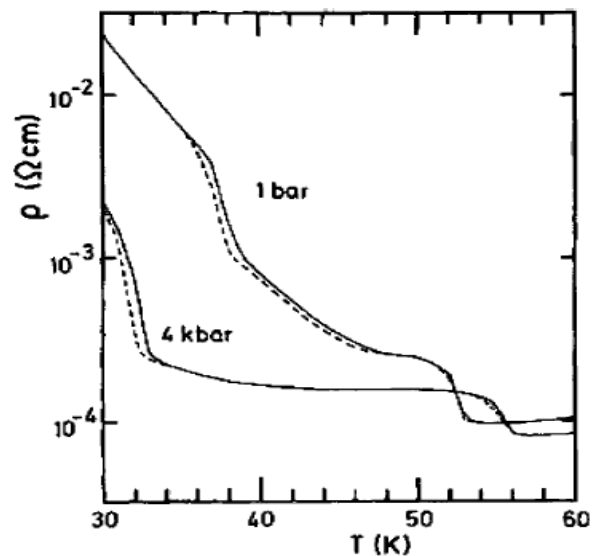
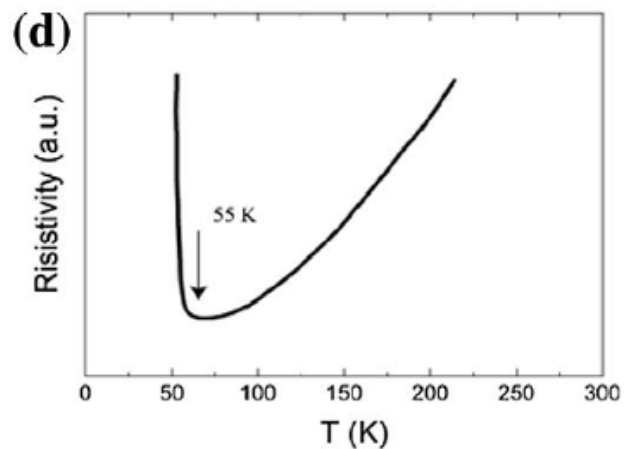
Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)



# Introduction

X. Zhu, et al. *Advances in Physics*:  
X, 2(3), 622-640 (2017).

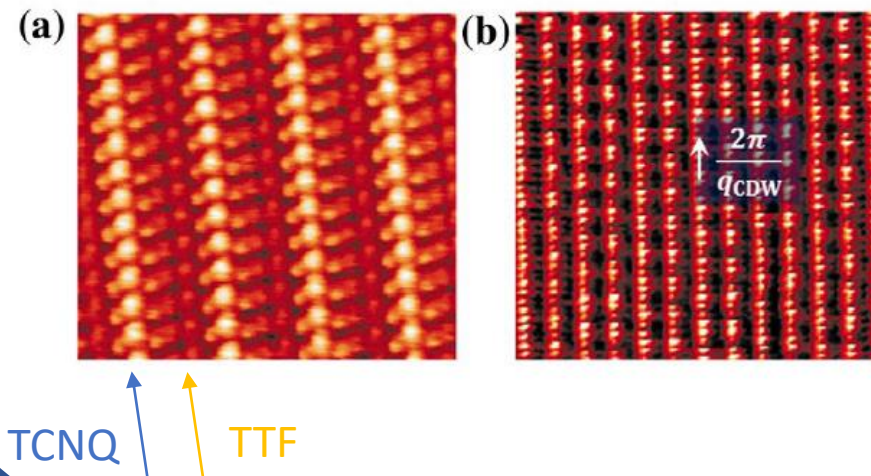
## Resistivity



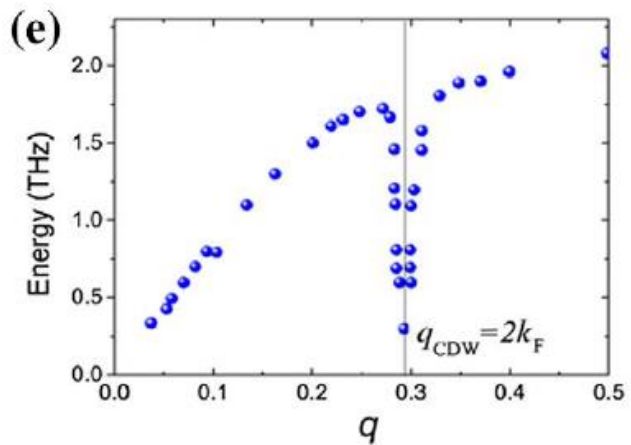
## STM measurements

63 K (normal state)

36 K (CDW state)

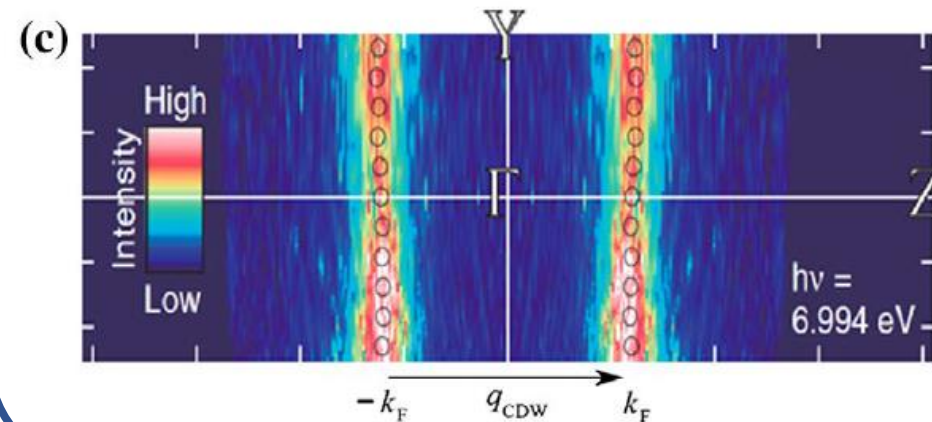


## Inelastic neutron scattering

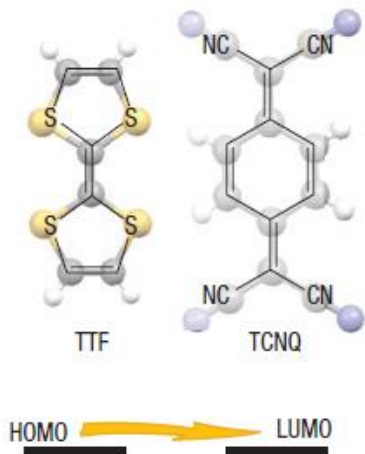


## ARPES measurements

60 K (normal state)



Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)



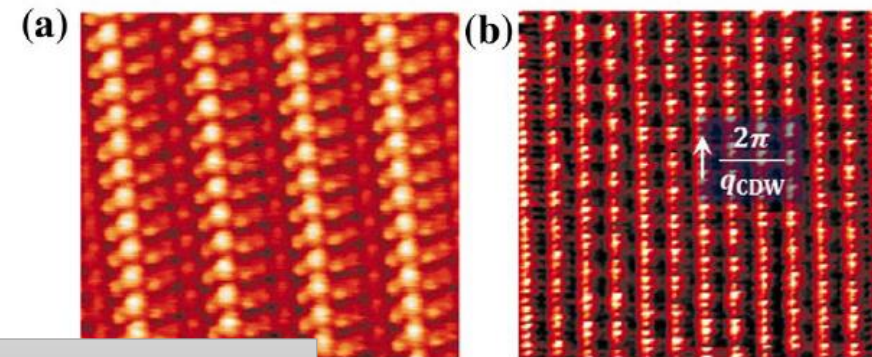
# Introduction

X. Zhu, et al. *Advances in Physics*:  
X, 2(3), 622-640 (2017).

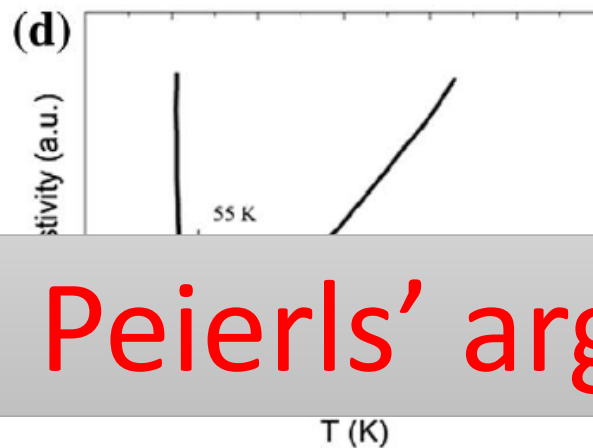
## STM measurements

63 K (normal state)

36 K (CDW state)

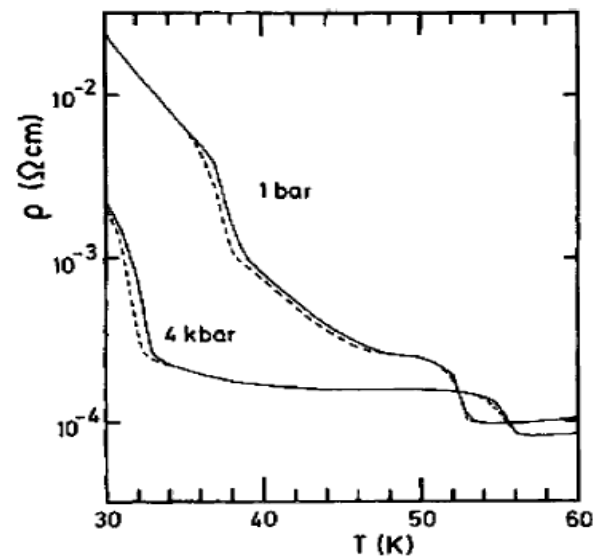
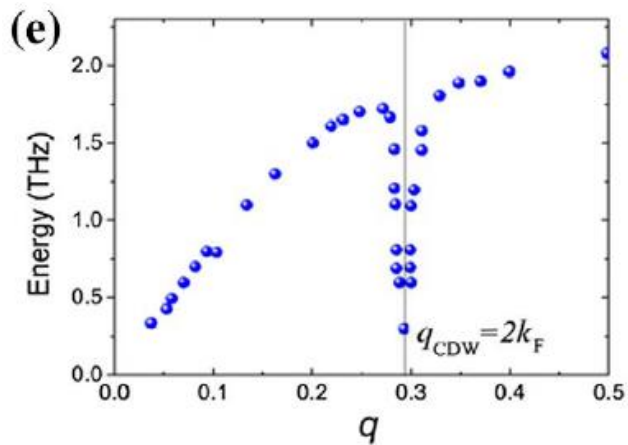


## Resistivity



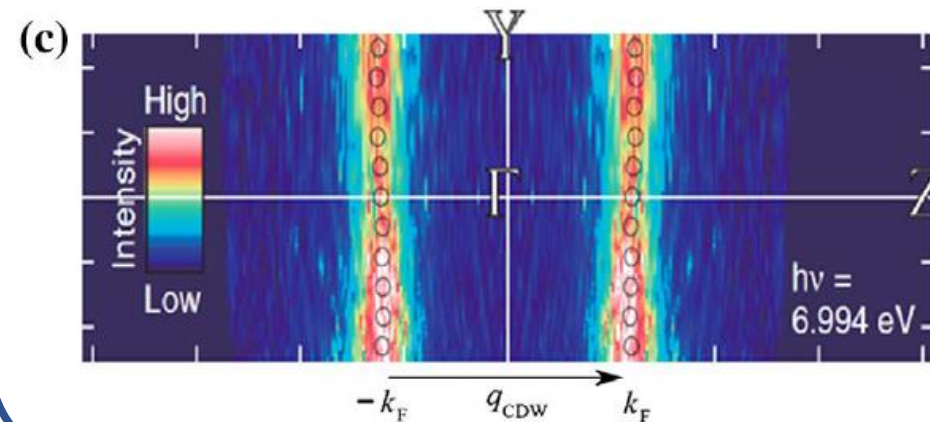
✓ Peierls' argument

## Inelastic neutron scattering



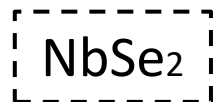
## ARPES measurements

60 K (normal state)

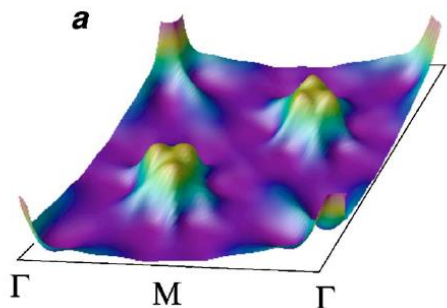


# Introduction

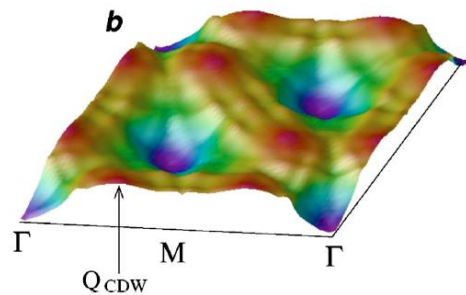
## The Nature of CDW



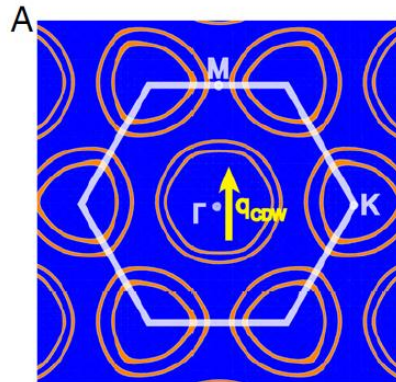
Quasi-2D material



$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$



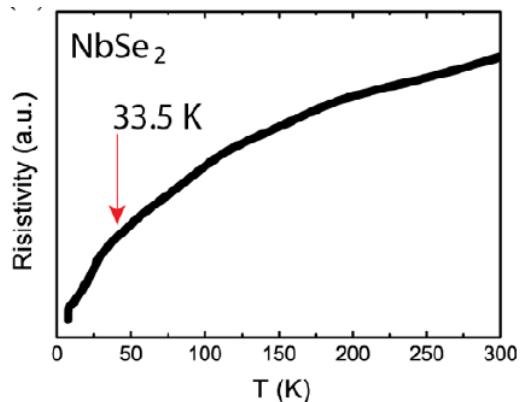
$$\chi'(\mathbf{q})$$



X. Zhu et al., *Proc. Natl Acad. Sci. USA* **112**, 2367–2371 (2015)

- No electronic divergence
- No FSN
- No metal-insulator transition

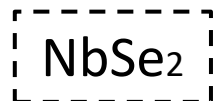
CDW at  
 $\mathbf{q}_{\text{CDW}} = (2/3)|\Gamma\text{M}|$



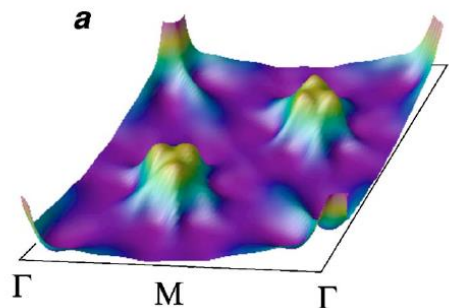
X. Zhu, et al. *Advances in Physics*: X, 2(3), 622-640 (2017).

# Introduction

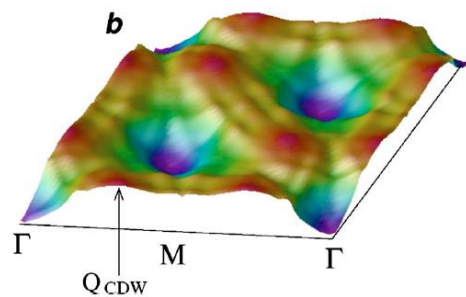
## The Nature of CDW



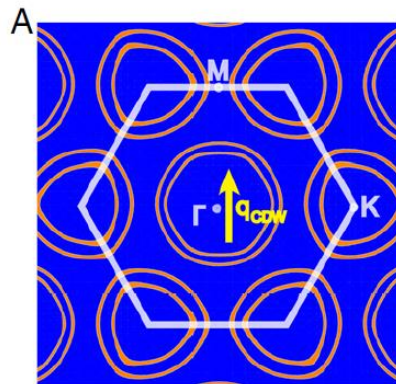
Quasi-2D material



$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$



$$\chi'(\mathbf{q})$$

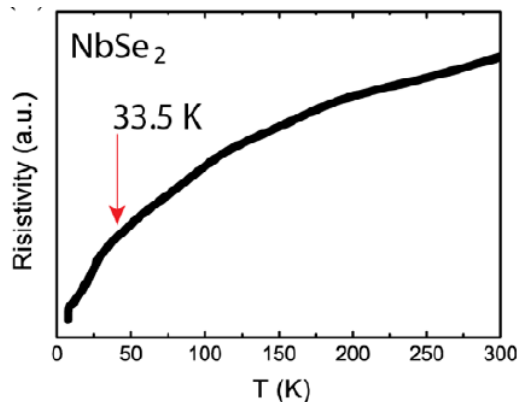


X. Zhu et al., *Proc. Natl Acad. Sci. USA* **112**, 2367–2371 (2015)

- No electronic divergence
- No FSN
- No metal-insulator transition

CDW at  
 $\mathbf{q}_{\text{CDW}} = (2/3)|\Gamma\text{M}|$

M. D. Johannes, I. I. Mazin, and C. A. Howells, *Phys. Rev. B* **73**, 205102 (2006).



X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

~~x Peierls' argument~~

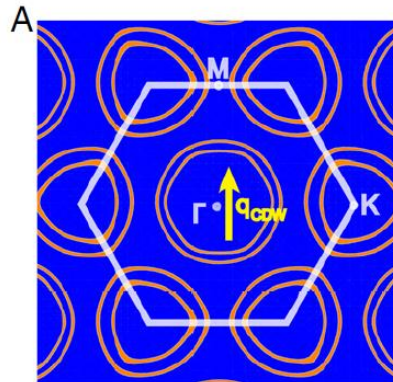
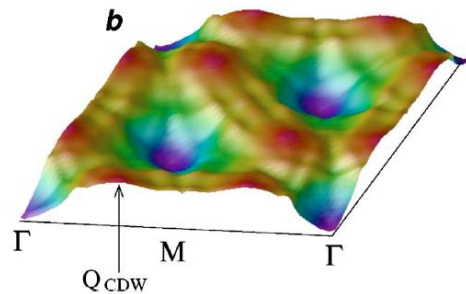
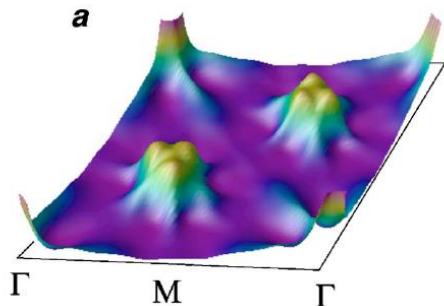
# Introduction

## The Nature of CDW

NbSe<sub>2</sub>



Quasi-2D material



$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$

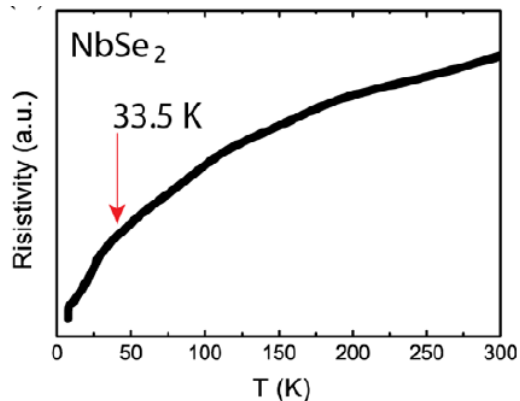
$$\chi'(\mathbf{q})$$

X. Zhu et al., *Proc. Natl Acad. Sci. USA* **112**, 2367–2371 (2015)

- No electronic divergence
- No FSN
- No metal-insulator transition

$$\text{CDW at } \mathbf{q}_{\text{CDW}} = (2/3)|\Gamma\text{M}|$$

M. D. Johannes, I. I. Mazin, and C. A. Howells, *Phys. Rev. B* **73**, 205102 (2006).

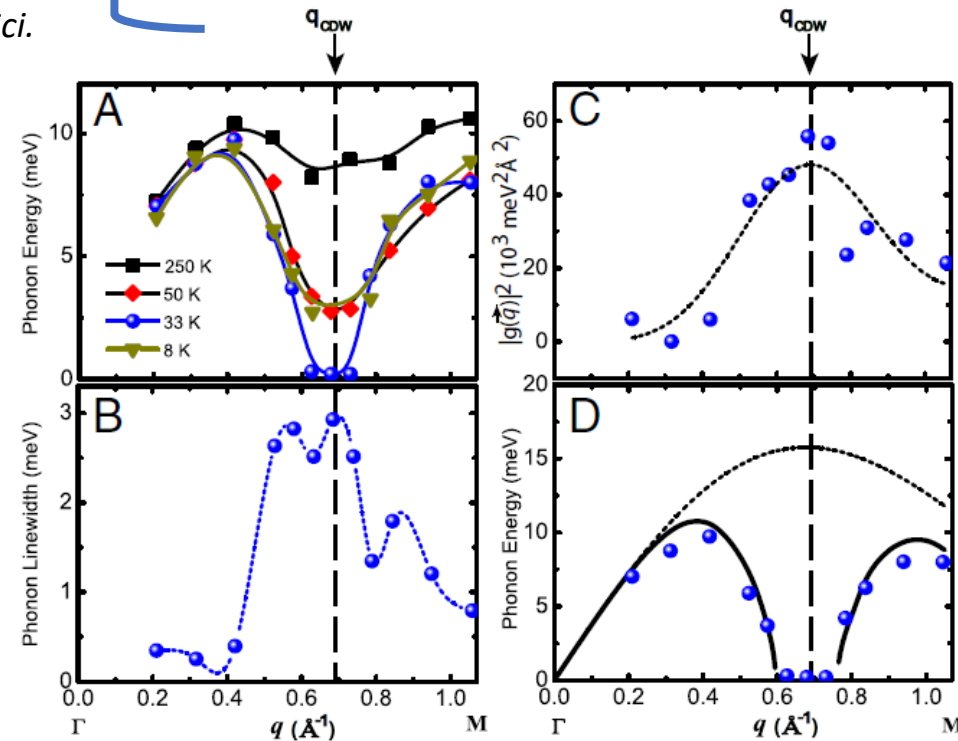


$$\Gamma_{\text{EPC}}(\vec{q}) = -2|g(\vec{q})|^2 \text{Im}[\chi(\omega, \vec{q})]$$

Measurements of phonon linewidth provide a direct measurement of EPC.

Strong Evidence of a (non-Peierls) CDW by EPC

X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).





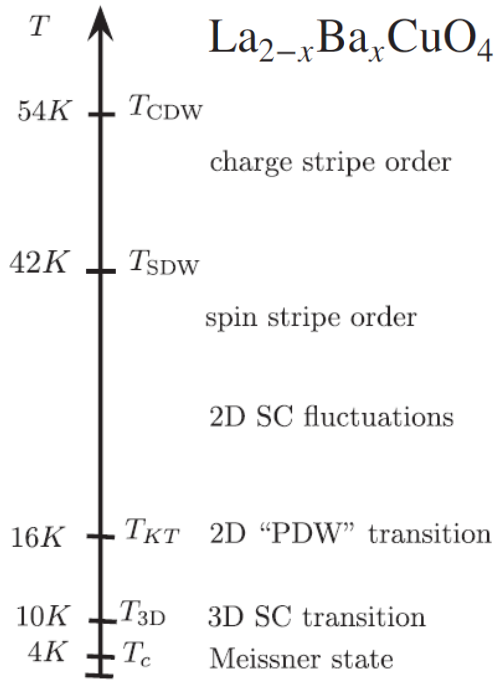
# Introduction

Cuprates

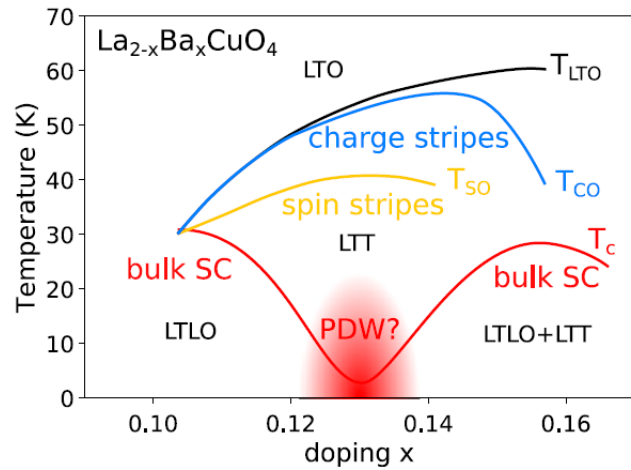
# Introduction

## Cuprates

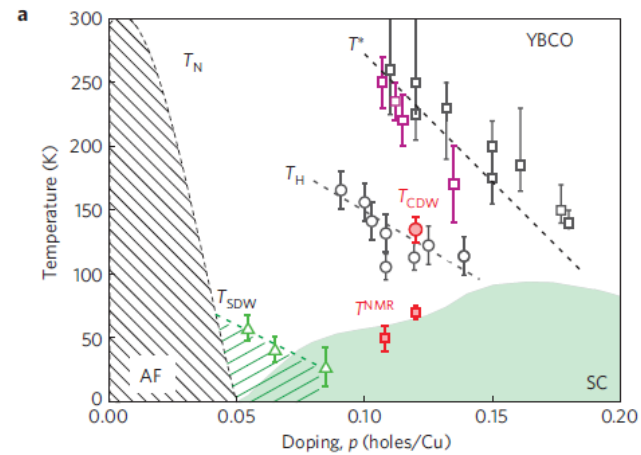
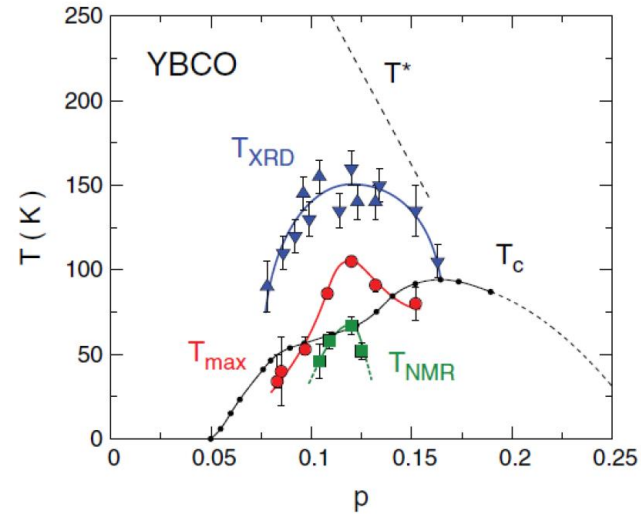
E. Fradkin et al, RMP **87**, 457 (2015)



M. Leroux et al, PNAS **116**, 10691 (2018)



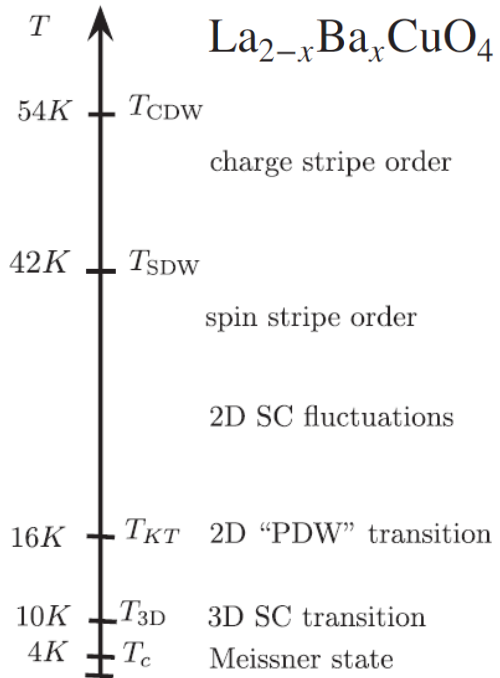
Cyr-Choiniere, et. al, Phys. Rev. B 98, 064513 (2018)



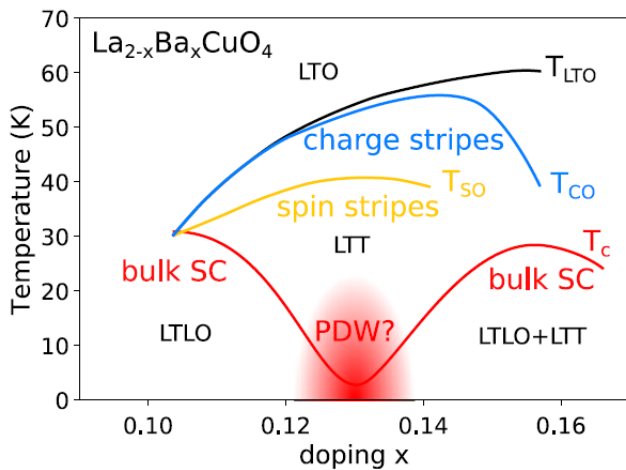
J. Chang et al, Nat. Phys. **8**, 871 (2012).

# Introduction

E. Fradkin et al, RMP **87**, 457 (2015)

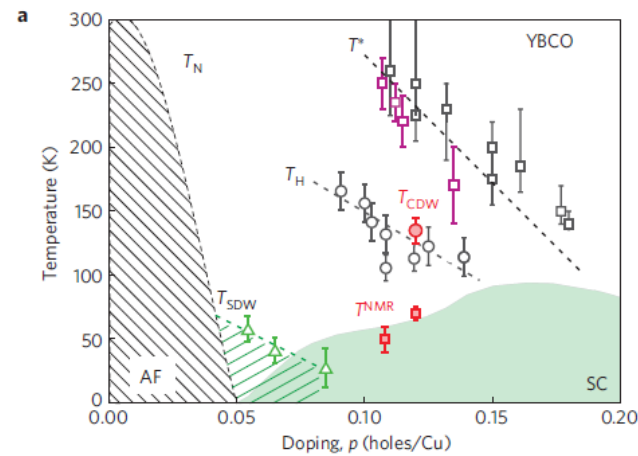
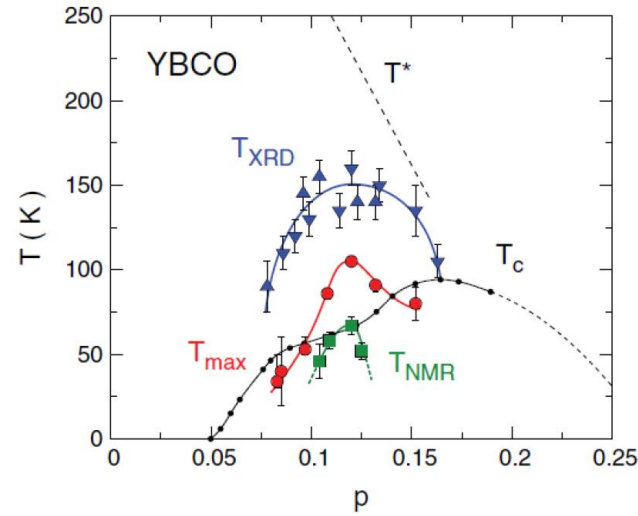


M. Leroux et al, PNAS **116**, 10691 (2018)

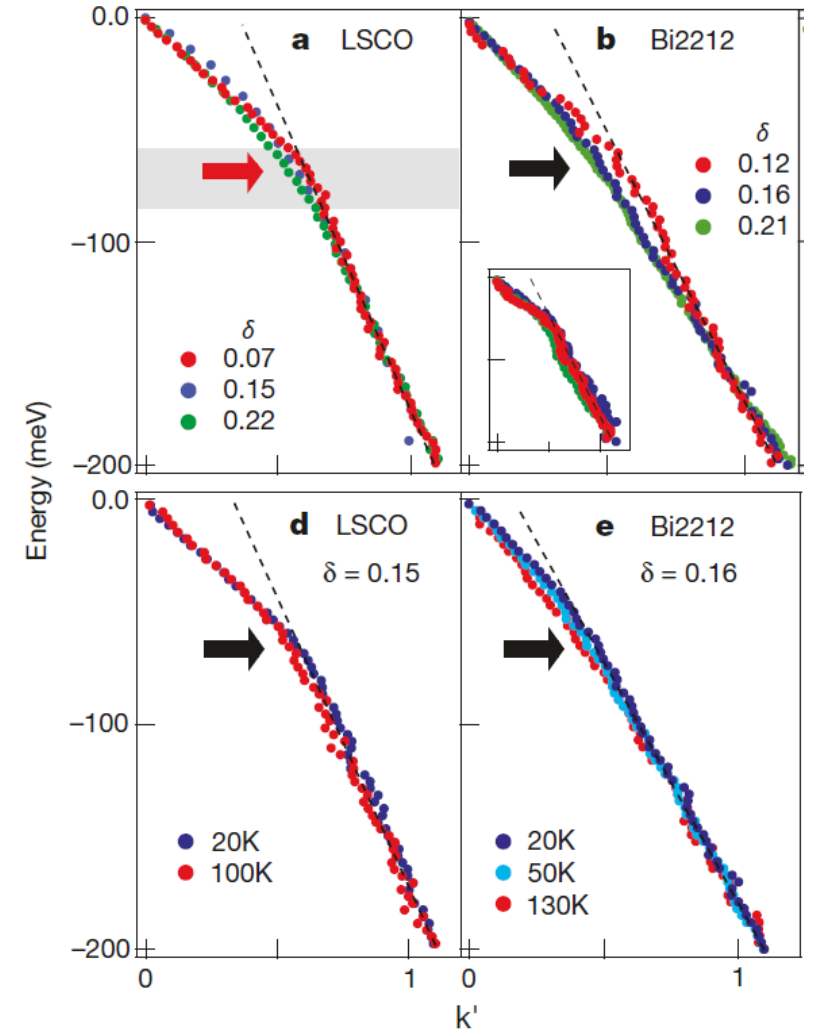


## Cuprates

Cyr-Choiniere, et. al, Phys. Rev. B **98**, 064513 (2018)



J. Chang et al, Nat. Phys. **8**, 871 (2012).

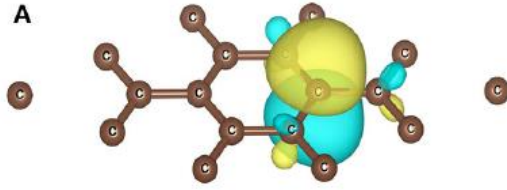


A. Lanzara et al, Nature **412**, 510 (2001)

# Introduction

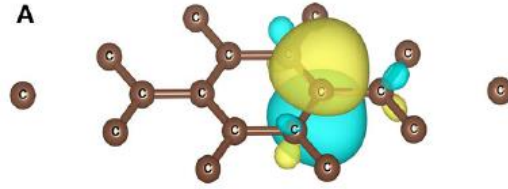
Graphene

A



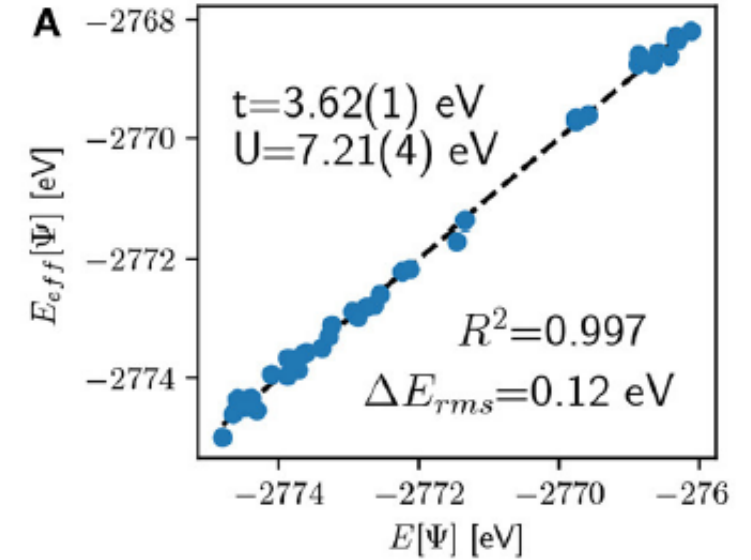
# Introduction

Graphene



Determination of the  $e$ - $e$  interactions:  
ab-initio + strongly correlated methods

H. Zheng et al, *Frontiers in Physics* 6, 43 (2018)



PRL **106**, 236805 (2011)

PHYSICAL REVIEW LETTERS

week ending  
10 JUNE 2011

## Strength of Effective Coulomb Interactions in Graphene and Graphite

T. O. Wehling,<sup>1</sup> E. Şaşıoğlu,<sup>2</sup> C. Friedrich,<sup>2</sup> A. I. Lichtenstein,<sup>1</sup> M. I. Katsnelson,<sup>3</sup> and S. Blügel<sup>2</sup>

<sup>1</sup>*Institut für Theoretische Physik, Universität Hamburg, D-20355 Hamburg, Germany*

<sup>2</sup>*Peter Grünberg Institut and Institute for Advanced Simulation, Forschungszentrum Jülich and JARA, D-52425 Jülich, Germany*

<sup>3</sup>*Radboud University Nijmegen, Institute for Molecules and Materials, NL-6525 AJ Nijmegen, The Netherlands*

(Received 25 January 2011; published 8 June 2011)

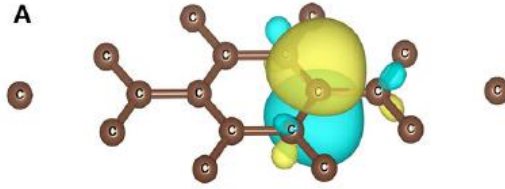
$$U_{00} = 9.3 \text{ eV}$$

$$U_{01} = 5.5 \text{ eV}$$

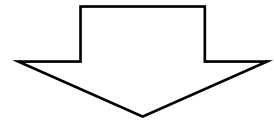
$$t \approx 2.8 \text{ eV}$$

# Introduction

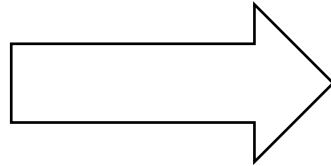
Graphene



Determination of the  $e$ - $e$  interactions:  
ab-initio + strongly correlated methods

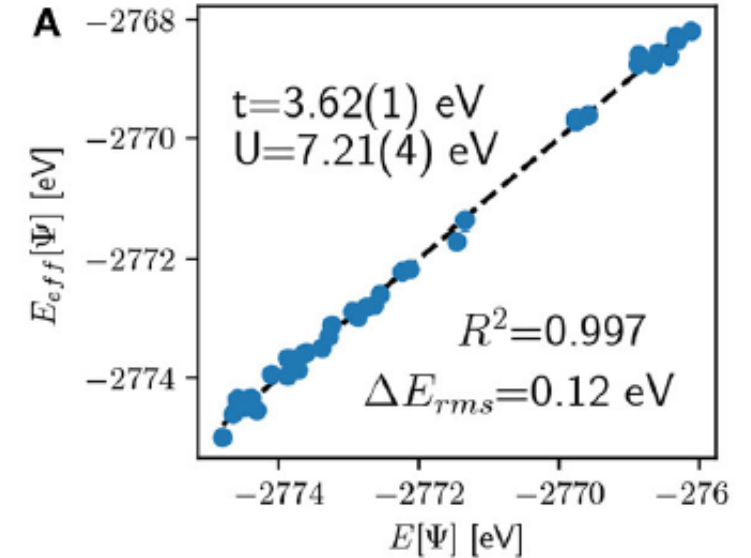


$$U_{\text{eff}}/t < 3.8$$



QCP for the metal-insulator  
transition in the honeycomb lattice

H. Zheng et al, *Frontiers in Physics* 6, 43 (2018)



PRL **106**, 236805 (2011)

PHYSICAL REVIEW LETTERS

week ending  
10 JUNE 2011

## Strength of Effective Coulomb Interactions in Graphene and Graphite

T. O. Wehling,<sup>1</sup> E. Şaşıoğlu,<sup>2</sup> C. Friedrich,<sup>2</sup> A. I. Lichtenstein,<sup>1</sup> M. I. Katsnelson,<sup>3</sup> and S. Blügel<sup>2</sup>

<sup>1</sup>*Institut für Theoretische Physik, Universität Hamburg, D-20355 Hamburg, Germany*

<sup>2</sup>*Peter Grünberg Institut and Institute for Advanced Simulation, Forschungszentrum Jülich and JARA, D-52425 Jülich, Germany*

<sup>3</sup>*Radboud University Nijmegen, Institute for Molecules and Materials, NL-6525 AJ Nijmegen, The Netherlands*

(Received 25 January 2011; published 8 June 2011)

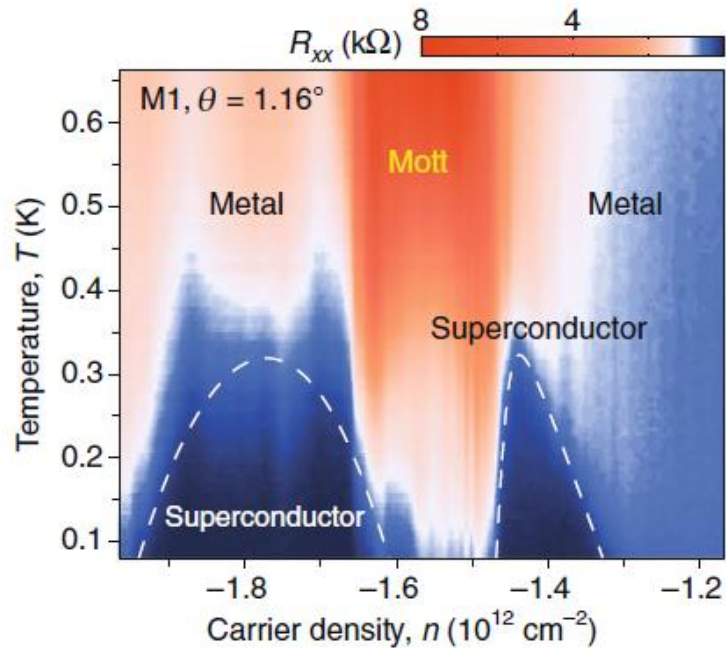
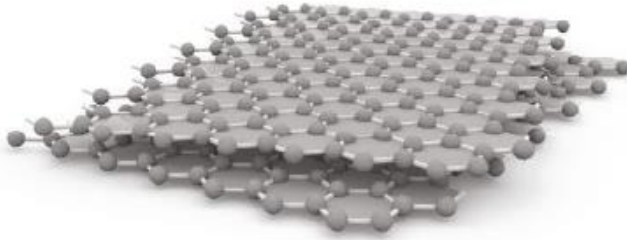
$$U_{00} = 9.3 \text{ eV}$$

$$U_{01} = 5.5 \text{ eV}$$

$$t \approx 2.8 \text{ eV}$$

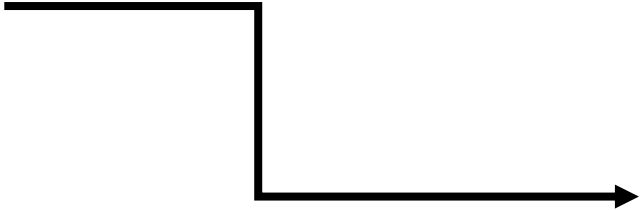
**a**

Twisted BLG

L. Balents et al, Nature Physics **16**, 725 (2020)PHYSICAL REVIEW LETTERS **122**, 257002 (2019)**Twisted Bilayer Graphene: A Phonon-Driven Superconductor**Biao Lian,<sup>1</sup> Zhijun Wang,<sup>2,3</sup> and B. Andrei Bernevig<sup>4,5,6</sup><sup>1</sup>Princeton Center for Theoretical Science, Princeton University, Princeton, New Jersey 08544, USA<sup>2</sup>Beijing National Laboratory for Condensed Matter Physics, and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China<sup>3</sup>University of Chinese Academy of Sciences, Beijing 100049, China<sup>4</sup>Department of Physics, Princeton University, Princeton, New Jersey 08544, USA<sup>5</sup>Dahlem Center for Complex Quantum Systems and Fachbereich Physik, Freie Universitat Berlin, Arnimallee 14, 14195 Berlin, Germany<sup>6</sup>Max Planck Institute of Microstructure Physics, 06120 Halle, GermanyPHYSICAL REVIEW LETTERS **121**, 257001 (2018)**Theory of Phonon-Mediated Superconductivity in Twisted Bilayer Graphene**Fengcheng Wu,<sup>1,2</sup> A. H. MacDonald,<sup>3</sup> and Ivar Martin<sup>1</sup><sup>1</sup>Materials Science Division, Argonne National Laboratory, Argonne, Illinois 60439, USA<sup>2</sup>Condensed Matter Theory Center and Joint Quantum Institute, Department of Physics, University of Maryland, College Park, Maryland 20742, USA<sup>3</sup>Department of Physics, University of Texas at Austin, Austin, Texas 78712, USA

# Introduction

**How the EPI affects the properties of SCES?**

- 
- Occurrence of long-range order
    - AFM
    - Charge ordering
    - Superconductivity



# Introduction

## How the EPI affects the properties of SCES?

- Most of the works are at 1D;
- Most of the works for  $D > 1$  use biased methodologies

- Occurrence of long-range order
  - AFM
  - Charge ordering
  - Superconductivity

**We performed unbiased zero and finite temperature auxiliary-field QMC simulations in 2D lattices (square and honeycomb) for an effective lattice Hamiltonian that takes into account both e-e and e-ph interactions:  
The Hubbard-Holstein model.**

# Outline

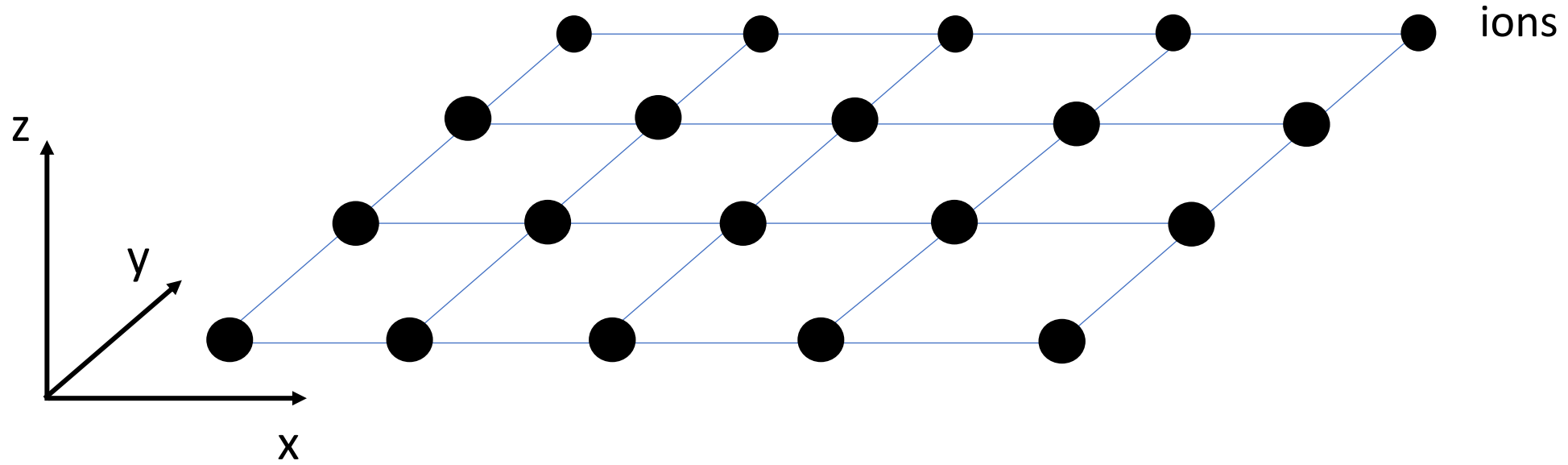
- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks



Model

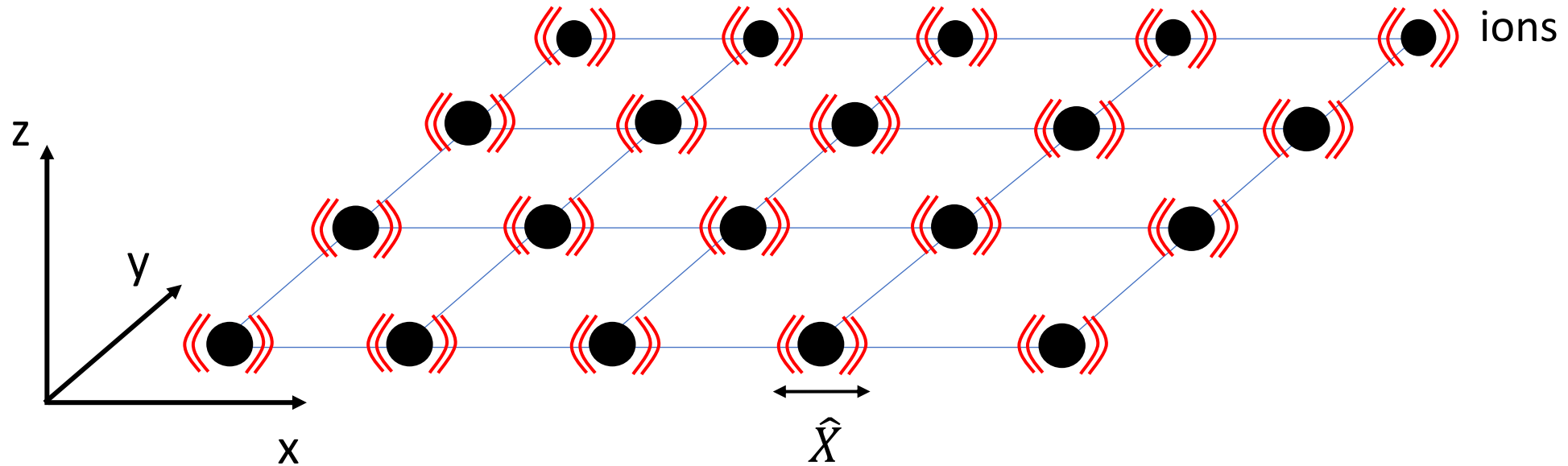
# Model

## Pictorial view



# Model

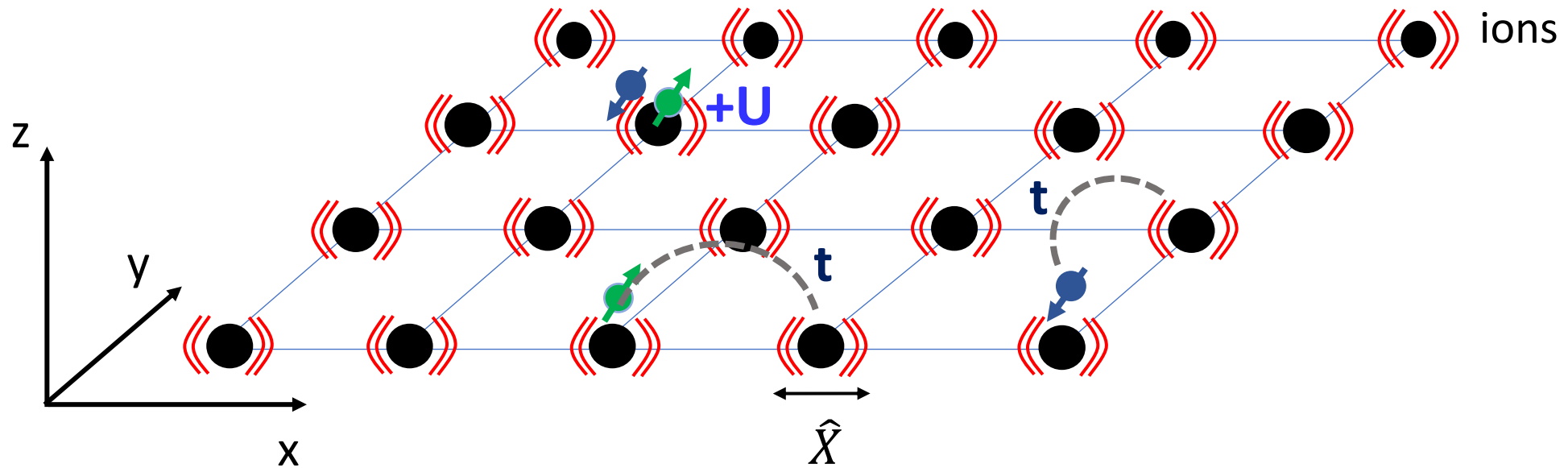
## Pictorial view



**Quantum Harmonic Oscillators**

# Model

## Pictorial view



**Quantum Harmonic Oscillators**



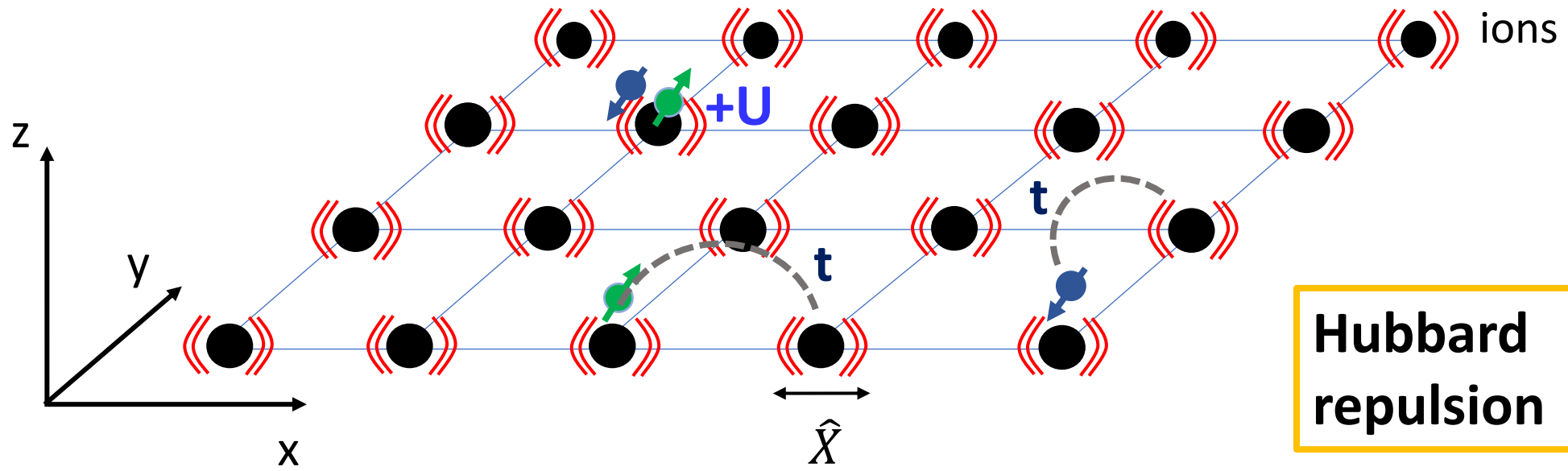
**Spin up electrons**



**Spin down electrons**

# Model

## Pictorial view



**Hubbard repulsion**

**Indirect interaction mediated by phonons**

- Quantum Harmonic Oscillators**
- Spin up electrons**
- Spin down electrons**

# Hubbard-Holstein Hamiltonian

## Model

Electron hopping term

Electron-phonon interaction

$$\mathcal{H} = -t \sum_{\langle \mathbf{i}, \mathbf{j} \rangle, \sigma} \left( d_{\mathbf{i}\sigma}^\dagger d_{\mathbf{j}\sigma} + \text{h.c.} \right) - \mu \sum_{\mathbf{i}, \sigma} n_{\mathbf{i}, \sigma} - g \sum_{\mathbf{i}, \sigma} n_{\mathbf{i}\sigma} \hat{X}_{\mathbf{i}}$$

$$+ \sum_{\mathbf{i}} \left( \frac{\hat{P}_{\mathbf{i}}^2}{2M} + \frac{M\omega_0^2}{2} \hat{X}_{\mathbf{i}}^2 \right) + U \sum_{\mathbf{i}} n_{\mathbf{i}\uparrow} n_{\mathbf{i}\downarrow}$$

Local Harmonic Oscillators

Hubbard interaction



## Physical Quantities

Density-density Structure Factor:

$$S_{\text{cdw}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle n_{\mathbf{i}} n_{\mathbf{j}} \rangle$$

Spin-Spin Structure Factor:

$$S_{\text{afm}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle S_{\mathbf{i}}^z S_{\mathbf{j}}^z \rangle$$



Correlation ratio:

$$R_{\nu}(L) = 1 - \frac{S_{\nu}(\mathbf{q} + \delta\mathbf{q})}{S_{\nu}(\mathbf{q})}$$

$$|\delta\mathbf{q}| = 2\pi/L$$

## Physical Quantities

Density-density Structure Factor:

$$S_{\text{cdw}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle n_{\mathbf{i}} n_{\mathbf{j}} \rangle$$

Spin-Spin Structure Factor:

$$S_{\text{afm}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle S_{\mathbf{i}}^z S_{\mathbf{j}}^z \rangle$$



Correlation ratio:

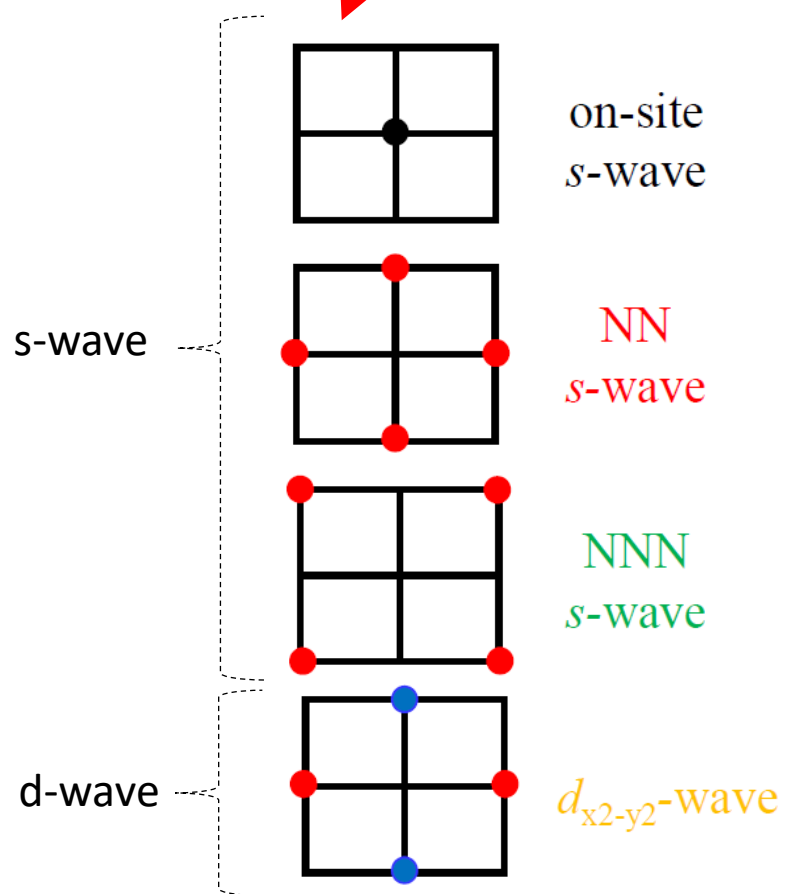
$$R_{\nu}(L) = 1 - \frac{S_{\nu}(\mathbf{q} + \delta\mathbf{q})}{S_{\nu}(\mathbf{q})}$$

$$|\delta\mathbf{q}| = 2\pi/L$$

Pair Susceptibility:

$$\chi_{\text{sc}}^{\alpha}(\beta) = \frac{1}{N} \int_0^{\beta} d\tau \langle \Delta_{\alpha}(\tau) \Delta_{\alpha}^{\dagger}(0) \rangle$$

$$\Delta_{\alpha}(\tau) = \frac{1}{2} \sum_{\mathbf{i}, \mathbf{a}} f_{\alpha}(\mathbf{a}) c_{\mathbf{i}\downarrow}^{\dagger}(\tau) c_{\mathbf{i}+\mathbf{a}\uparrow}(\tau)$$



## Physical Quantities

Density-density Structure Factor:

$$S_{\text{cdw}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle n_{\mathbf{i}} n_{\mathbf{j}} \rangle$$

Spin-Spin Structure Factor:

$$S_{\text{afm}}(\mathbf{q}) = \frac{1}{N} \sum_{\mathbf{i}, \mathbf{j}} e^{-i\mathbf{q} \cdot (\mathbf{i} - \mathbf{j})} \langle S_{\mathbf{i}}^z S_{\mathbf{j}}^z \rangle$$



Correlation ratio:

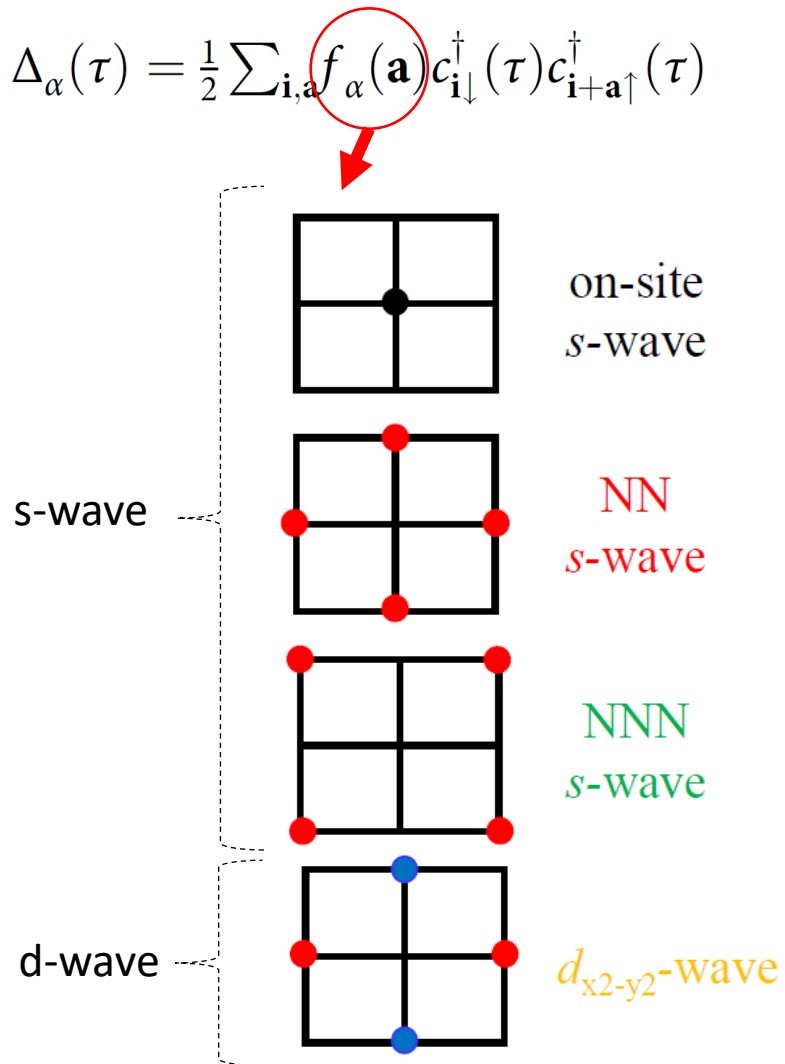
$$R_{\nu}(L) = 1 - \frac{S_{\nu}(\mathbf{q} + \delta\mathbf{q})}{S_{\nu}(\mathbf{q})}$$

$$|\delta\mathbf{q}| = 2\pi/L$$

Pair Susceptibility:

$$\chi_{\text{sc}}^{\alpha}(\beta) = \frac{1}{N} \int_0^{\beta} d\tau \langle \Delta_{\alpha}(\tau) \Delta_{\alpha}^{\dagger}(0) \rangle$$

$$\Delta_{\alpha}(\tau) = \frac{1}{2} \sum_{\mathbf{i}, \mathbf{a}} f_{\alpha}(\mathbf{a}) c_{\mathbf{i}\downarrow}^{\dagger}(\tau) c_{\mathbf{i}+\mathbf{a}\uparrow}(\tau)$$



## Parameters

Adiabaticity ratio:

$$\omega_0/t$$

Effective interactions:

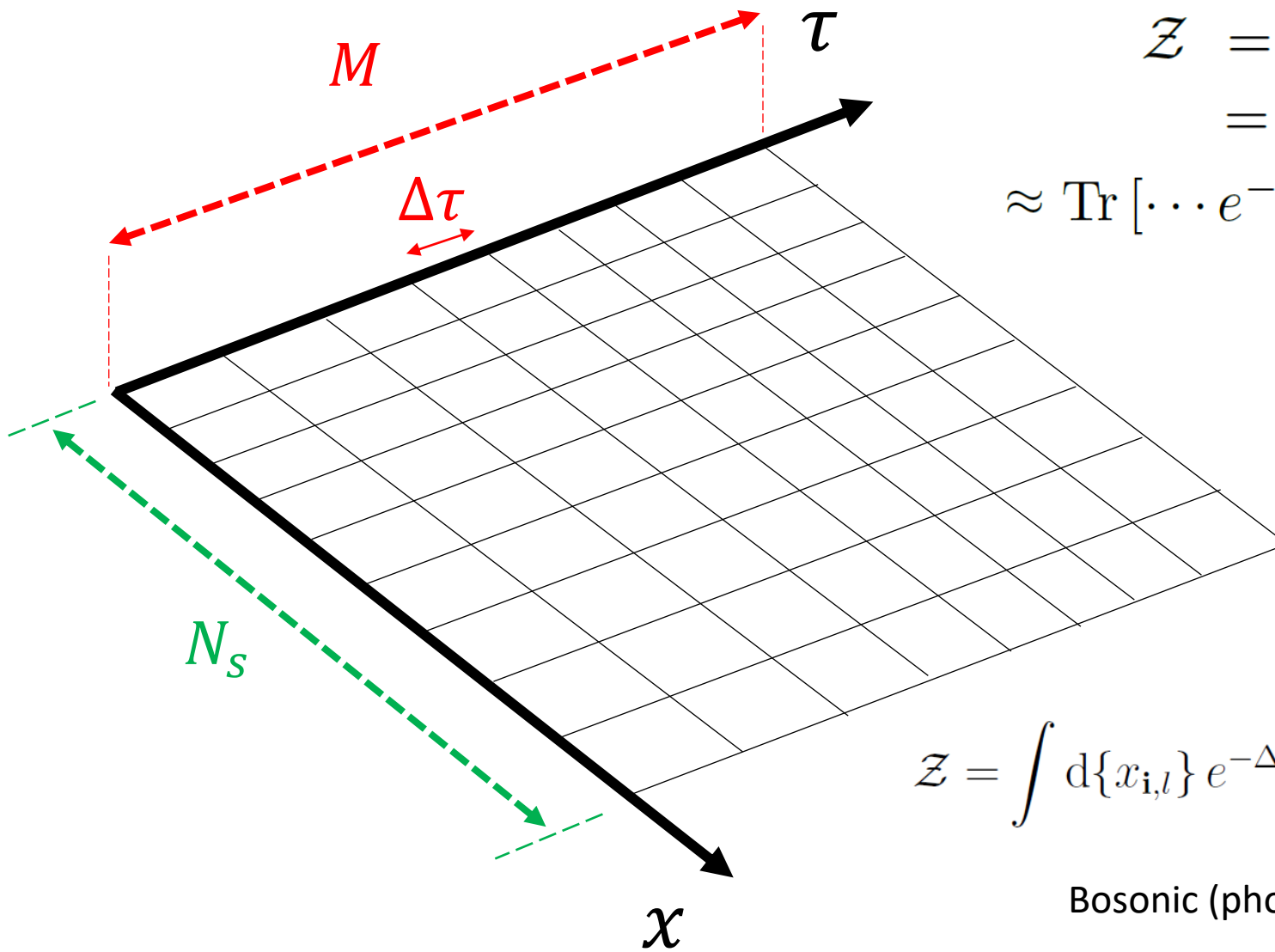
$$U_{\text{eff}}(\omega) = U - \frac{g^2/\omega_0^2}{1 - (\omega/\omega_0)^2}$$

$$g^2/\omega_0^2 \equiv \lambda$$

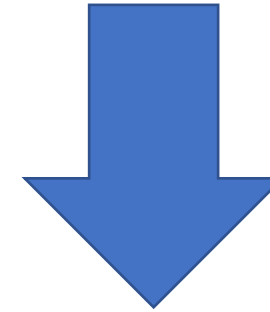
$$U_{\text{eff}} \equiv U - \lambda$$

**(half filling)**

# Method I: (finite temperature) Determinant Quantum Monte Carlo (DQMC)



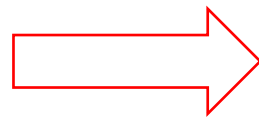
$$\begin{aligned}
 \mathcal{Z} &= \text{Tr} e^{-\beta \hat{\mathcal{H}}} \\
 &= \text{Tr} \left[ \left( e^{-\Delta\tau (\hat{\mathcal{H}}_K + \hat{\mathcal{H}}_U + \hat{\mathcal{H}}_{\text{ph}} + \hat{\mathcal{H}}_{\text{el-ph}})} \right)^M \right] \\
 &\approx \text{Tr} \left[ \dots e^{-\Delta\tau \hat{\mathcal{H}}_K} e^{-\Delta\tau \hat{\mathcal{H}}_U} e^{-\Delta\tau \hat{\mathcal{H}}_{\text{ph}}} e^{-\Delta\tau \hat{\mathcal{H}}_{\text{el-ph}}} \dots \right]
 \end{aligned}$$



$$\mathcal{Z} = \int d\{x_{i,l}\} e^{-\Delta\tau S_B} \prod_{\sigma} \left[ \det \left[ I + B^{\sigma}(M) B^{\sigma}(M-1) \dots B^{\sigma}(1) \right] \right]$$

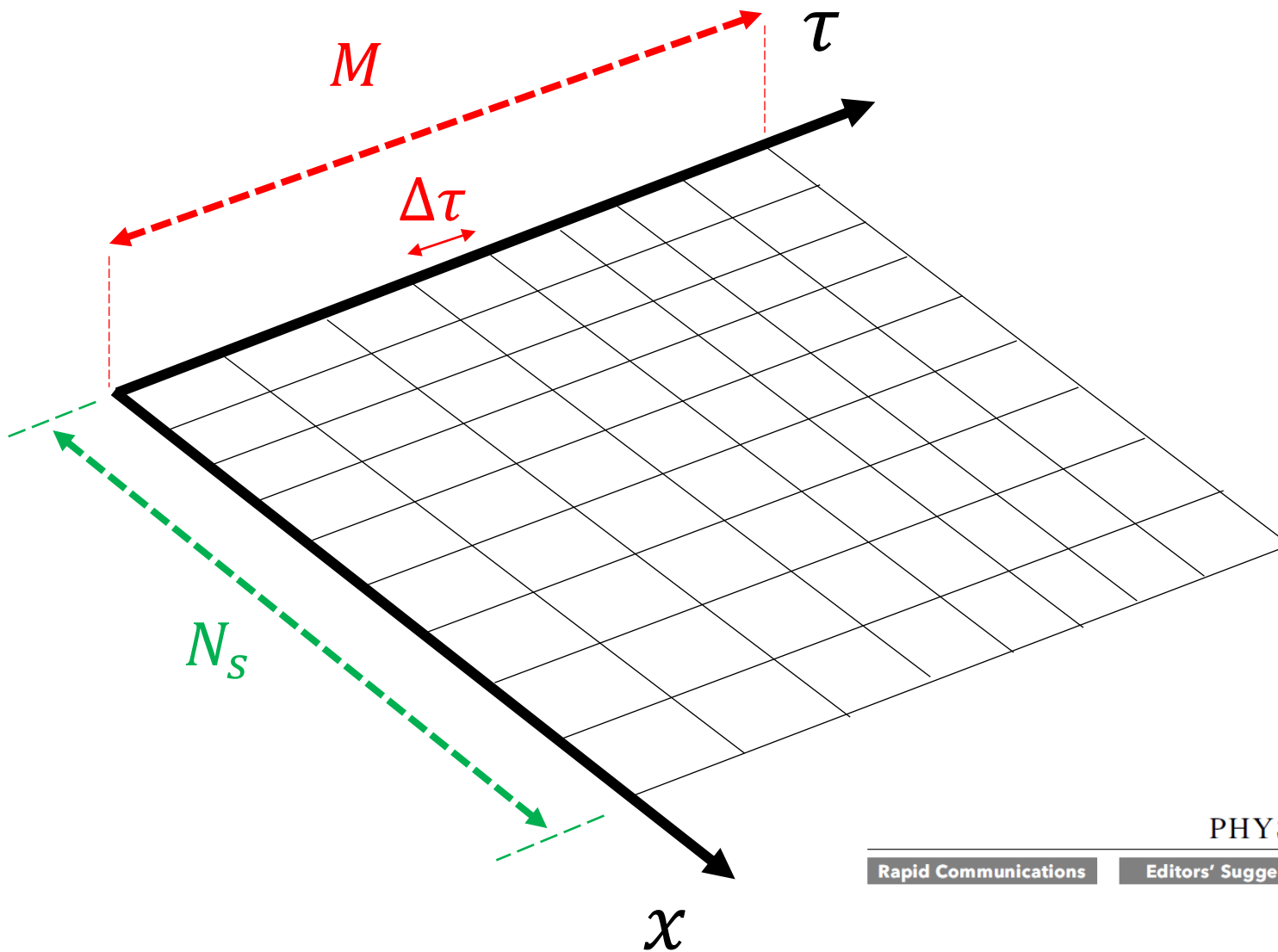
Bosonic (phonon) action: 
$$S_B = \sum_i \sum_{l=1}^M \left[ \frac{1}{2} \left( \frac{x_{i,l} - x_{i,l+1}}{\Delta\tau} \right)^2 + \frac{\omega_0^2}{2} x_{i,l}^2 \right]$$

$$B_l^{\uparrow(\downarrow)} = e^{\mp \Delta\tau \alpha v(l) - \Delta\tau g X(l)} e^{-\Delta\tau K}$$



Minus-sign problem even at the half-filling!! (It depends on the system size, temperature, and interaction strength)

# Method II: (projective) Auxiliary-field Quantum Monte Carlo (AFQMC)



$$\mathcal{Z} = \lim_{\beta \rightarrow \infty} \langle \psi_L | e^{-\beta \mathcal{H}} | \psi_R \rangle$$

$$\mathcal{Z} = \lim_{\beta \rightarrow \infty} \prod_{\sigma} \langle 0 | (\Phi_L^{\sigma} \mathbf{c}_{\sigma}) e^{-\beta \mathcal{H}} (\mathbf{c}_{\sigma}^{\dagger} \Phi_R^{\sigma}) | 0 \rangle$$

$$\mathcal{Z} = \prod_{\sigma} \det [\Phi_L^{\sigma} \mathbf{B}(2\tau_F, 0) \Phi_R^{\sigma}]$$

- Inversion sampling algorithm for singles moves (accept-reject)
- Integrate out the phonon fields
  - No autocorrelation problem!
  - It is sign-free for  $U_{\text{eff}} \geq 0$

Severe sign problem for  $U_{\text{eff}} < 0$

PHYSICAL REVIEW B **98**, 201108(R) (2018)

Rapid Communications

Editors' Suggestion

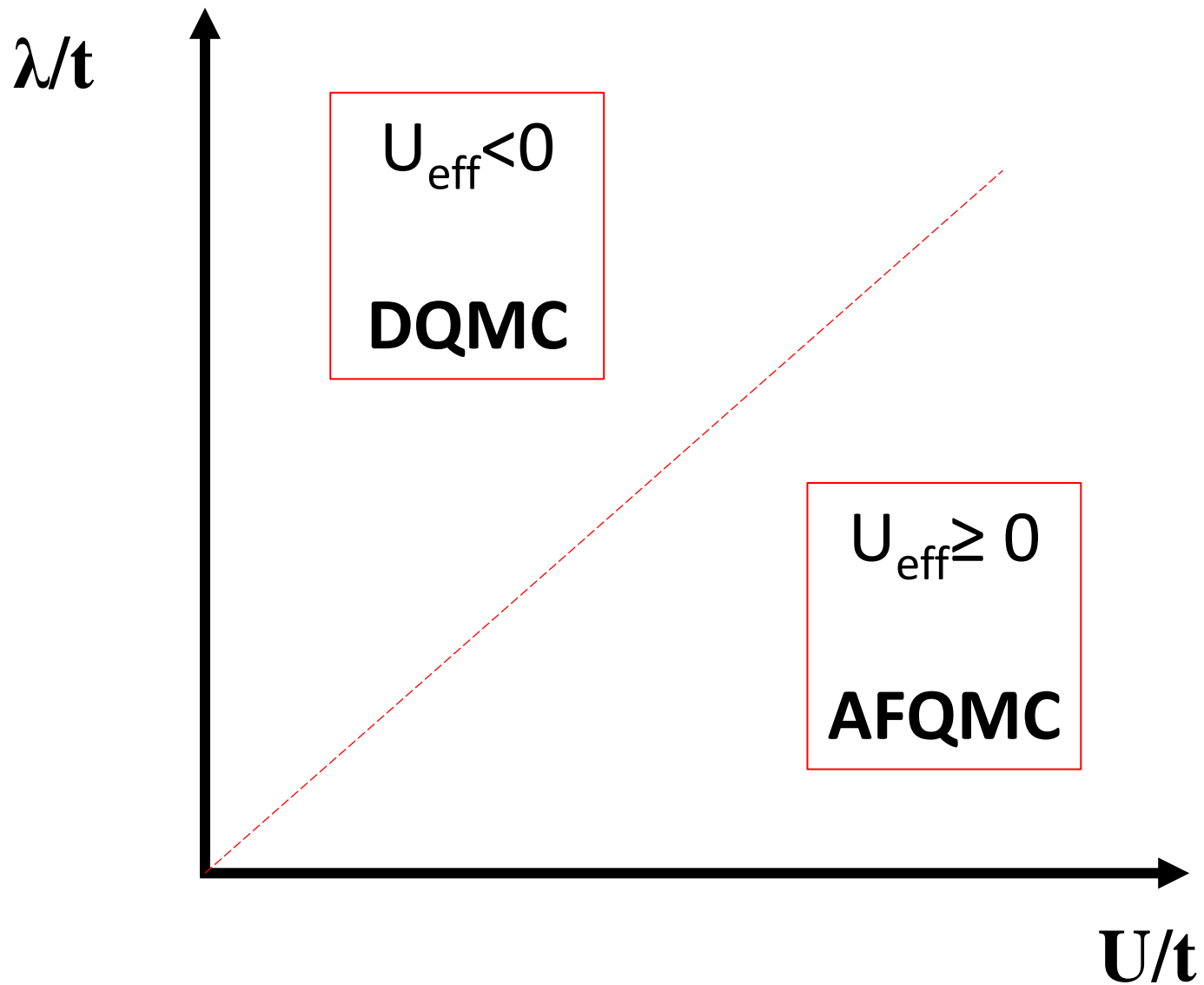
## Solution of the sign problem for the half-filled Hubbard-Holstein model

Seher Karakuzu,<sup>1</sup> Kazuhiro Seki,<sup>1,2,3</sup> and Sandro Sorella<sup>1,2</sup>

<sup>1</sup>International School for Advanced Studies (SISSA), Via Bonomea 265, 34136 Trieste, Italy

<sup>2</sup>Computational Materials Science Research Team, RIKEN Center for Computational Science (R-CCS), Hyogo 650-0047, Japan

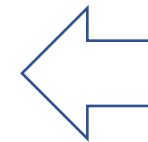
<sup>3</sup>Computational Condensed Matter Physics Laboratory, RIKEN Cluster for Pioneering Research (CPR), Saitama 351-0198, Japan



Here, we fixed  $\beta \sim L^z$ , with  $L$  being the linear size of the lattice, and  $z=1$  and  $2$ .

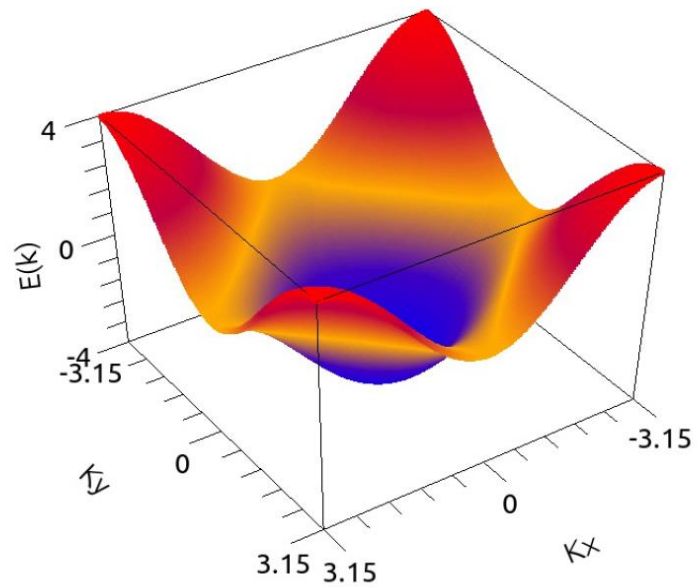
# Outline

- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks

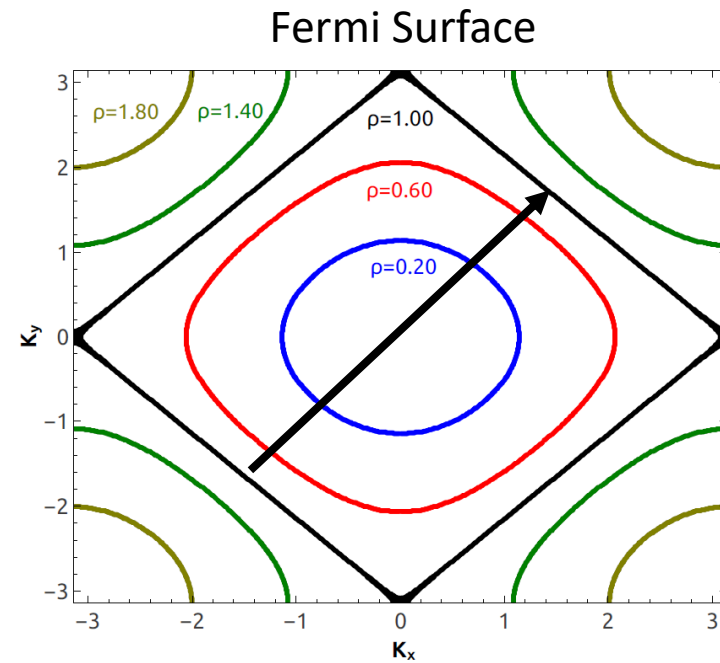


Important ...

Square lattice exhibits FSN  
and van Hove singularity  
at half filling



**CDW and AFM  
Instabilities**





# The pure Holstein model ( $U=0$ )

In absence of Hubbard interaction (i.e., for the pure Holstein model) CDW is expected for any EPI.

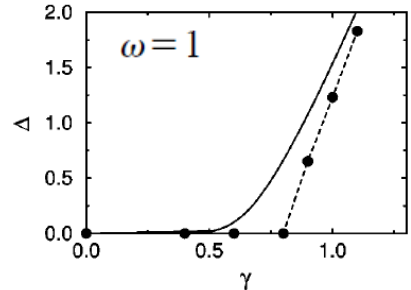
**HOWEVER**, in 1D a finite EPC is required for CDW

PHYSICAL REVIEW B VOLUME 60, NUMBER 11 15 SEPTEMBER 1999-I

## Metal-insulator transition in the one-dimensional Holstein model at half filling

Eric Jeckelmann,\* Chunli Zhang, and Steven R. White  
*Department of Physics and Astronomy, University of California, Irvine, California 92697*  
(Received 10 March 1999)

### DMRG



Metal-insulator transition from a Luther-Emery liquid to a charge ordered phase.

### Review paper...

[Eur. Phys. J. B \(2018\) 91: 204](https://doi.org/10.1140/epjb/e2018-90354-7)  
<https://doi.org/10.1140/epjb/e2018-90354-7>

THE EUROPEAN  
PHYSICAL JOURNAL B

Colloquium

### Density waves in strongly correlated quantum chains\*

Martin Hohenadler<sup>1,\*</sup> and Holger Fehske<sup>2,b</sup>

<sup>1</sup> Institut für Theoretische Physik und Astrophysik, Universität Würzburg, 97074 Würzburg, Germany  
<sup>2</sup> Institut für Theoretische Physik, Ernst-Moritz-Arndt-Universität Greifswald, 17487 Greifswald, Germany

# The pure Holstein model (U=0)

In absence of Hubbard interaction (i.e., for the pure Holstein model) CDW is expected for any EPI.

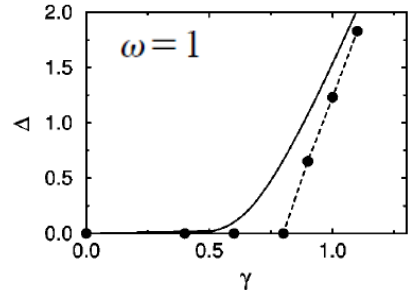
**HOWEVER**, in 1D a finite EPC is required for CDW

PHYSICAL REVIEW B VOLUME 60, NUMBER 11 15 SEPTEMBER 1999-I

## Metal-insulator transition in the one-dimensional Holstein model at half filling

Eric Jeckelmann,\* Chunli Zhang, and Steven R. White  
 Department of Physics and Astronomy, University of California, Irvine, California 92697  
 (Received 10 March 1999)

### DMRG



Metal-insulator transition from a Luther-Emery liquid to a charge ordered phase.

### Review paper...

Eur. Phys. J. B (2018) 91: 204  
<https://doi.org/10.1140/epjb/e2018-90354-7>

THE EUROPEAN PHYSICAL JOURNAL B

Colloquium

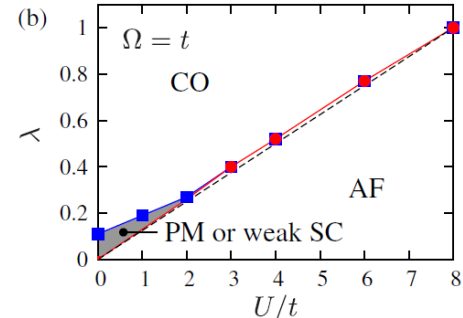
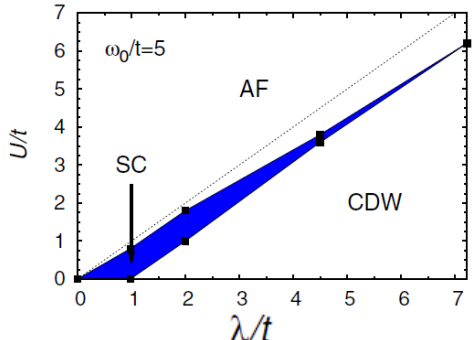
## Density waves in strongly correlated quantum chains\*

Martin Hohenadler<sup>1,\*</sup> and Holger Fehske<sup>2,b</sup>

<sup>1</sup> Institut für Theoretische Physik und Astrophysik, Universität Würzburg, 97074 Würzburg, Germany  
<sup>2</sup> Institut für Theoretische Physik, Ernst-Moritz-Arndt-Universität Greifswald, 17487 Greifswald, Germany

# How about the square lattice?

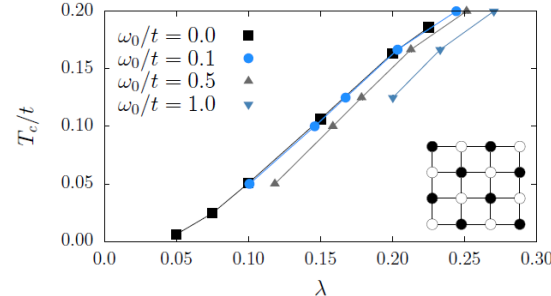
## Variational Monte Carlo approaches



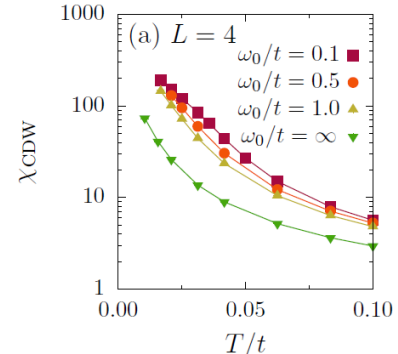
S. Karakuzu, et.al, PRB **98**, 201108 (2018) T. Ohgoe, et. al, PRL **119**, 197001 (2017)

QCP for a finite EPC!

## Quantum Monte Carlo approaches



M. Weber, et. al, PRB **98**, 085405 (2018)



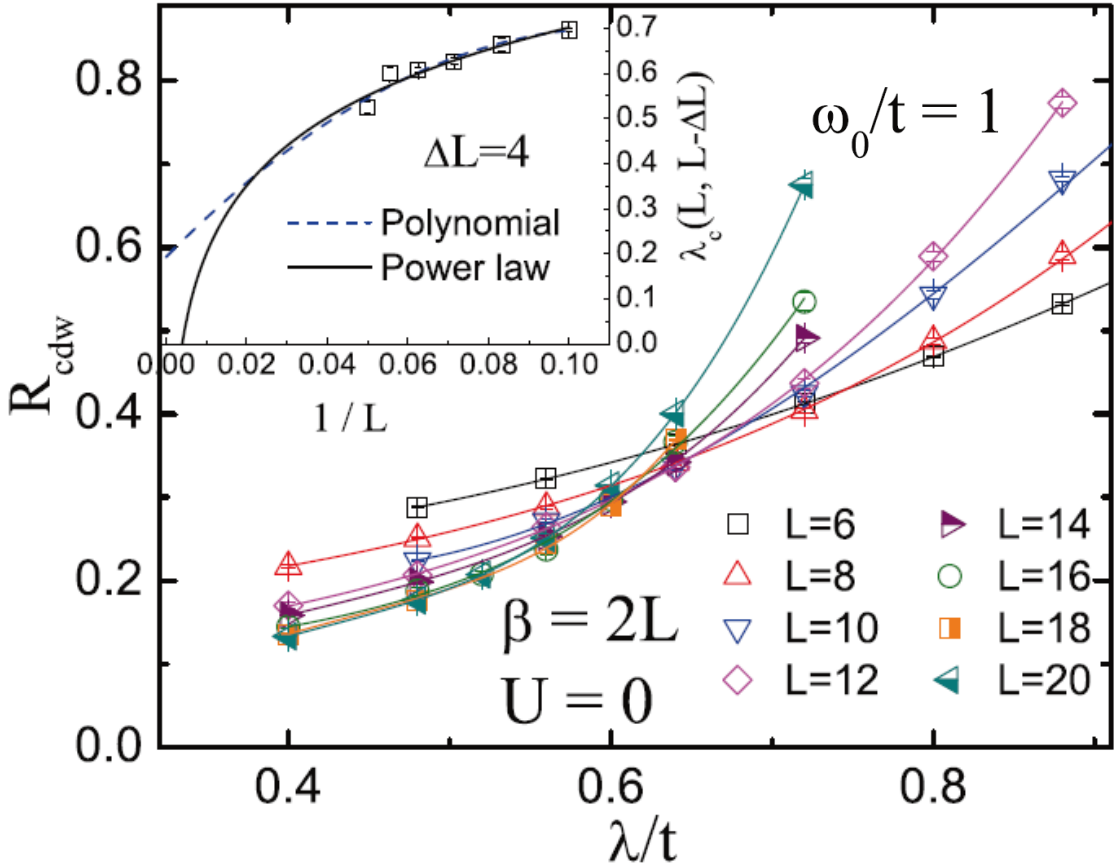
M. Hohenadler et. al, PRB **100**, 165114 (2019)

CDW for any EPC!

# The pure Holstein model ( $U=0$ )

DQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$

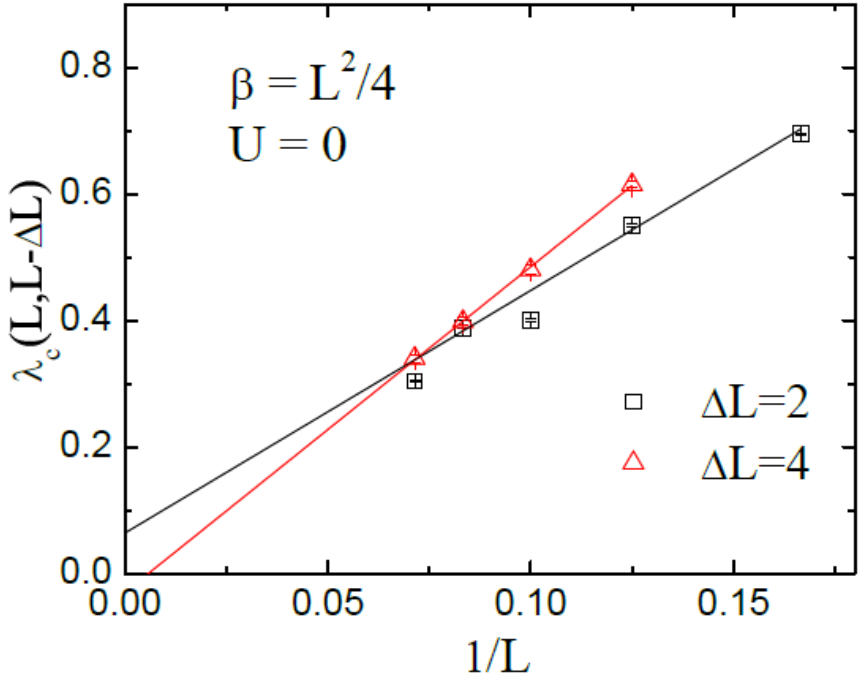
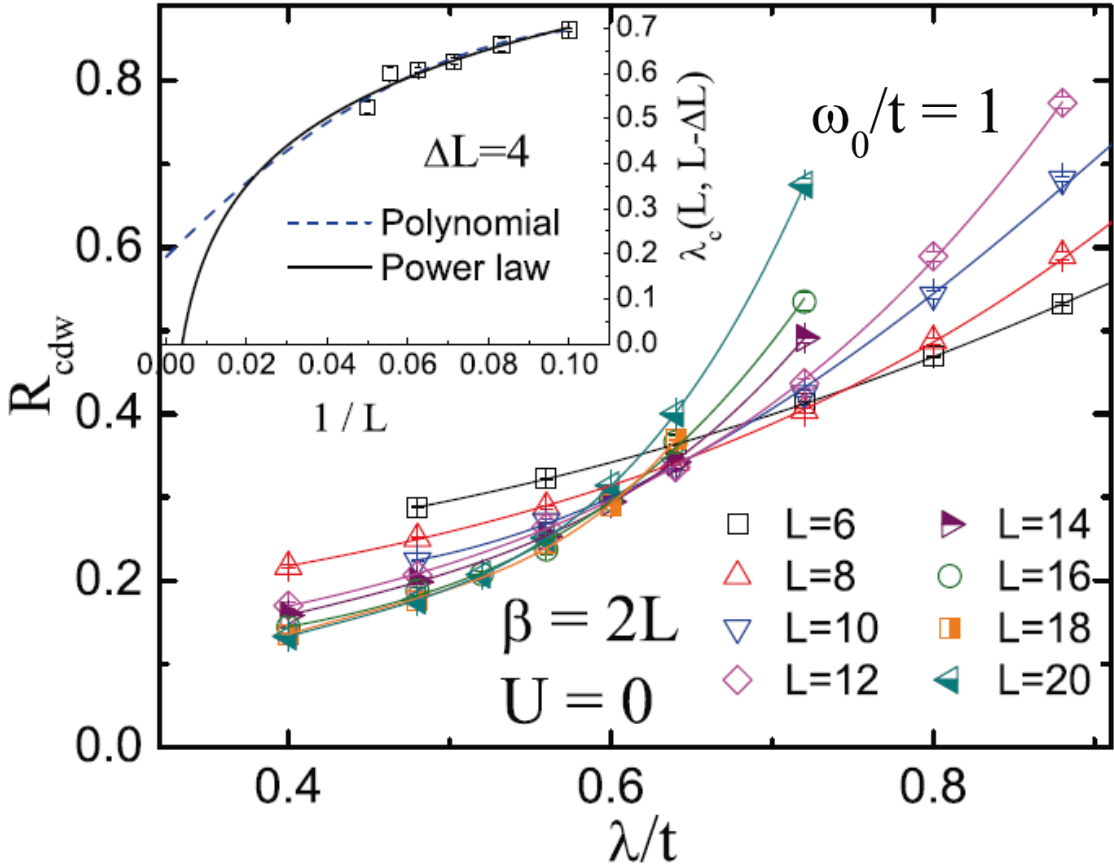


$$f(L) = a + bL^c$$

# The pure Holstein model ( $U=0$ )

DQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



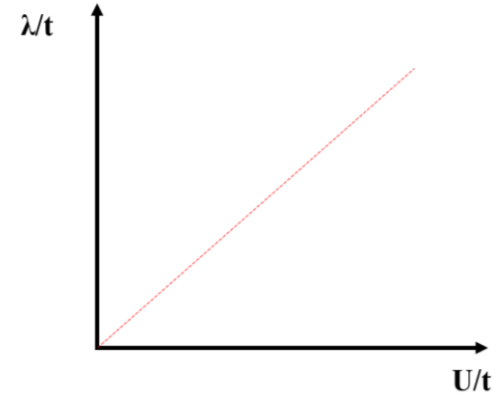
**No finite critical electron-phonon coupling for CDW for the pure Holstein model!!**

$$f(L) = a + bL^c$$

# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

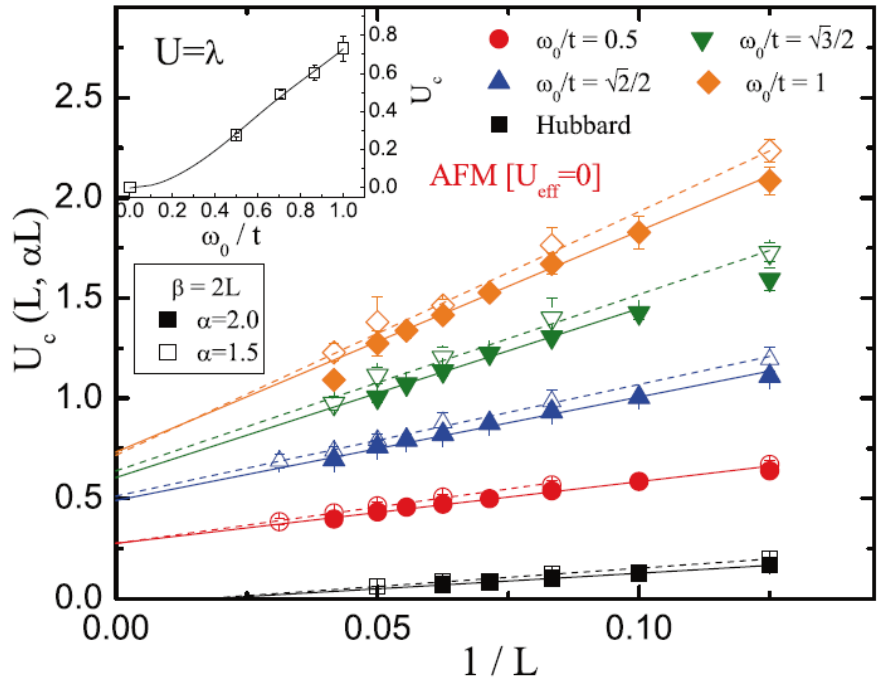
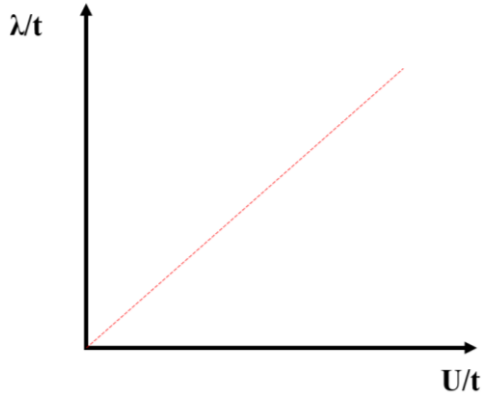
$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

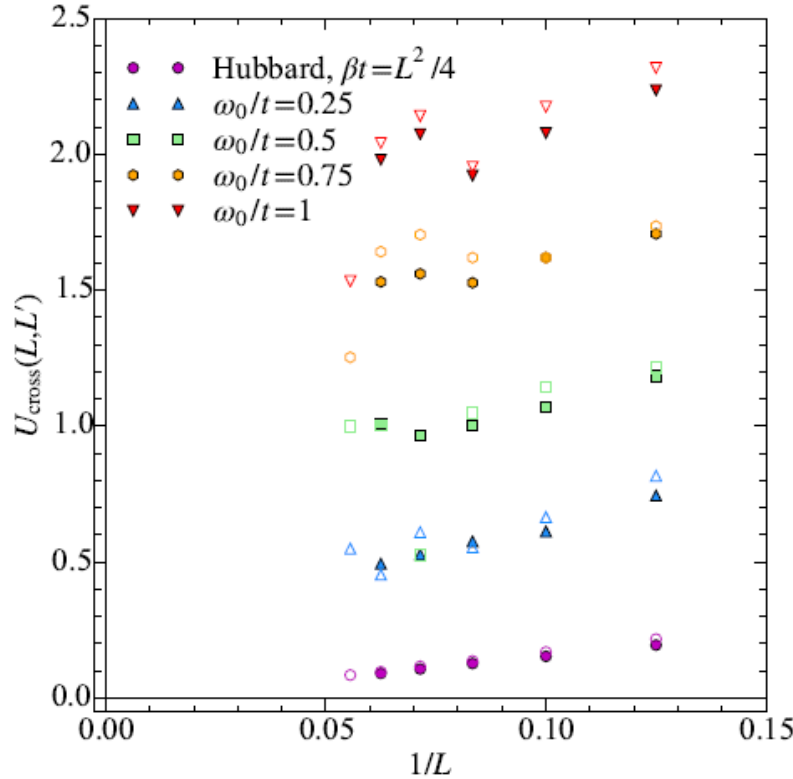
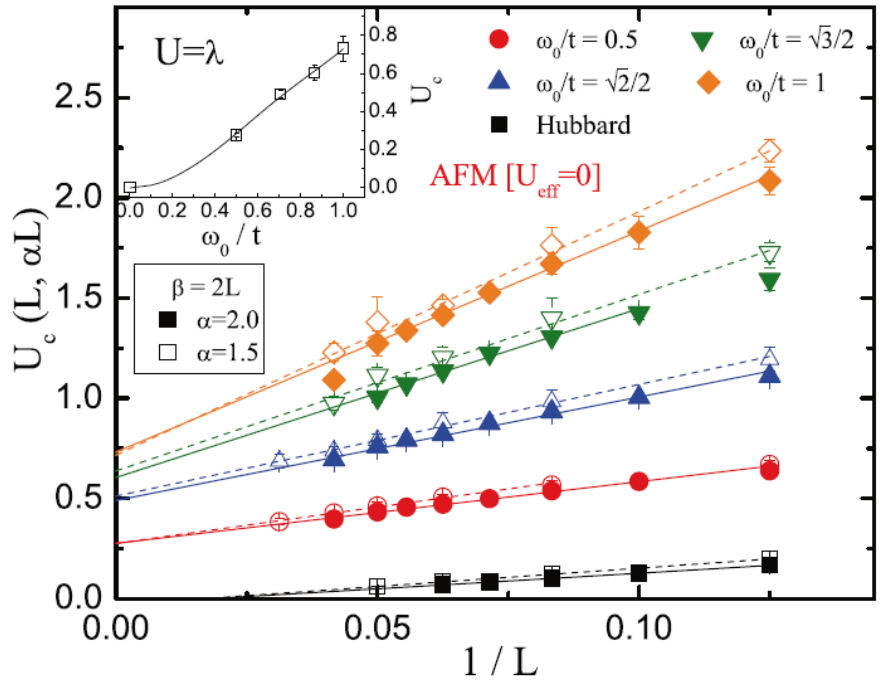
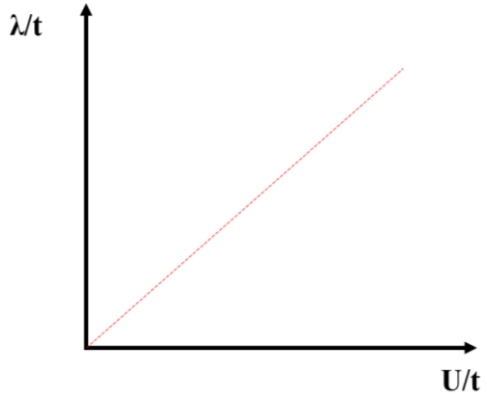
$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



**AFM QCP for  $U=\lambda$  !**  
**Spin fluctuations are stronger than charge ones.**

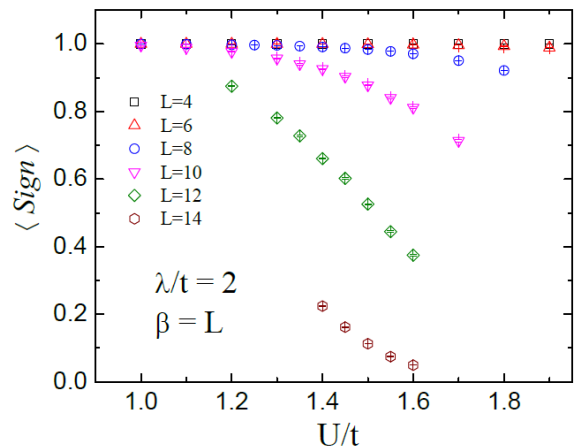
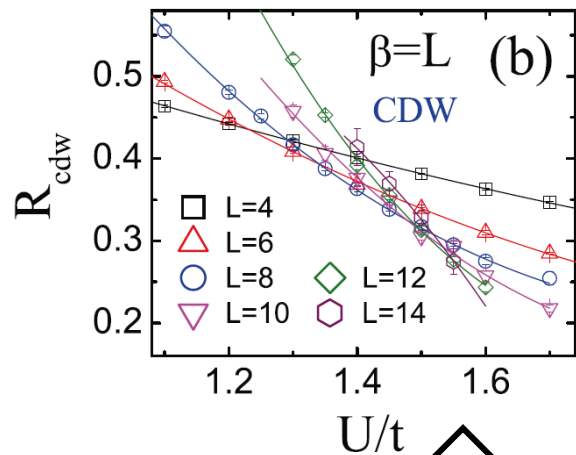
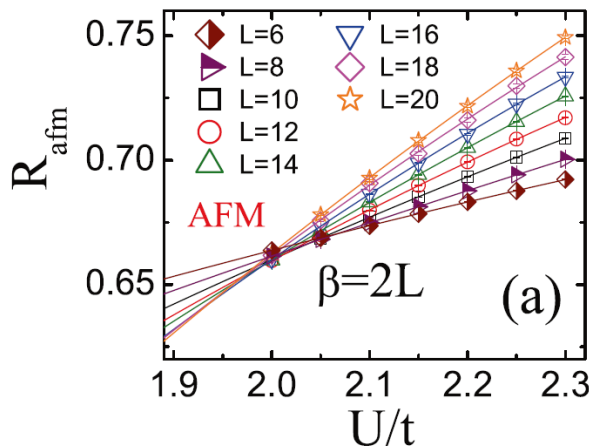
Metal-Insulator transition?

# The Hubbard-Holstein model ( $U \neq \lambda$ )

AFQMC and DQMC Methods

$\lambda/t=2$       $\omega_0/t = 1$

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



↑  
 (DQMC) Average fermionic sign  
 ←

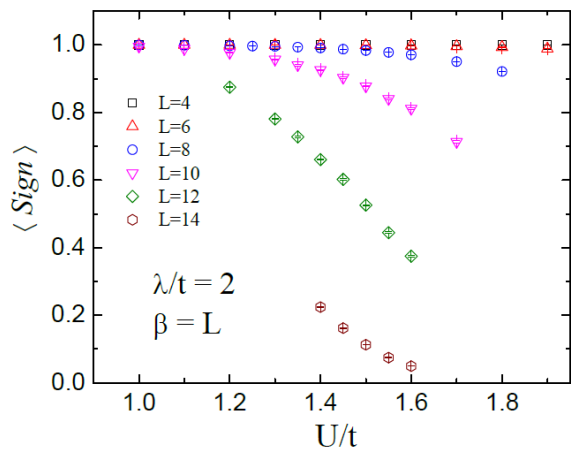
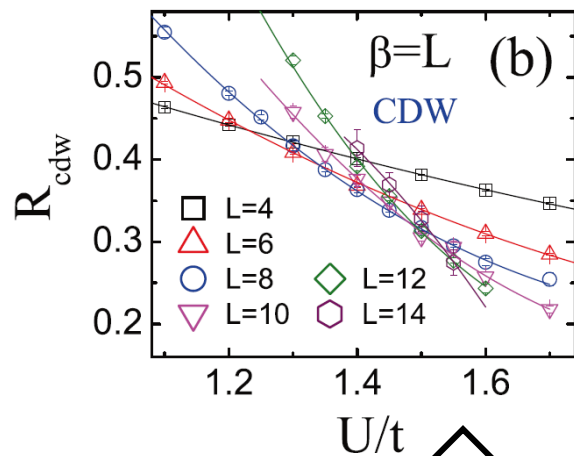
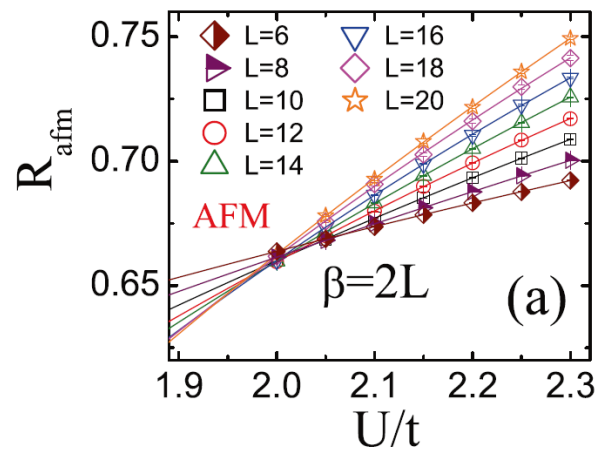


# The Hubbard-Holstein model ( $U \neq \lambda$ )

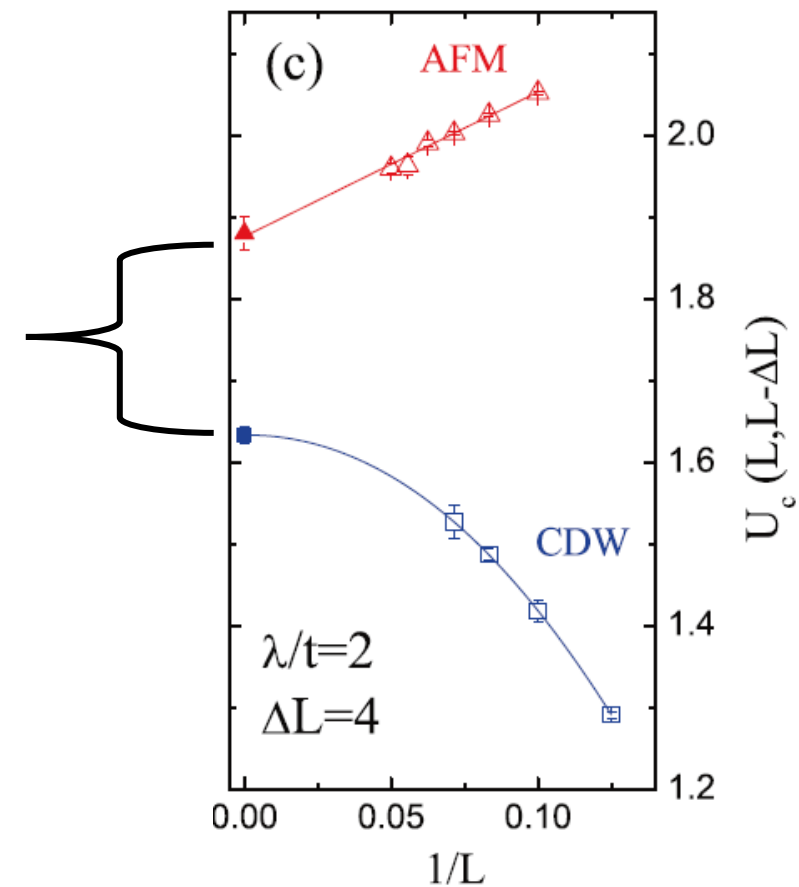
AFQMC and DQMC Methods

$\lambda/t=2$      $\omega_0/t = 1$

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



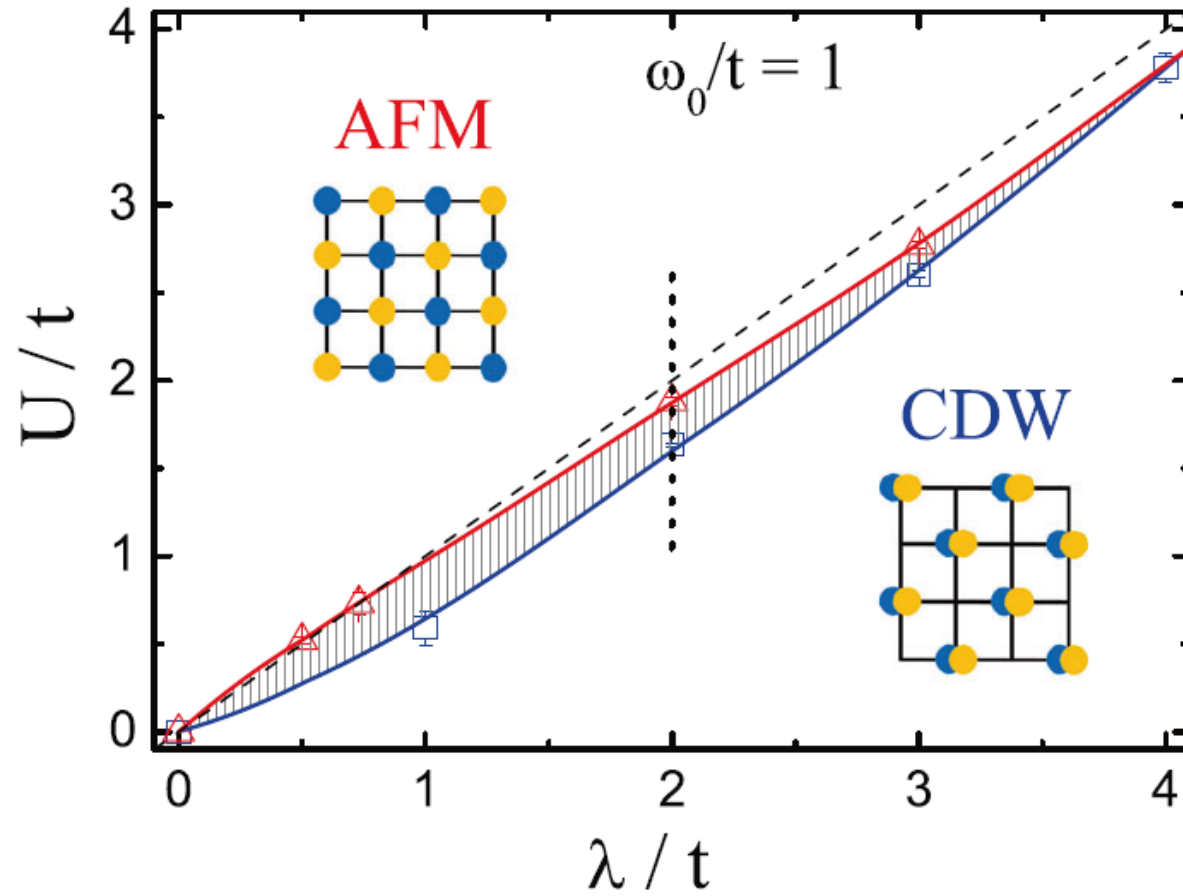
(DQMC) Average fermionic sign



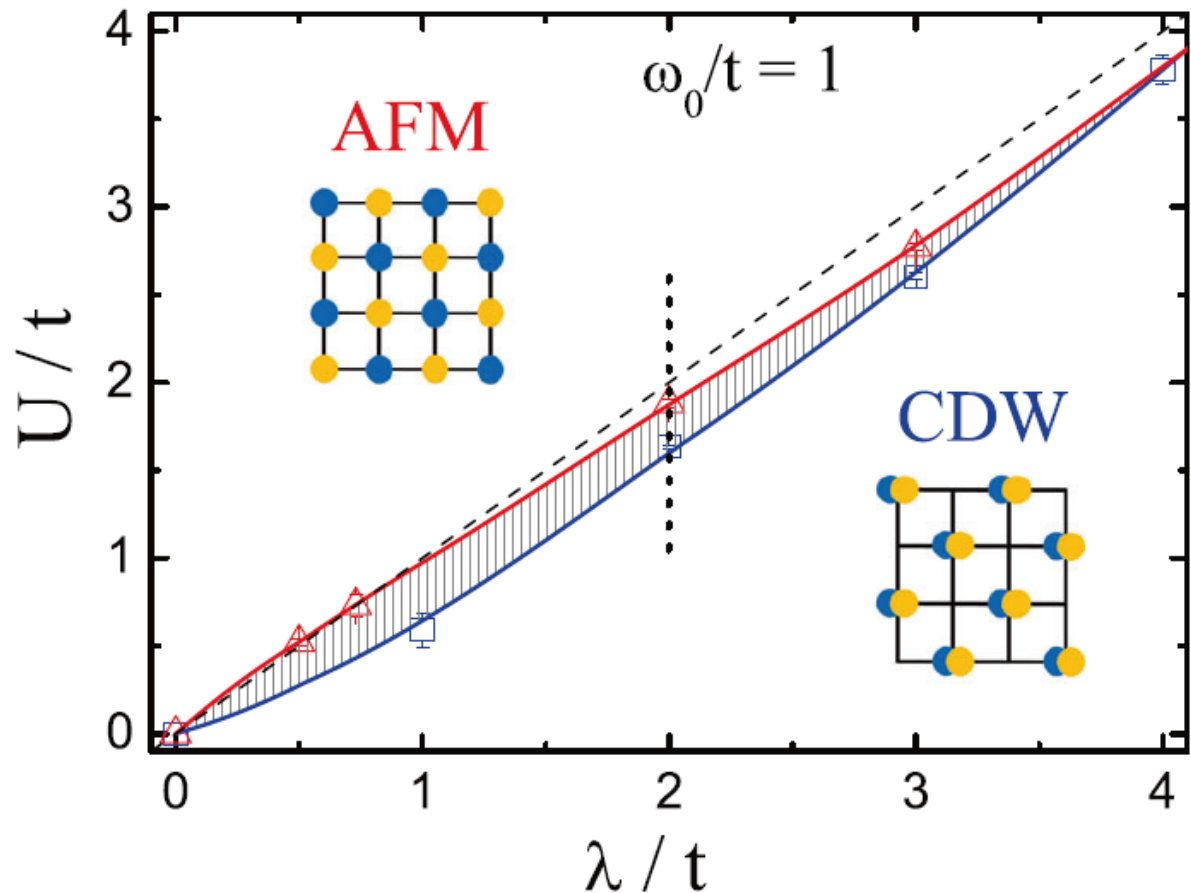
No CDW, no AFM.  
Metal or superconductor ?

The phase diagram of the Hubbard-Holstein model

Metal or superconductor ?



# The phase diagram of the Hubbard-Holstein model



# Metal or superconductor ?

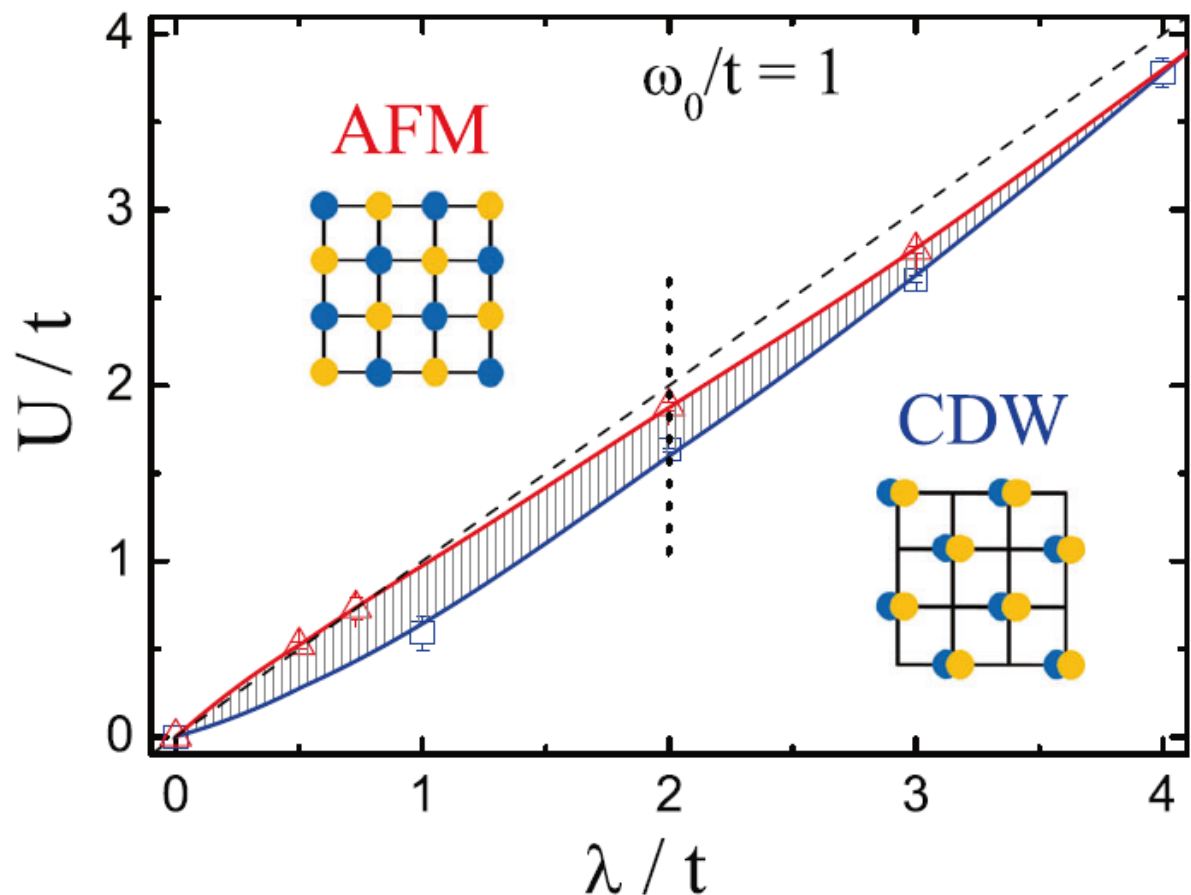
$$\chi_{\alpha(sc)}^{\text{eff}} = \chi_{\alpha(sc)} - \bar{\chi}_{\alpha(sc)}$$

decoupled contributions

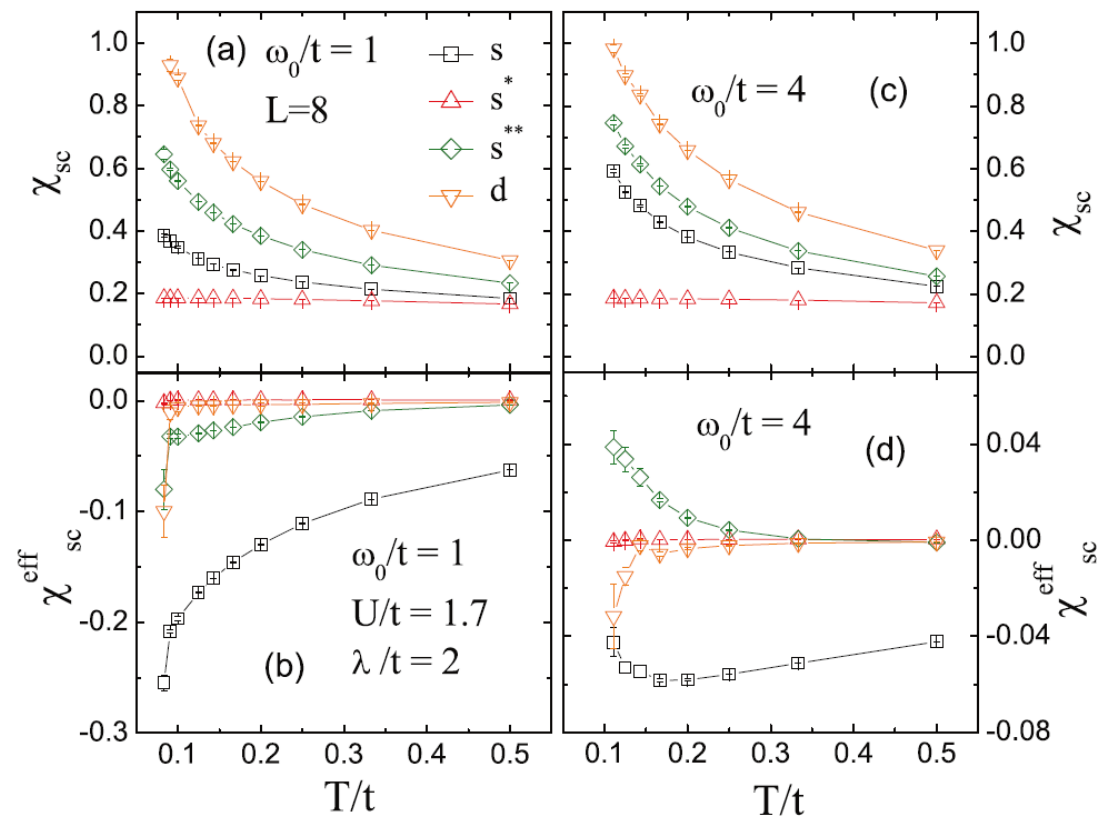
$$\langle c_{i\downarrow}^\dagger(\tau) c_{j\downarrow}(0) \rangle \langle c_{\mathbf{k}\uparrow}^\dagger(\tau) c_{\mathbf{l}\uparrow}(0) \rangle$$

$> 0$  att. channel  
 $< 0$  att.-channel

# The phase diagram of the Hubbard-Holstein model



# Metal or superconductor ?



$$\chi_{\alpha(sc)}^{eff} = \chi_{\alpha(sc)} - \bar{\chi}_{\alpha(sc)}$$

$> 0$  att. channel  
 $< 0$  att.-channel

decoupled contributions

$$\langle c_{i\downarrow}^\dagger(\tau) c_{j\downarrow}(0) \rangle \langle c_{\mathbf{k}\uparrow}^\dagger(\tau) c_{\mathbf{l}\uparrow}(0) \rangle$$

**s-wave**

## Partial Conclusions

- No finite critical electron-phonon coupling for CDW in the pure Holstein model;
- Existence of a metal-AFM transition on the line  $U=\lambda$ , with its critical coupling strength depending on  $\omega_0$ ;
- First unbiased phase diagram for the HHM in the square lattice;
- Existence of a metallic-like region between CDW and AFM, with an enhancement of nonlocal s-wave pairing.



# COMMUNICATIONS PHYSICS

ARTICLE

<https://doi.org/10.1038/s42005-020-0342-2>

OPEN

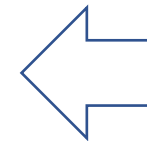


## Phase diagram of the two-dimensional Hubbard-Holstein model

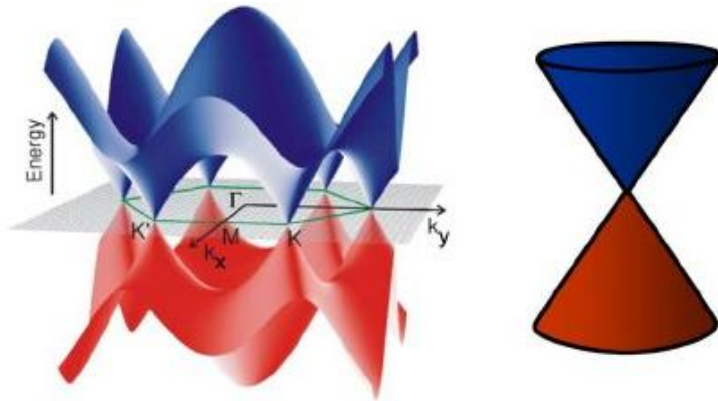
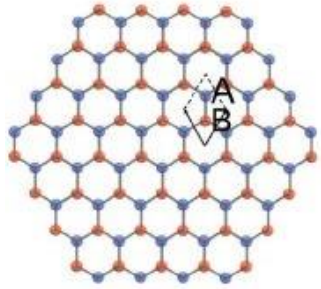
Natanael C. Costa<sup>1,2</sup>✉, Kazuhiro Seki<sup>3</sup> , Seiji Yunoki<sup>3,4,5</sup> & Sandro Sorella<sup>1</sup>

# Outline

- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks



# Honeycomb lattice

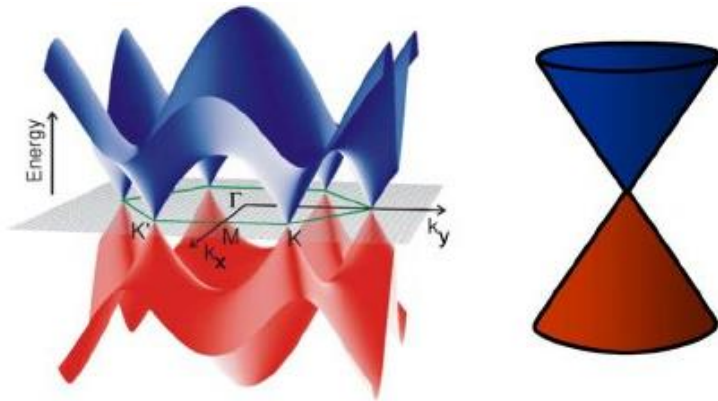
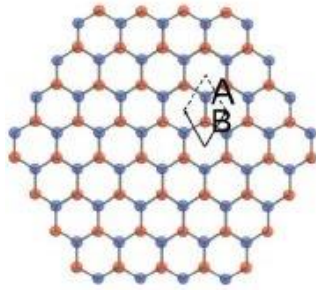


Vanishing Density of States (half-filling)

- Finite  $U$  for AFM;
- Finite  $\lambda$  for CDW;
- Suppression of pairing



# Honeycomb lattice

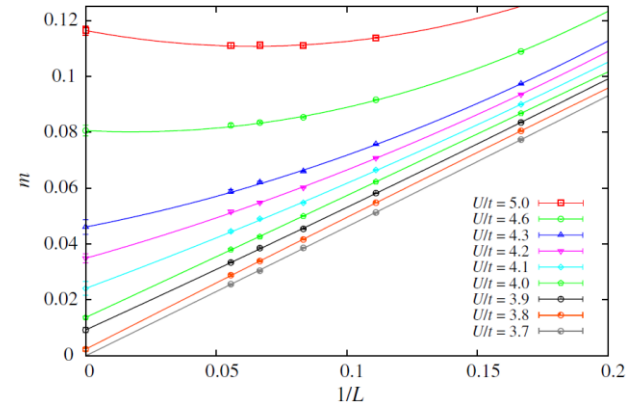


Vanishing Density of States (half-filling)

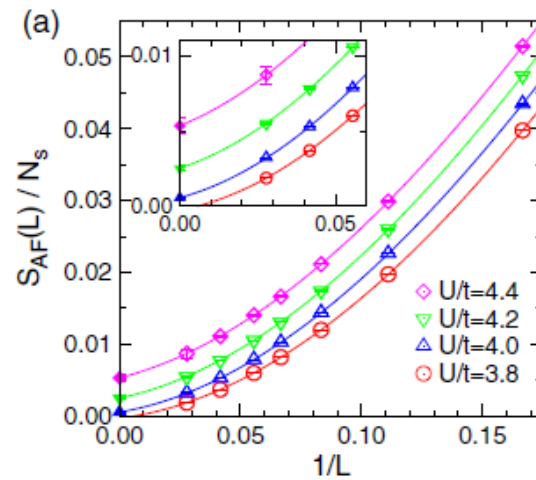
- Finite  $U$  for AFM;
- Finite  $\lambda$  for CDW;
- Suppression of pairing

## Pure Hubbard model

$$U_c \approx 3.8 t$$

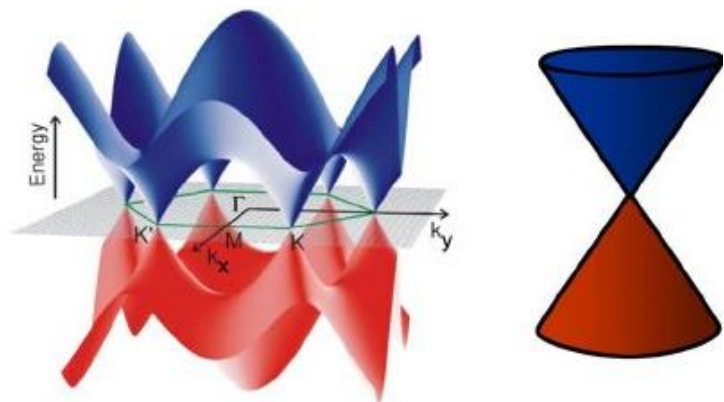
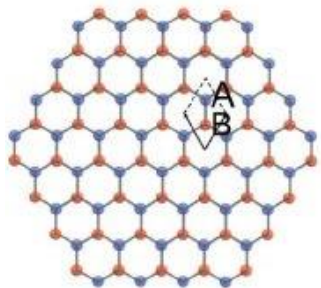


F. Assaad et.al, PRX **3**, 031010 (2013)



Y. Otsuka et.al, PRX **6**, 011029 (2016)

# Honeycomb lattice

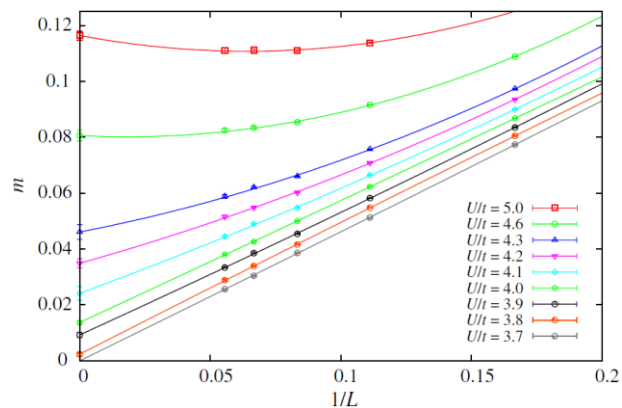


Vanishing Density of States (half-filling)

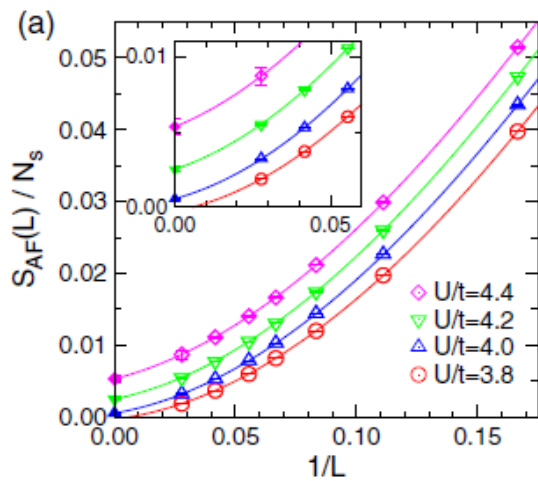
- Finite  $U$  for AFM;
- Finite  $\lambda$  for CDW;
- Suppression of pairing

## Pure Hubbard model

$$U_c \approx 3.8 t$$



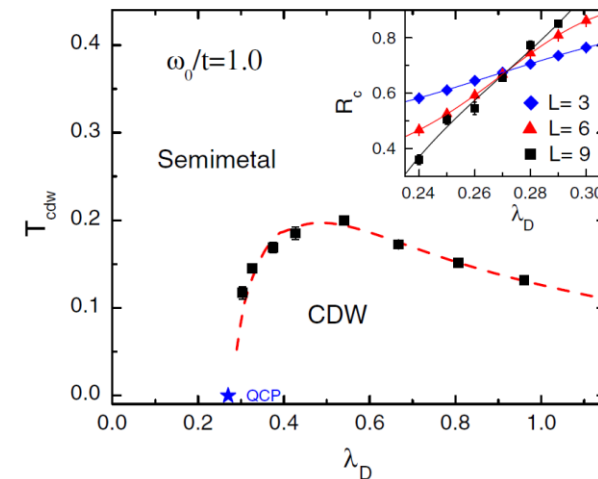
F. Assaad et.al, PRX **3**, 031010 (2013)



Y. Otsuka et.al, PRX **6**, 011029 (2016)

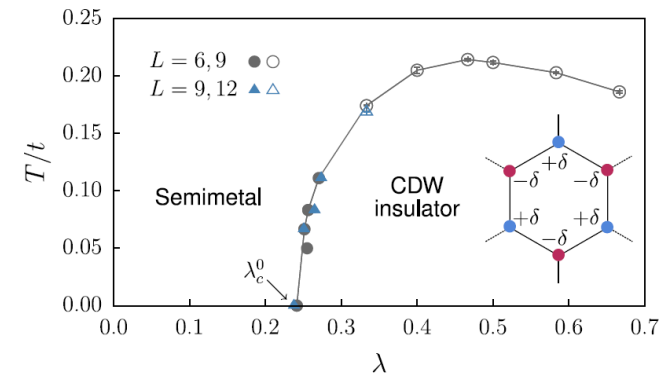
## Pure Holstein model

$$\lambda_c \approx 1.60t \ (\omega/t=1)$$



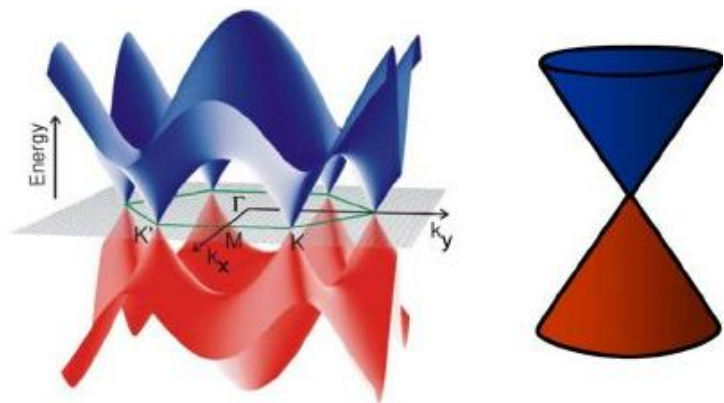
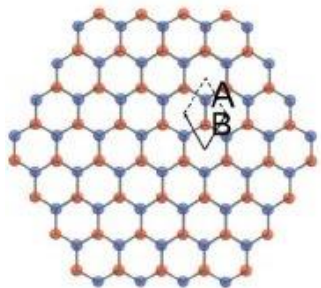
Y-X Zhang et.al, PRL **122**, 077602 (2019)

$$\lambda_c \approx 1.43t \ (\omega/t=0.5)$$



C. Chen, et.al, Phys. Rev. Lett. **122**, 077601 (2019)

# Honeycomb lattice

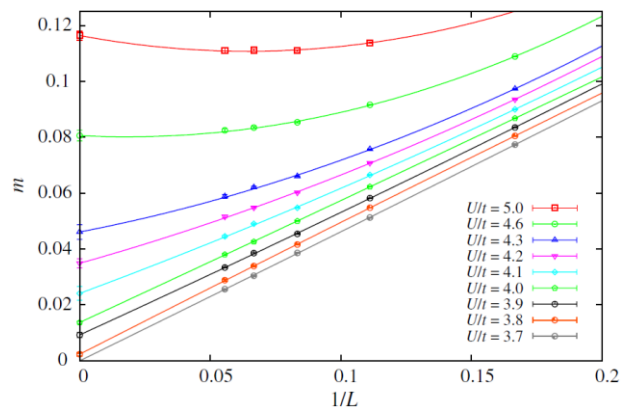


Vanishing Density of States (half-filling)

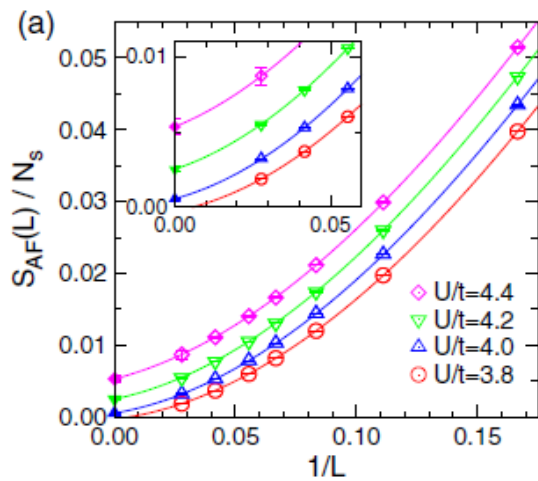
- Finite  $U$  for AFM;
- Finite  $\lambda$  for CDW;
- Suppression of pairing

## Pure Hubbard model

$$U_c \approx 3.8 t$$



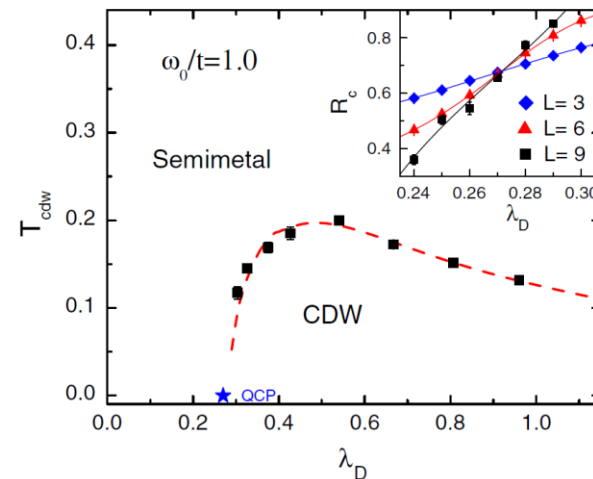
F. Assaad et.al, PRX **3**, 031010 (2013)



Y. Otsuka et.al, PRX **6**, 011029 (2016)

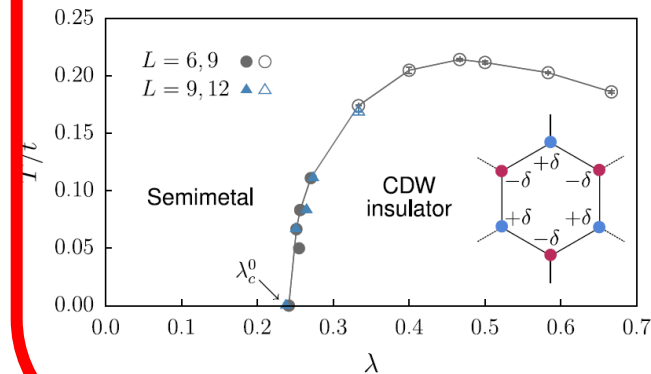
## Pure Holstein model

$$\lambda_c \approx 1.60t \quad (\omega/t=1)$$



Y-X Zhang et.al, PRL **122**, 077602 (2019)

$$\lambda_c \approx 1.43t \quad (\omega/t=0.5)$$

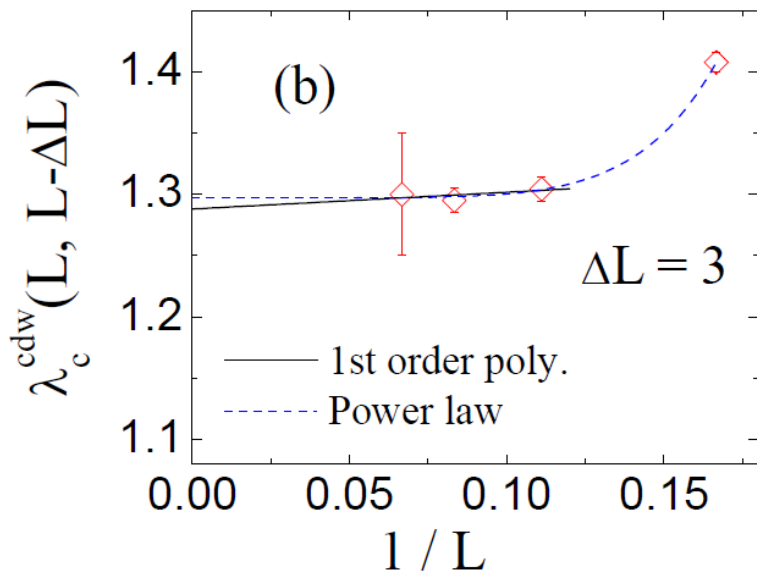
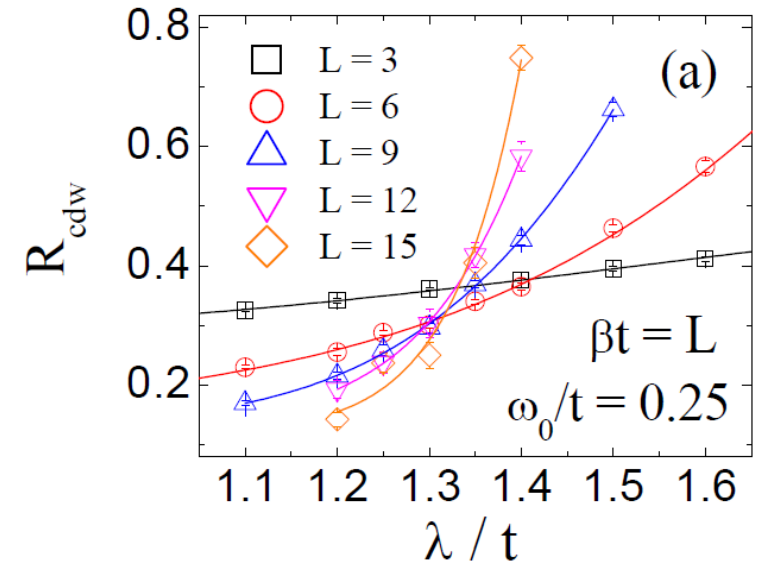


C. Chen, et.al, Phys. Rev. Lett. **122**, 077601 (2019)

# The pure Holstein model (U=0)

DQMC Method

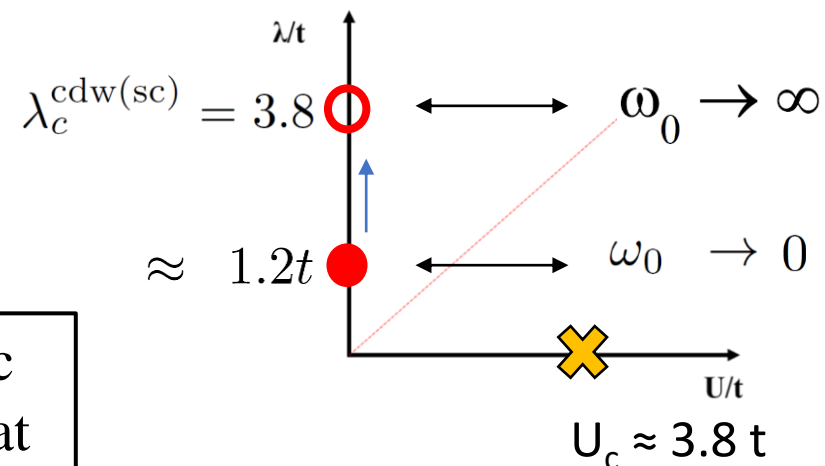
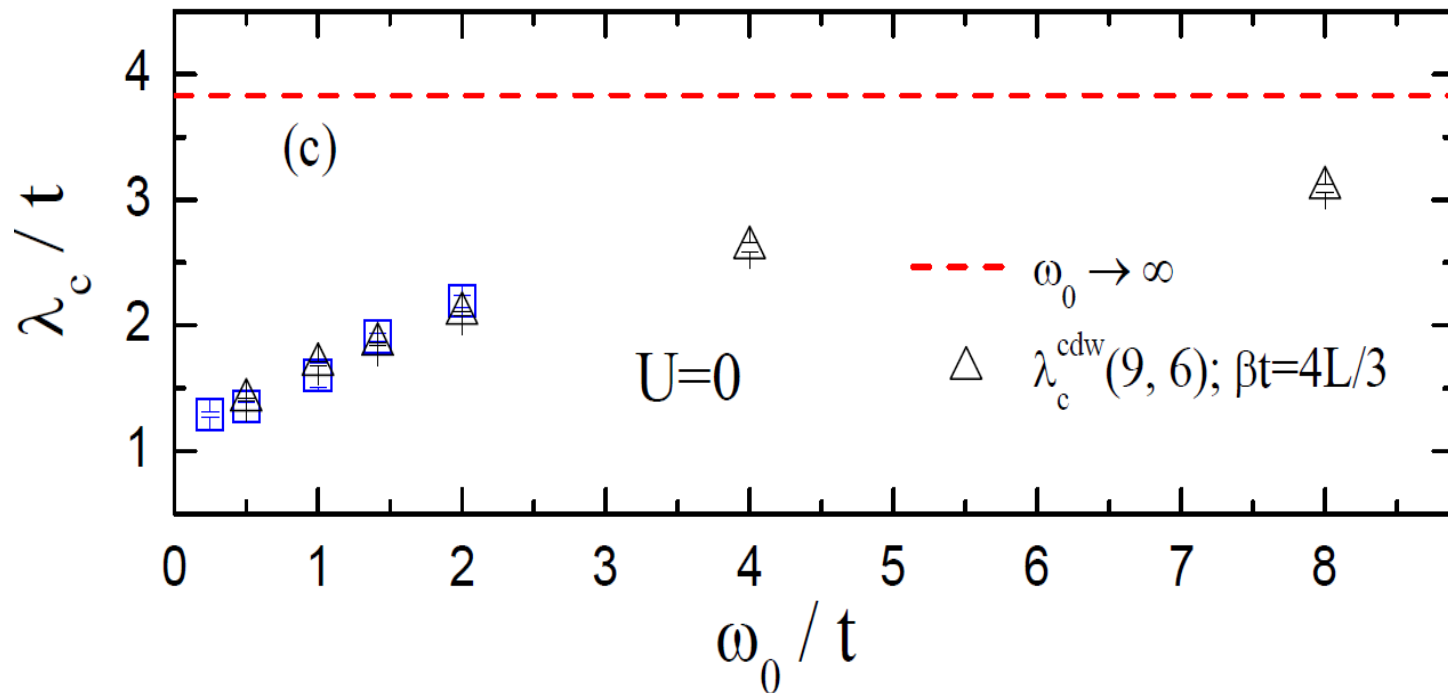
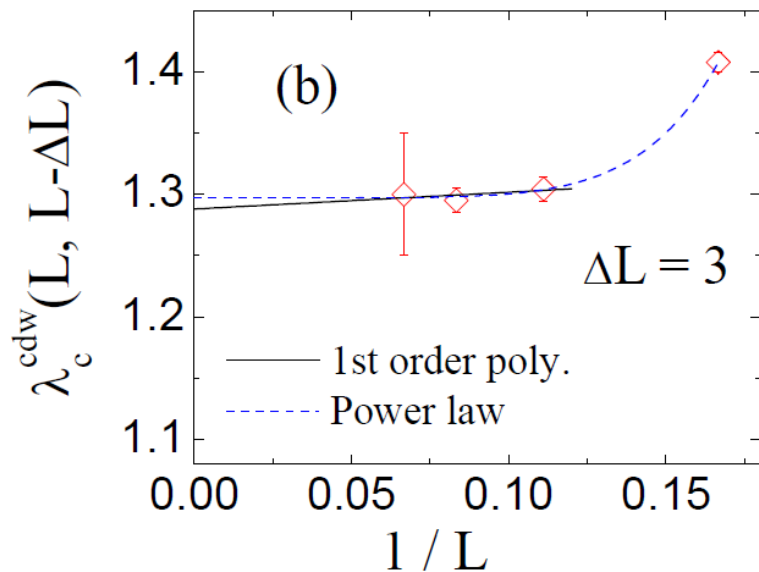
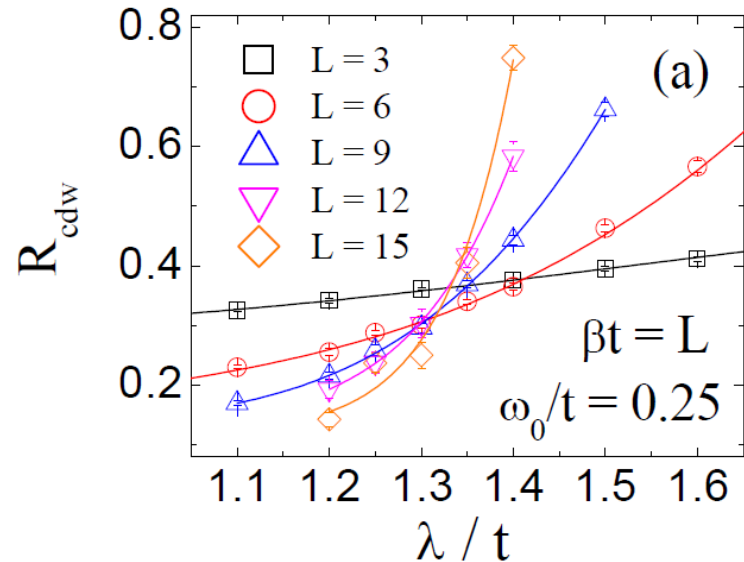
$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



# The pure Holstein model ( $U=0$ )

DQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})}$$



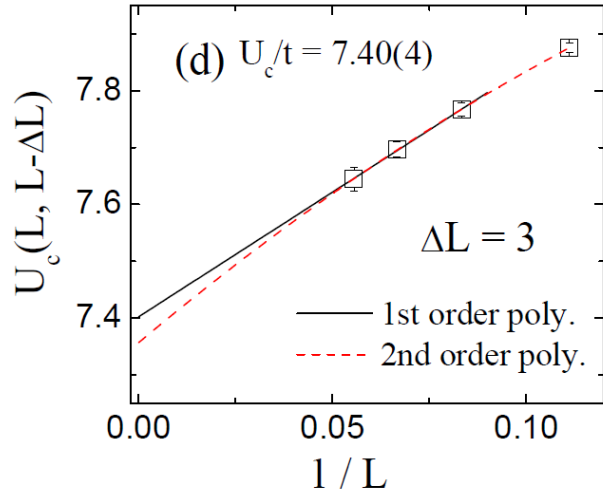
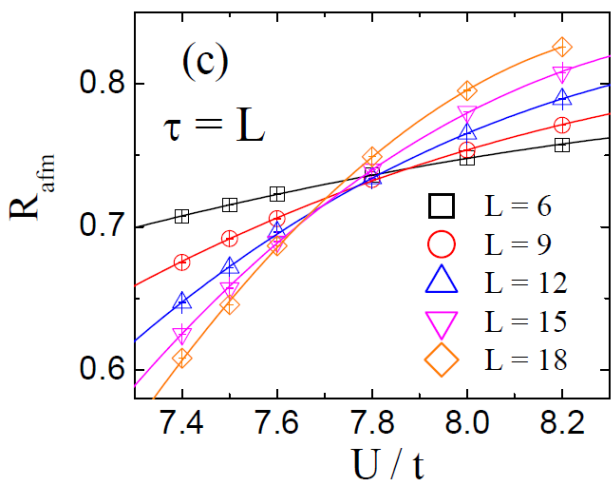
The *true* antiadiabatic limit seems to occur at very large values of  $\omega$

# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})} \quad \omega_0 / t = 1$$

$$U = \lambda$$

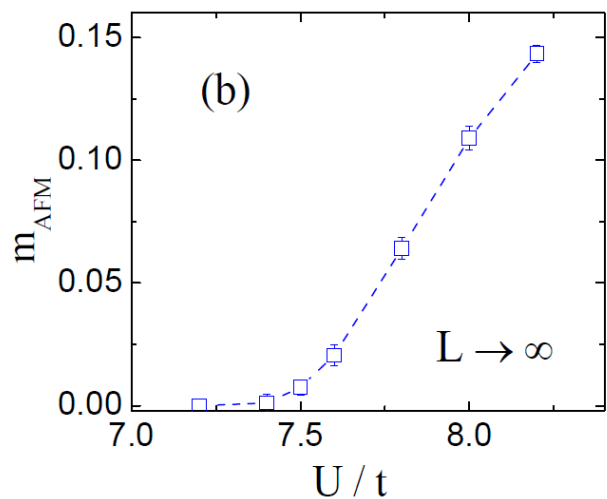
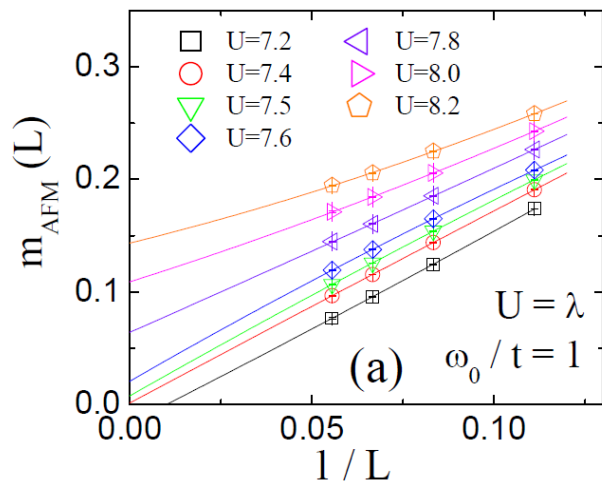
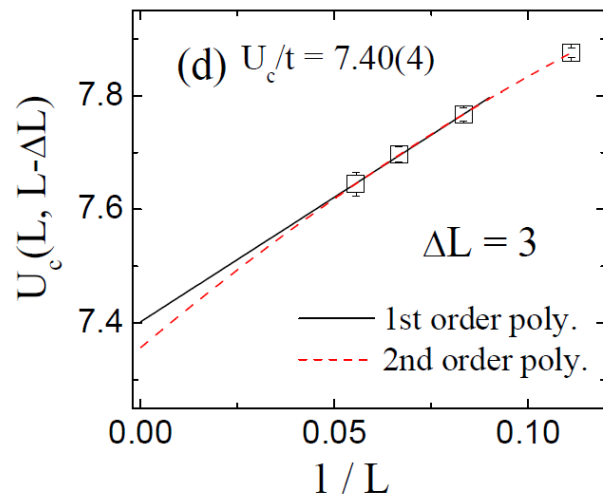
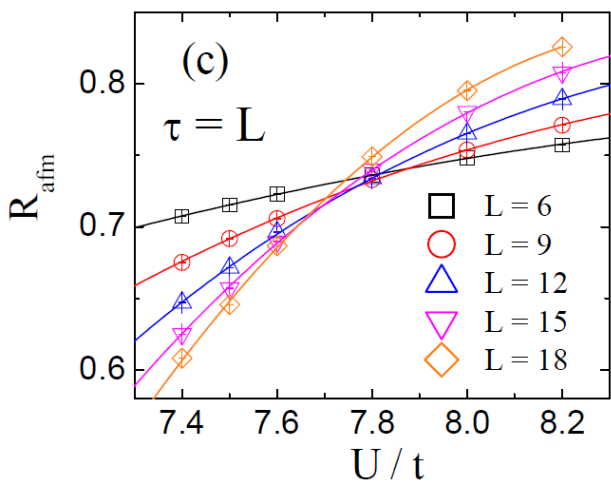


# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})} \quad \omega_0 / t = 1$$

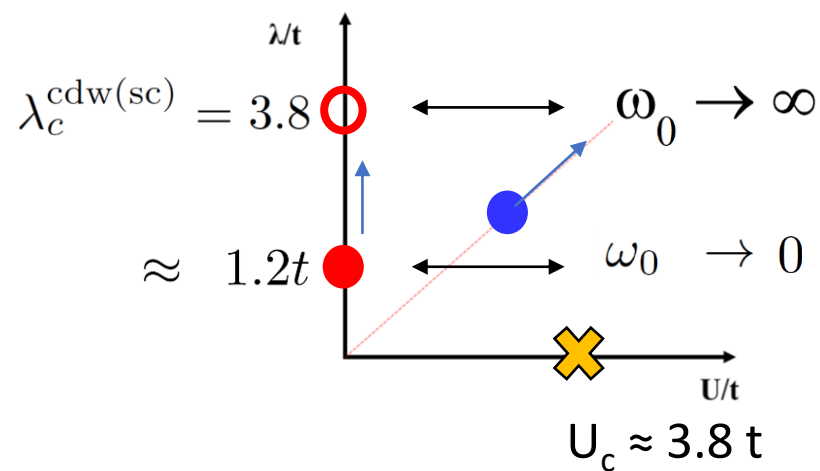
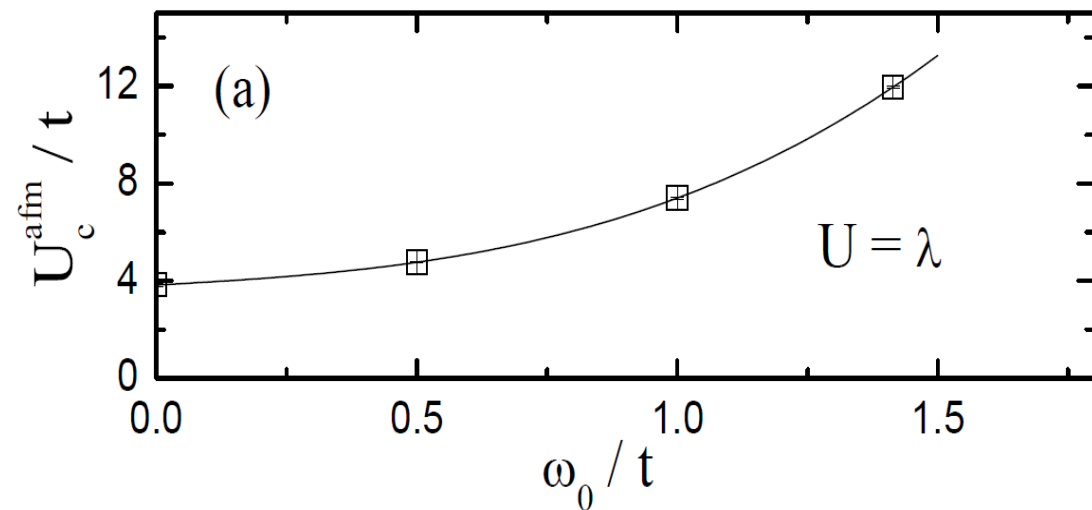
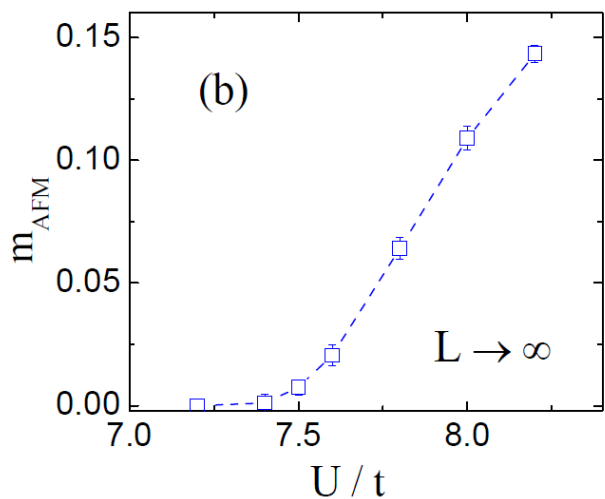
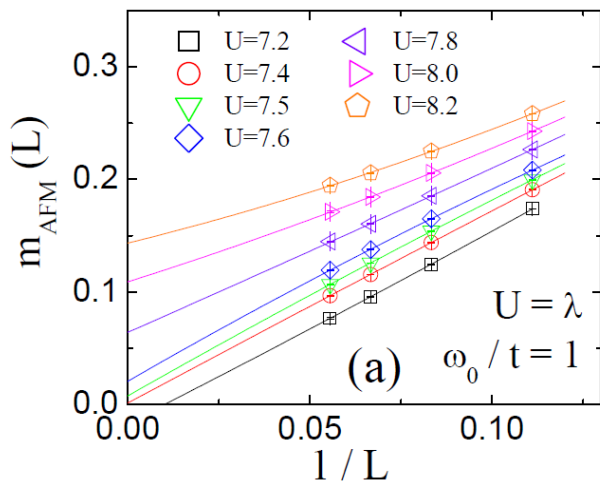
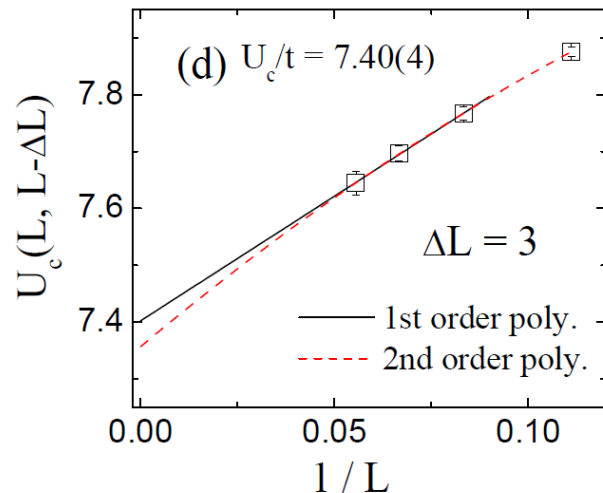
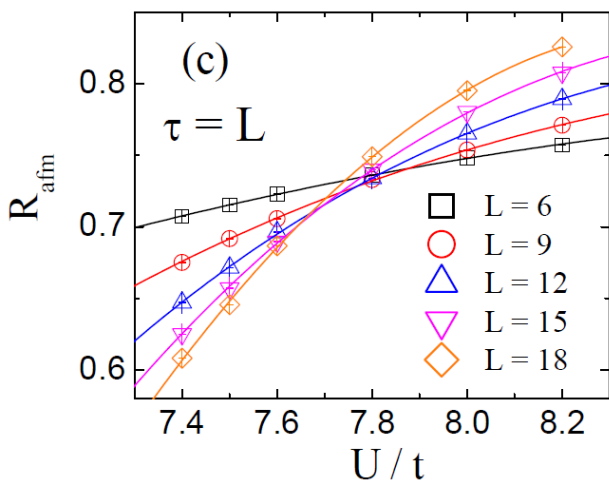
$$U = \lambda$$



# The Hubbard-Holstein model ( $U=\lambda$ )

AFQMC Method

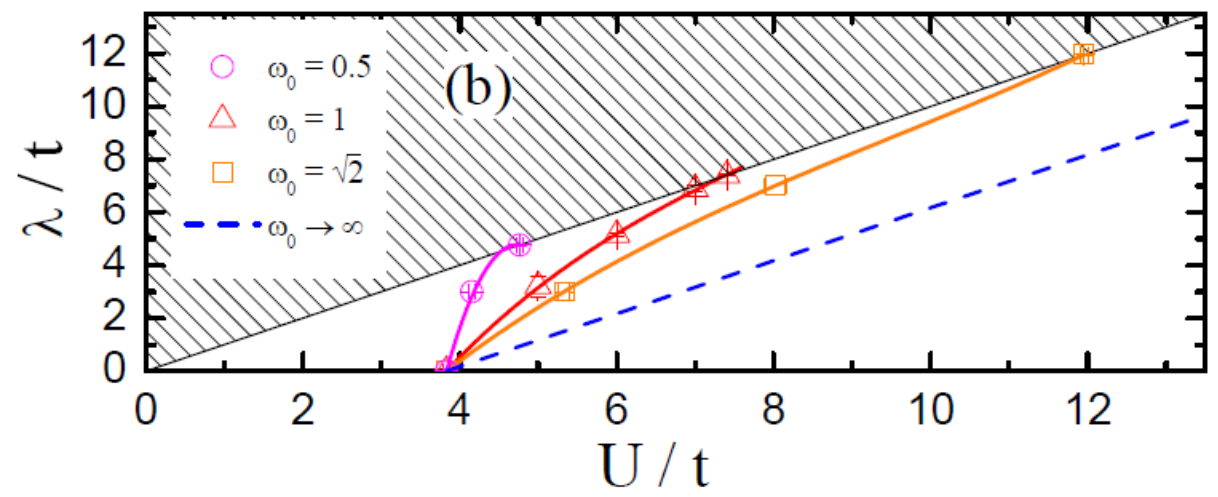
$$R_\nu(L) = 1 - \frac{S_\nu(\mathbf{q} + \delta\mathbf{q})}{S_\nu(\mathbf{q})} \quad \omega_0 / t = 1 \quad U = \lambda$$





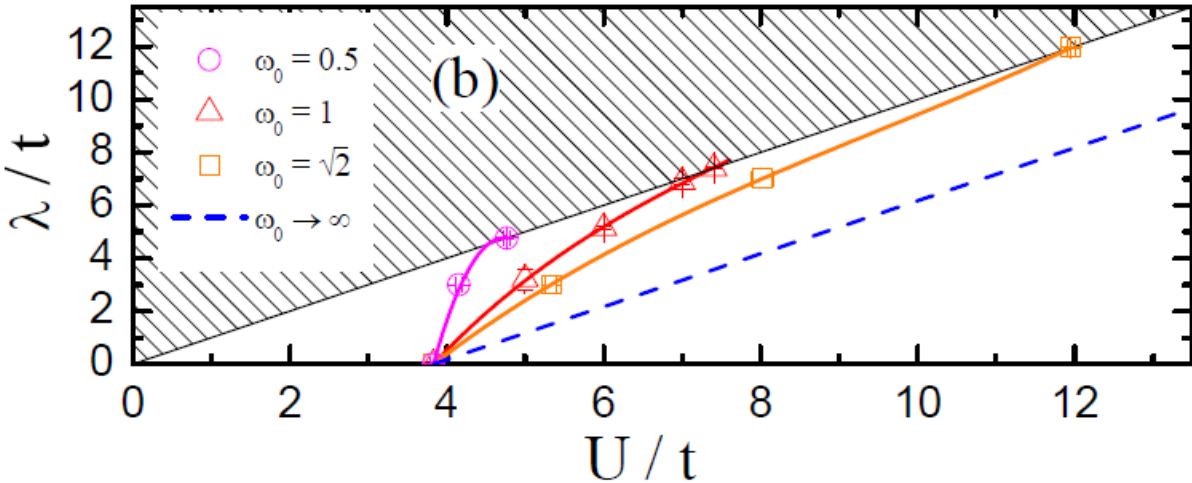
# The Hubbard-Holstein model ( $U \neq \lambda$ )

AFQMC Method



# The Hubbard-Holstein model ( $U \neq \lambda$ )

AFQMC Method

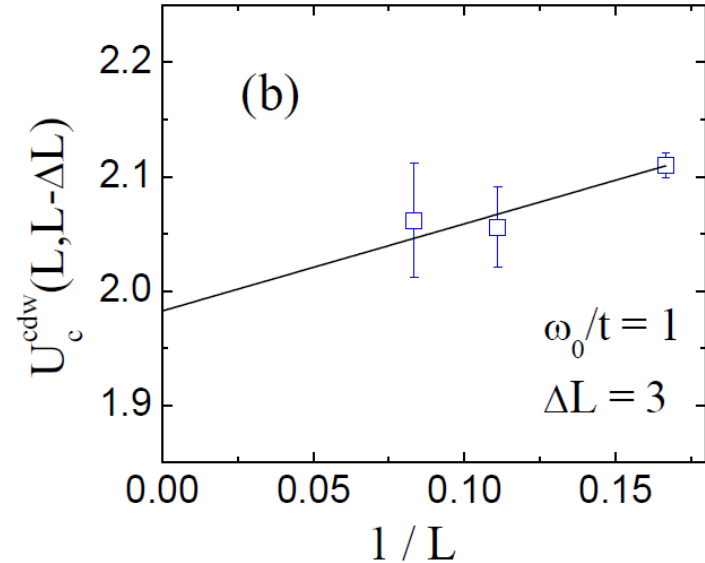
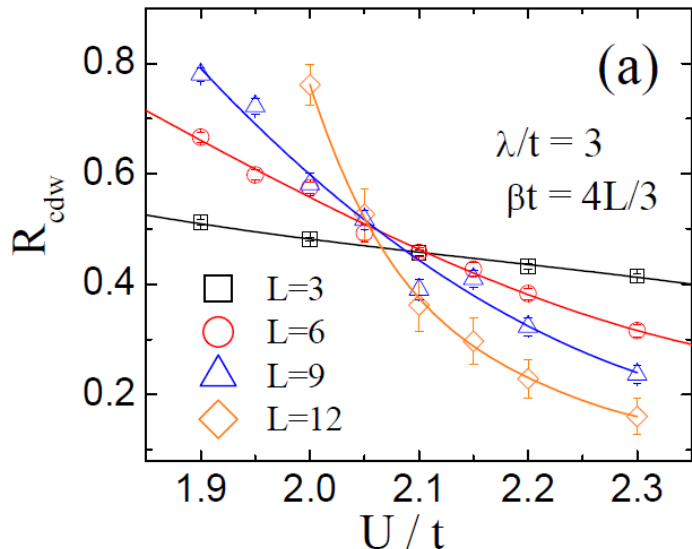


DQMC Method

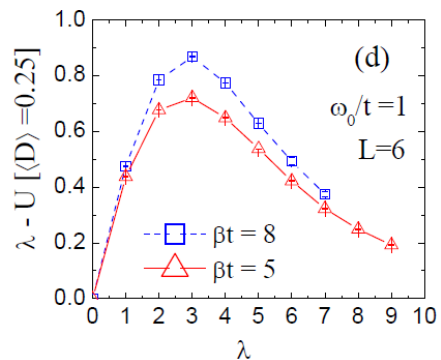
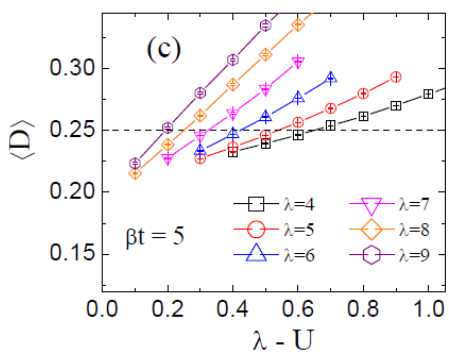
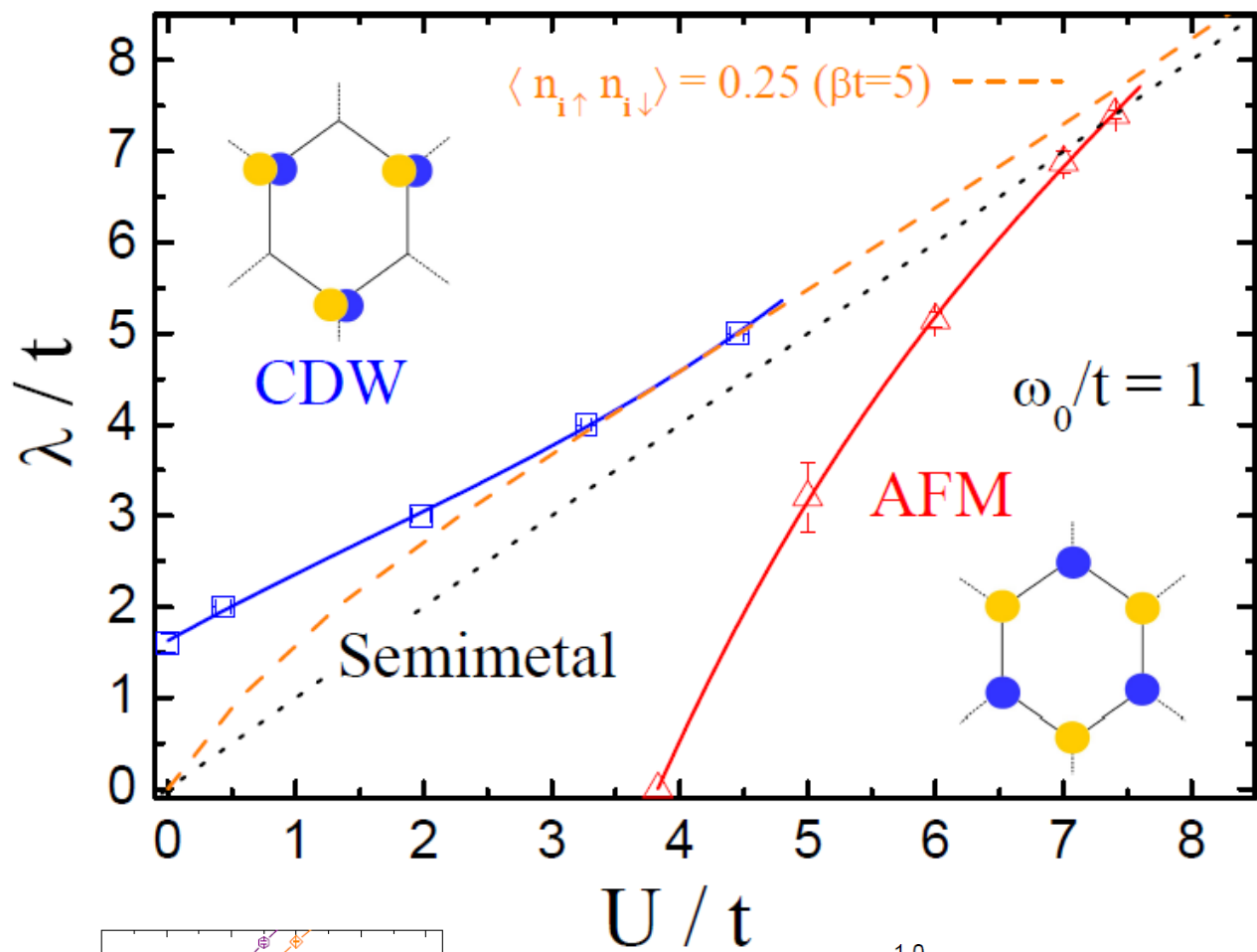
$\omega_0/t = 1$   
 $\lambda/t = 3$



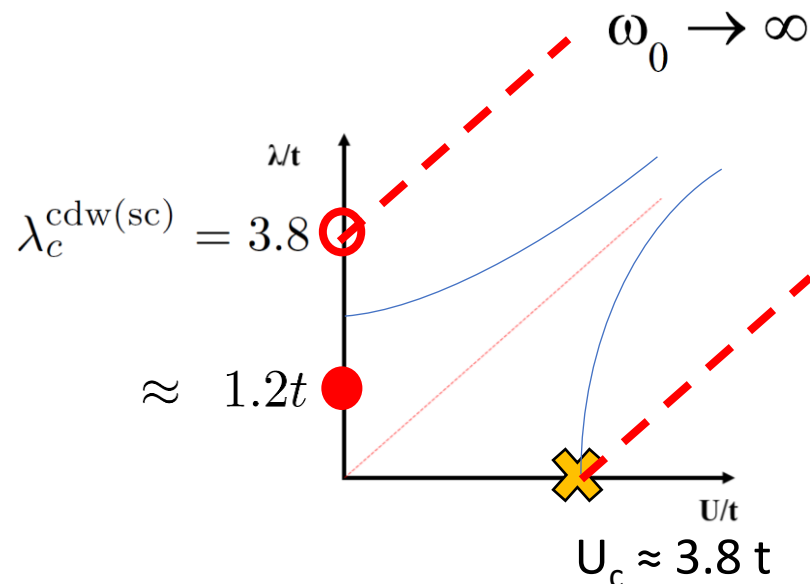
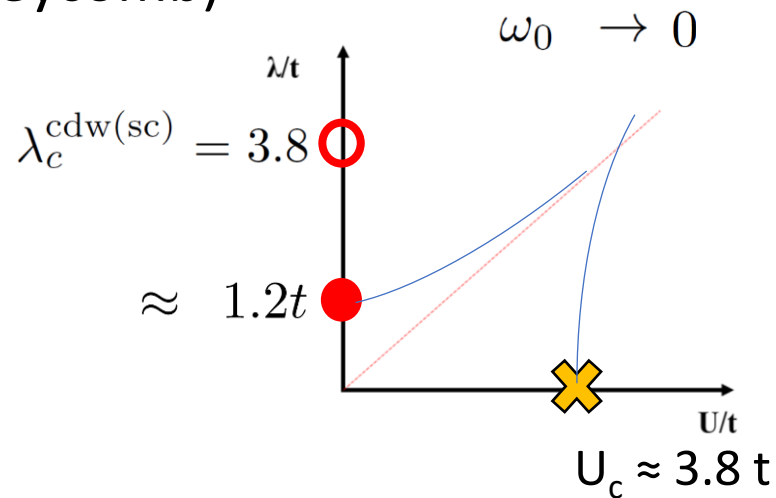
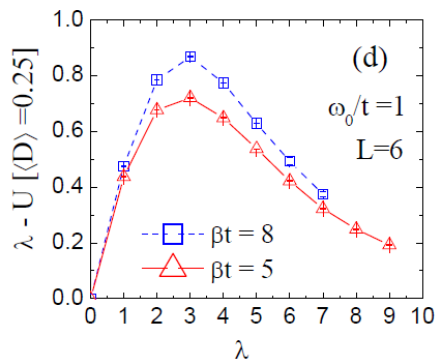
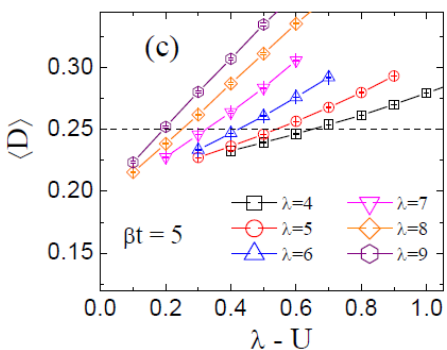
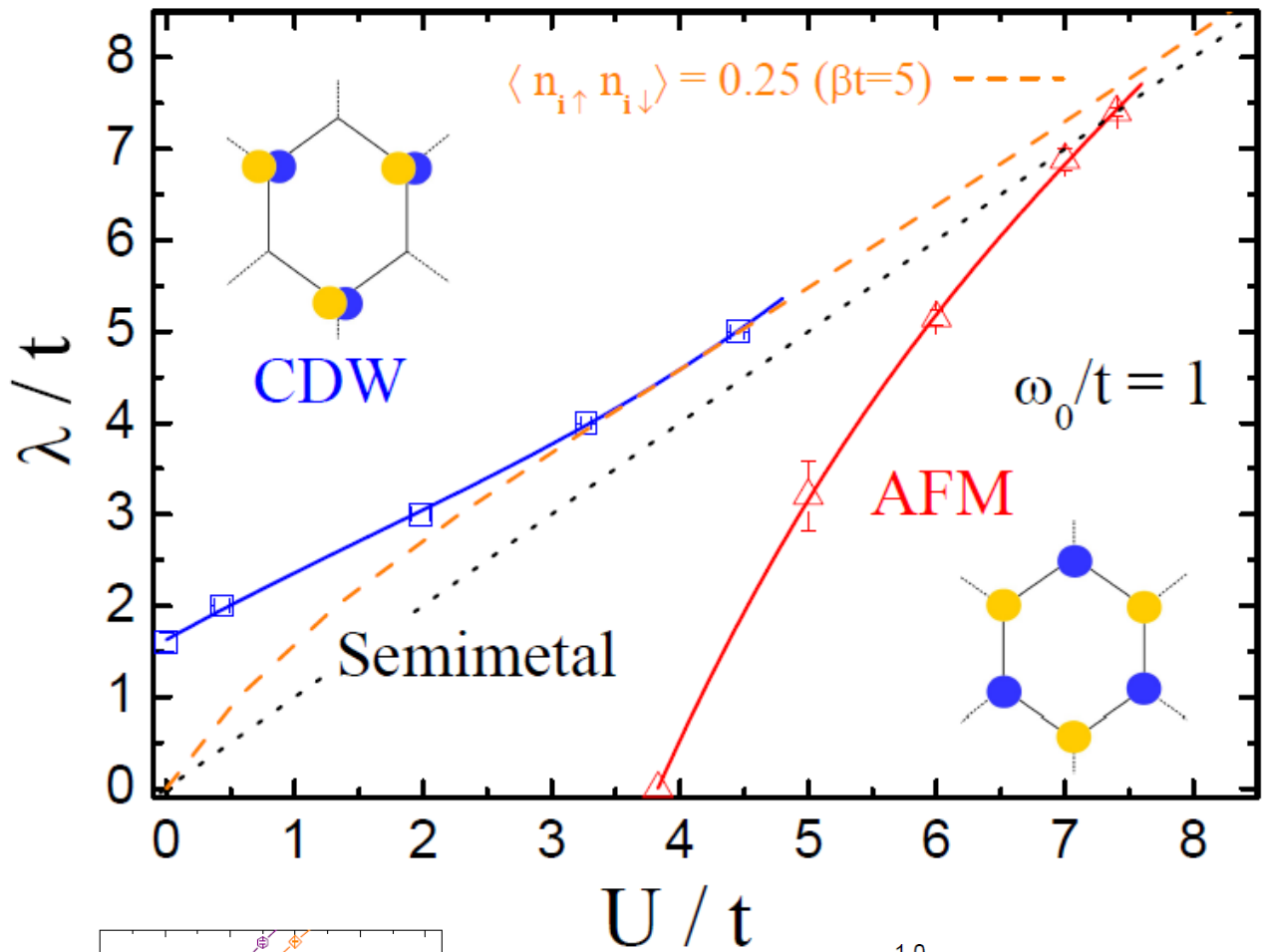
$U_c^{cdw}/t = 1.98(7)$



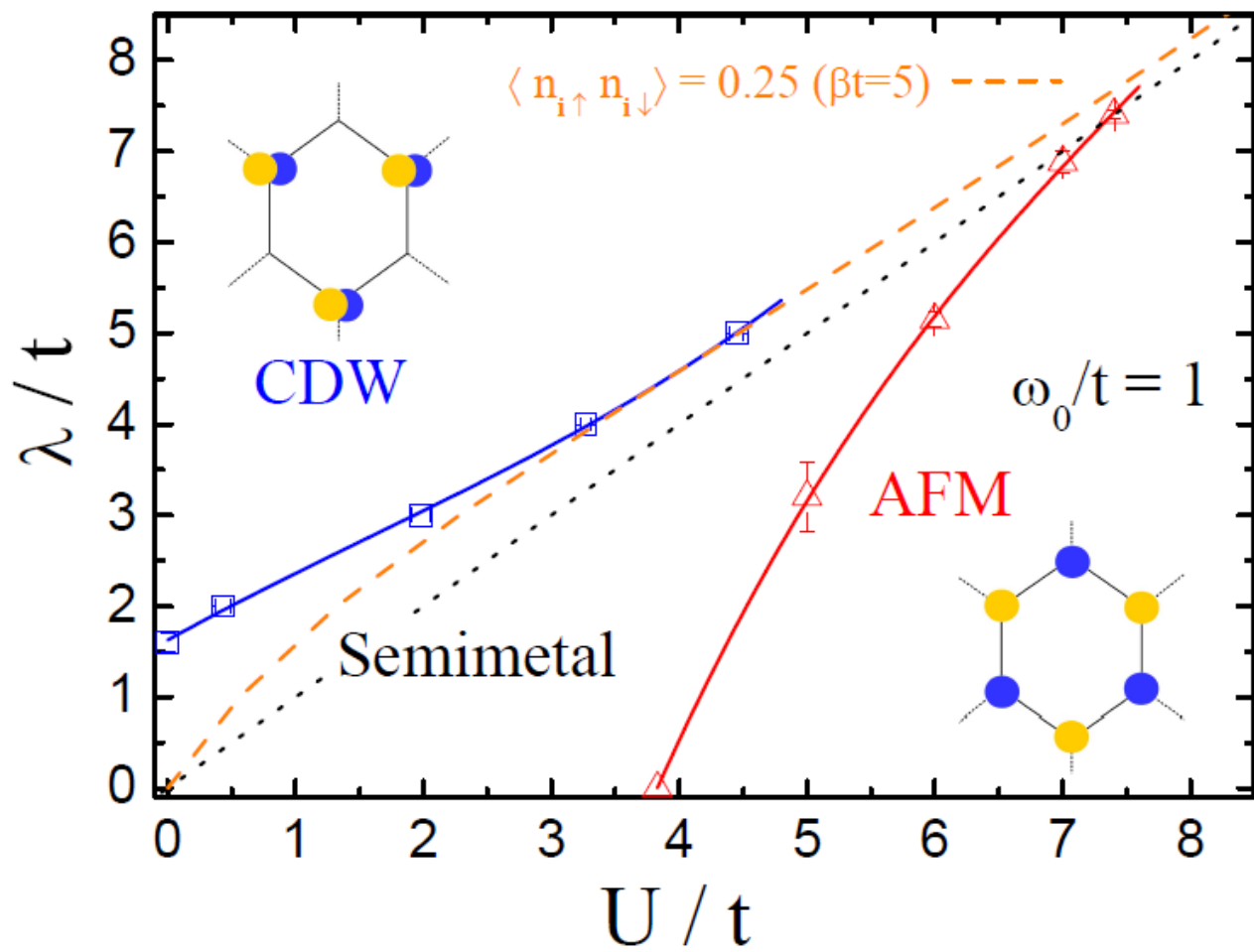
# The phase diagram of the Hubbard-Holstein model (honeycomb)



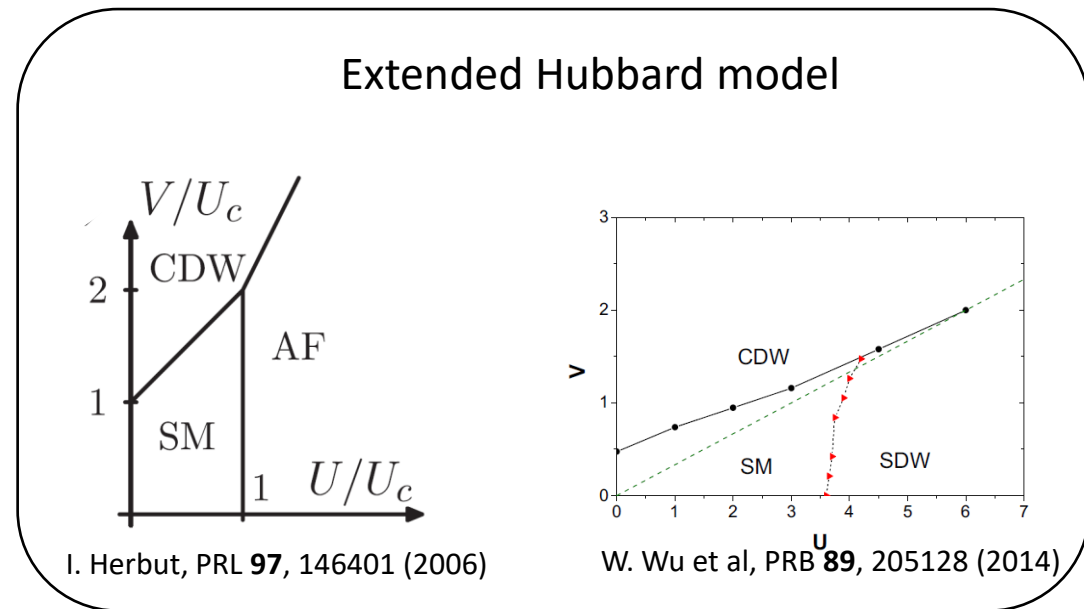
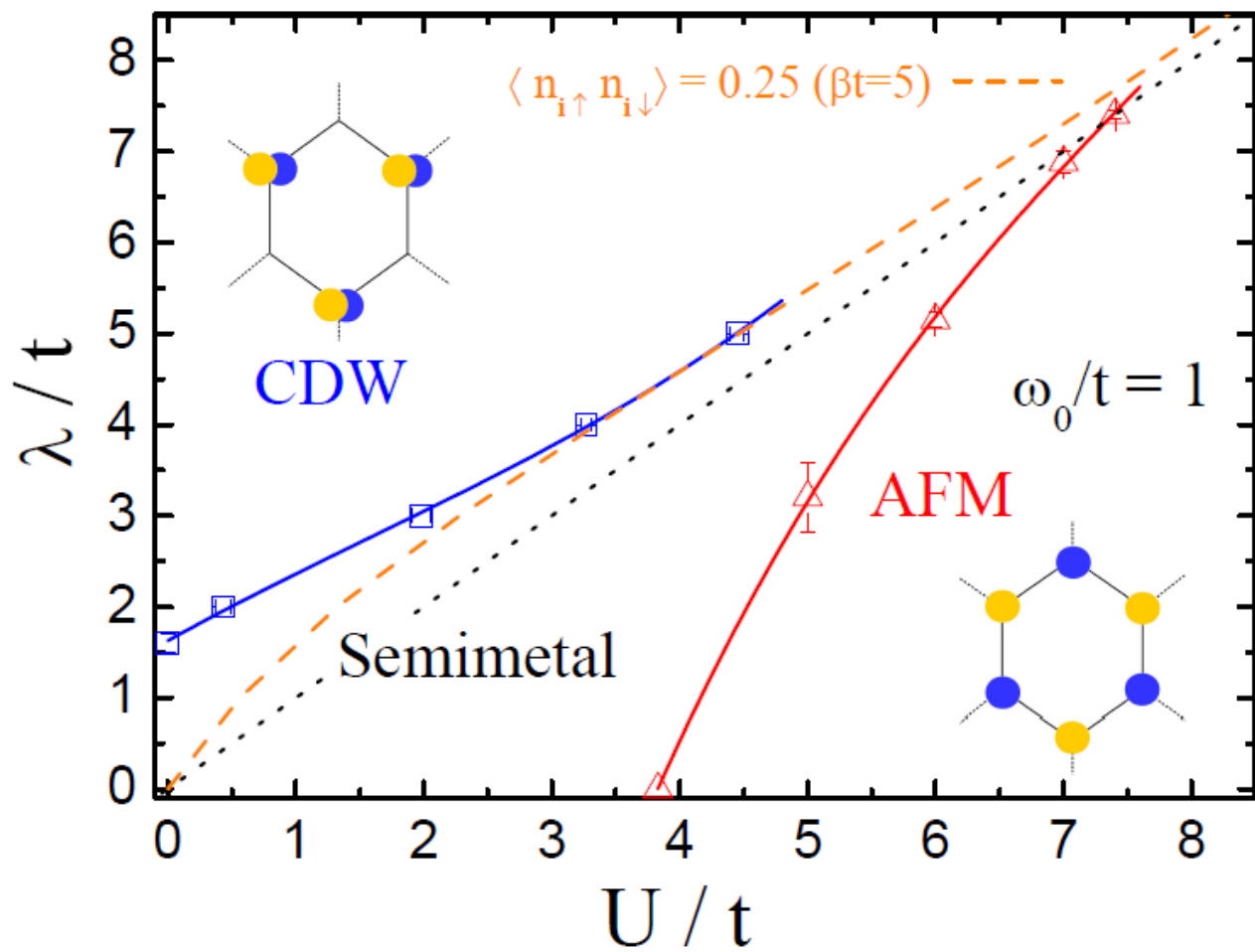
# The phase diagram of the Hubbard-Holstein model (honeycomb)



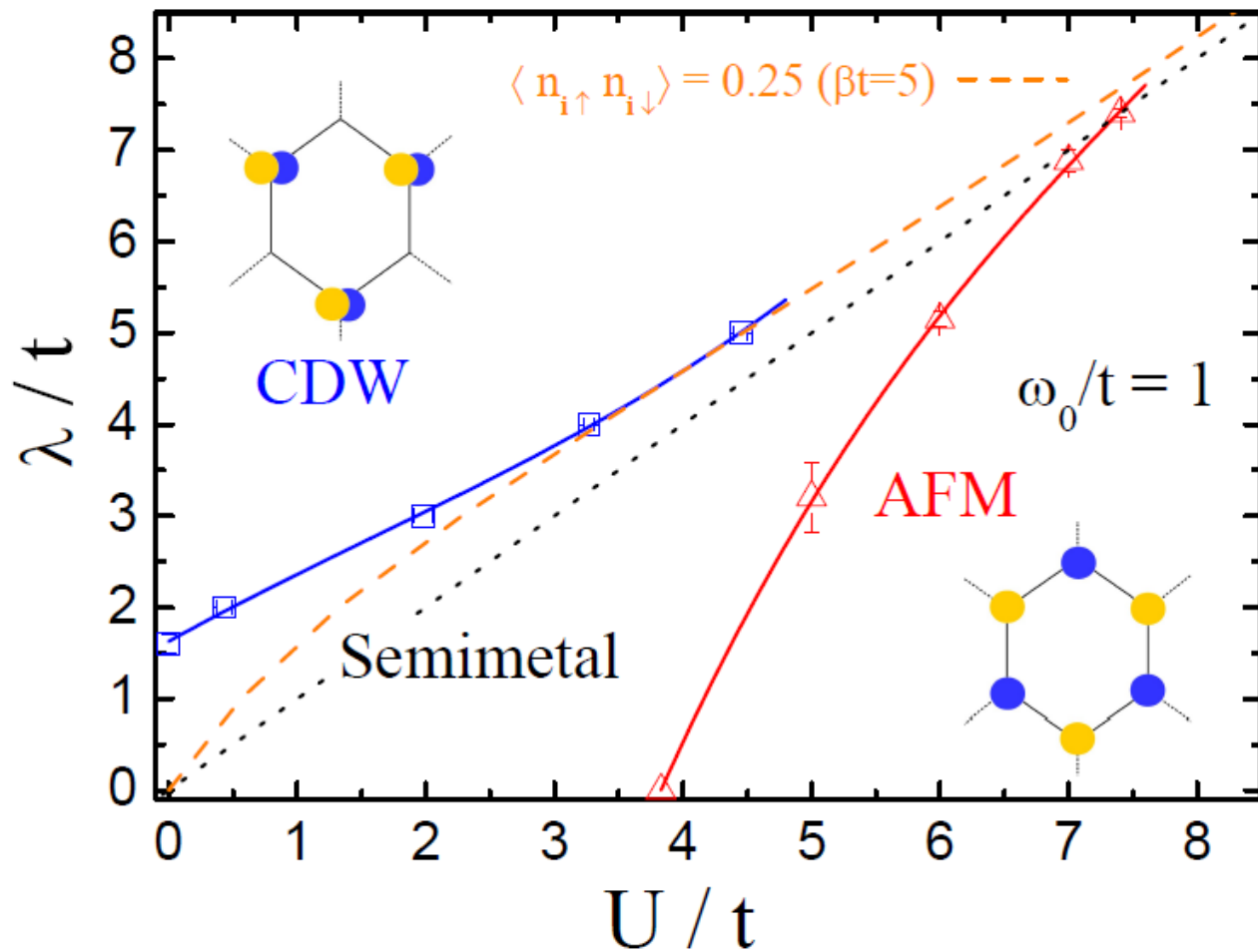
The phase diagram of the Hubbard-Holstein model (honeycomb)



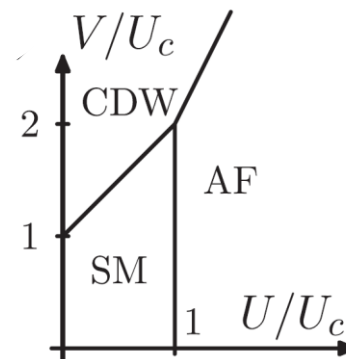
# The phase diagram of the Hubbard-Holstein model (honeycomb)



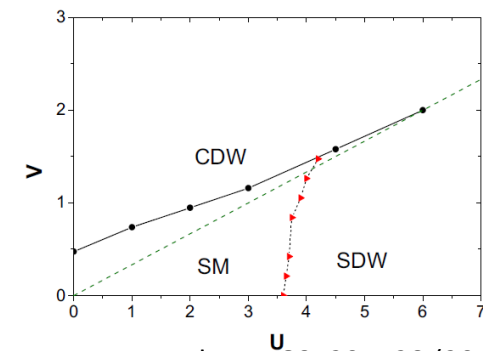
# The phase diagram of the Hubbard-Holstein model (honeycomb)



## Extended Hubbard model

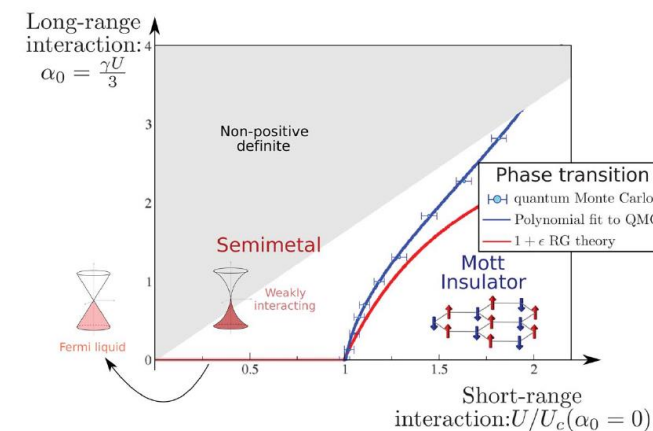


I. Herbut, PRL **97**, 146401 (2006)



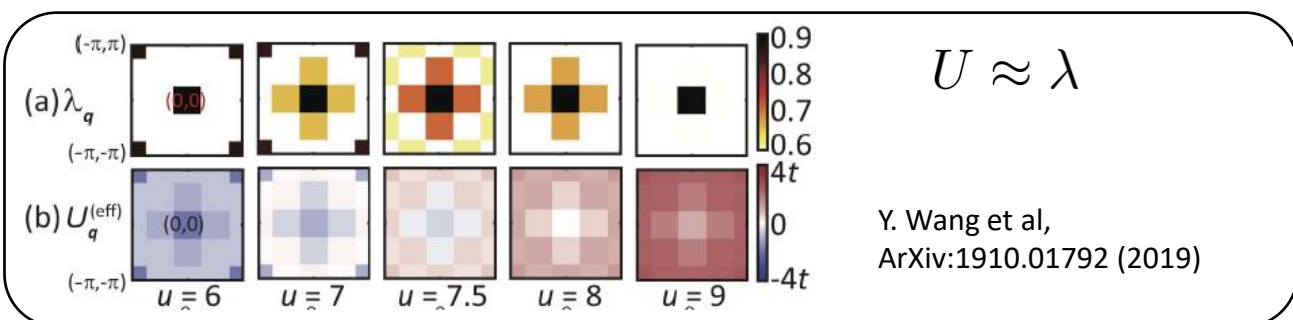
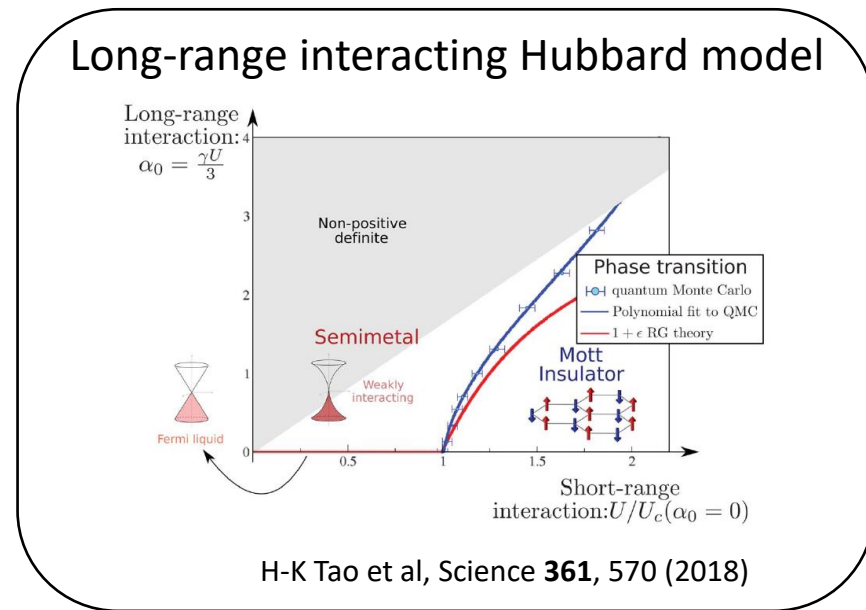
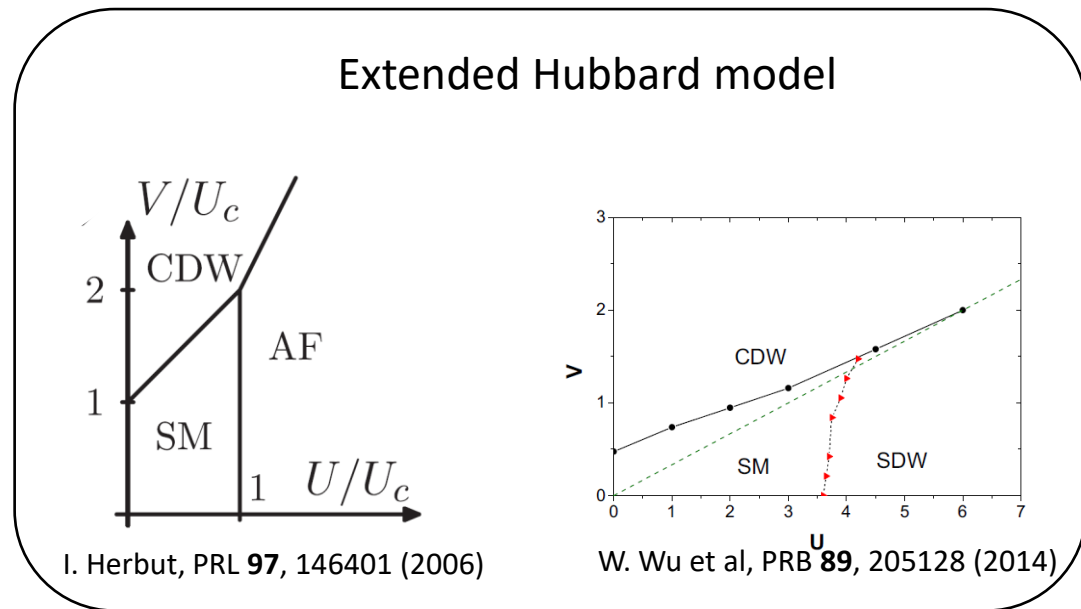
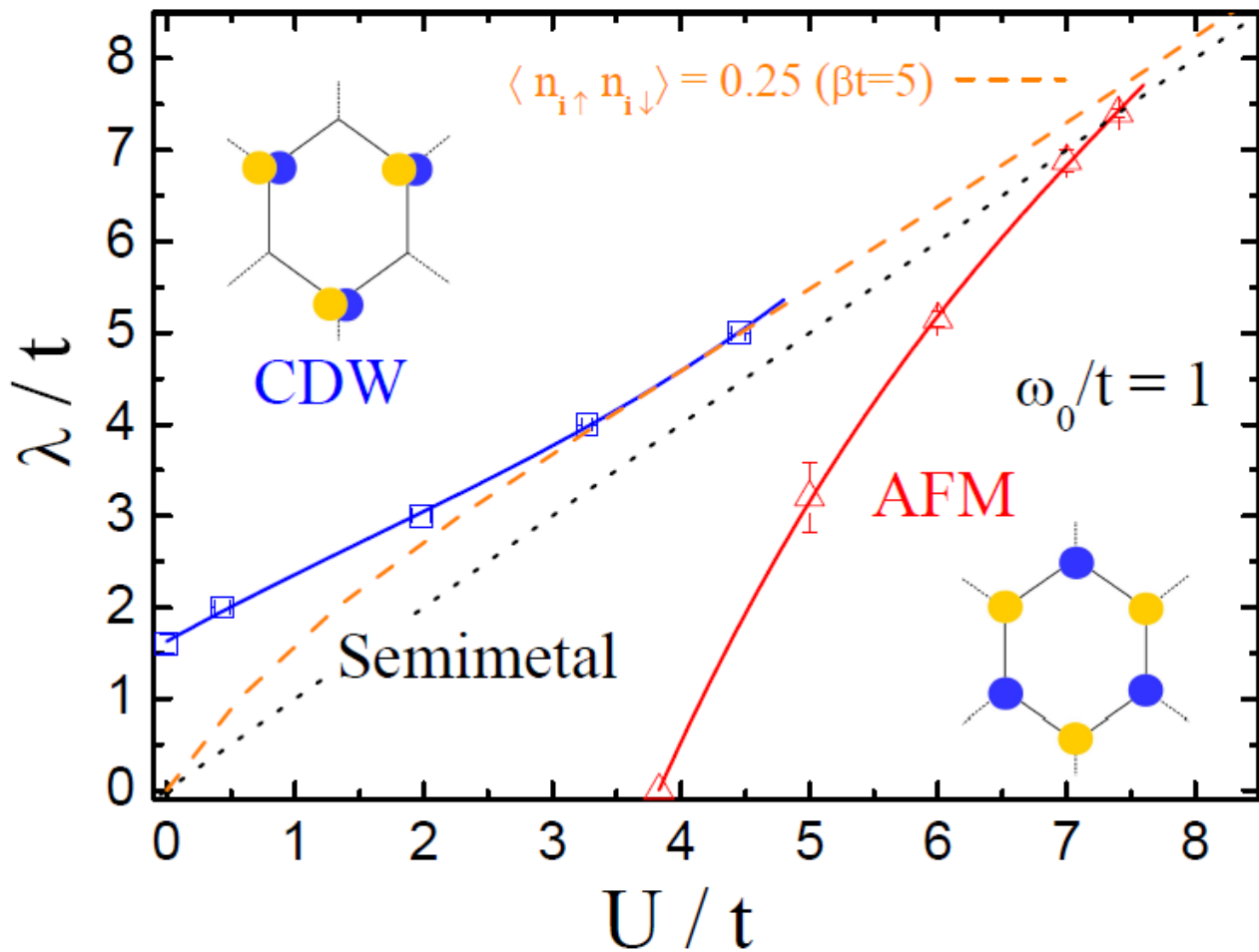
W. Wu et al, PRB **89**, 205128 (2014)

## Long-range interacting Hubbard model



H-K Tao et al, Science **361**, 570 (2018)

# The phase diagram of the Hubbard-Holstein model (honeycomb)





## Partial Conclusions

- Complete description of the QCPs of pure Holstein model;
- Existence of a metal-AFM transition on the line  $U=\lambda$ , with its critical coupling strength depending on  $\omega_0$ ;
- First unbiased phase diagram for the HHM in the honeycomb lattice, presenting its dependence with  $\omega_0$ ;

## Magnetism and charge order in the honeycomb lattice

Natanael C. Costa,<sup>1,2,\*</sup> Kazuhiro Seki,<sup>3</sup> and Sandro Sorella<sup>1</sup>

<sup>1</sup>*International School for Advanced Studies (SISSA), Via Bonomea 265, 34136, Trieste, Italy*

<sup>2</sup>*Instituto de Física, Universidade Federal do Rio de Janeiro Cx.P. 68.528, 21941-972 Rio de Janeiro RJ, Brazil*

<sup>3</sup>*Computational Quantum Matter Research Team, RIKEN,  
Center for Emergent Matter Science (CEMS), Saitama 351-0198, Japan*

N. Costa et al, ArXiv: 2009.05586 (2020)

Submitted for publication

# Outline

- Introduction
  - Peierls Instability and CDW formation
  - Experimental motivation
- The model and methodology
- Results
  - CDW, AFM and pairing in the square lattice
  - CDW and AFM in the honeycomb lattice
- Outlooks



# Outlooks

- Away from half-filling;
- Frustration (next-nearest neighbor hopping);
- Momentum-dependent EPC;
- Spin-orbit interactions.

An aerial photograph of a coastal city, likely Rijeka, Croatia. The city is built on a hillside with dense green trees and numerous houses with red-tiled roofs. A prominent stone bridge with multiple arches spans across a valley. To the right, a large harbor with several piers and a marina filled with sailboats is visible. In the background, there are rolling hills and mountains under a clear blue sky with a few wispy clouds. The text "Thank you for your attention!" is overlaid in the center of the image in a large, bold, black font.

**Thank you for  
your attention!**



## Peierls Instability

Kohn anomaly

$$\hbar^2 \ddot{Q}_{\mathbf{q}} = - \left[ [Q_{\mathbf{q}}, \mathcal{H}], \mathcal{H} \right]$$

$$\ddot{Q}_{\mathbf{q}} = -\omega_{\mathbf{q}}^2 Q_{\mathbf{q}} - g \left( \frac{2\omega_{\mathbf{q}}}{\hbar} \right)^{1/2} \rho(\mathbf{q})$$

$$\rho(\mathbf{q}, T) = \chi_0(\mathbf{q}, T) g \left( \frac{2\omega_{\mathbf{q}}}{\hbar} \right)^{1/2} Q_{\mathbf{q}}$$

$$\tilde{\omega}_{\mathbf{q}}^2(T) = \omega_{\mathbf{q}}^2 \left( 1 - \frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} \chi_0(\mathbf{q}, T) \right)$$

# Introduction

X. Zhu et al., *Proc. Natl Acad. Sci. USA* **112**, 2367–2371 (2015)

## Peierls Instability

Kohn anomaly

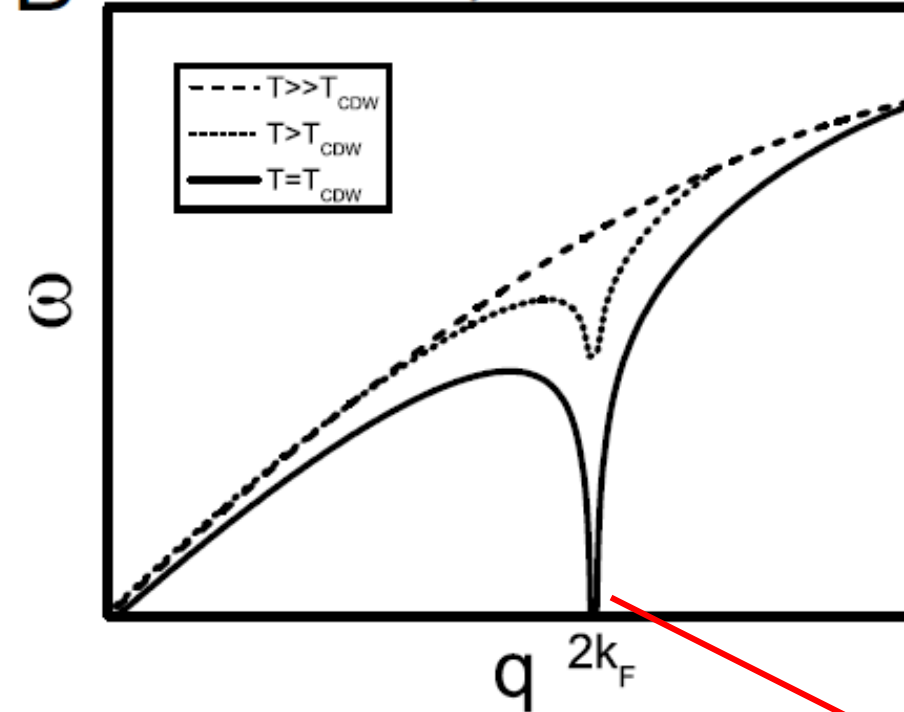
$$\hbar^2 \ddot{Q}_{\mathbf{q}} = - \left[ [Q_{\mathbf{q}}, \mathcal{H}], \mathcal{H} \right]$$

$$\ddot{Q}_{\mathbf{q}} = -\omega_{\mathbf{q}}^2 Q_{\mathbf{q}} - g \left( \frac{2\omega_{\mathbf{q}}}{\hbar} \right)^{1/2} \rho(\mathbf{q})$$

$$\rho(\mathbf{q}, T) = \chi_0(\mathbf{q}, T) g \left( \frac{2\omega_{\mathbf{q}}}{\hbar} \right)^{1/2} Q_{\mathbf{q}}$$

$$\tilde{\omega}_{\mathbf{q}}^2(T) = \omega_{\mathbf{q}}^2 \left( 1 - \frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} \chi_0(\mathbf{q}, T) \right)$$

**B** 1D: Kohn Anomaly



$$\tilde{\omega}(\vec{q}_{\text{nesting}})^2 < 0$$

**Permanent  
deformation  
on the lattice**



## Peierls Instability

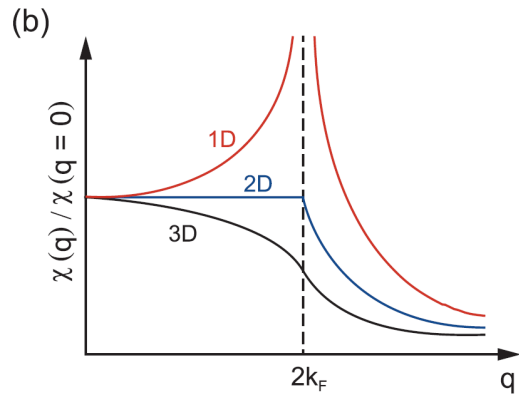
Summary...

- Quasi-1D systems;
- Divergence on real and imaginary parts of electronic susceptibility (FSN);
- Metal-insulator transition at  $T_{\text{CDW}}$ ;
- Phonon softening at  $\mathbf{q}=2\mathbf{k}_F$ .

## The Nature of CDW

Criterion to CDW  
(Perturbation theory)

$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$



C.-W. Chen et al., Rep. Prog. Phys. **79** 084505 (2016)

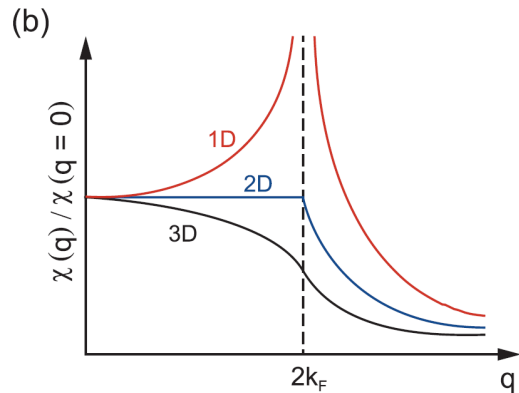
**Ideal  
1D Systems!**

# Introduction

## The Nature of CDW

Criterion to CDW  
(Perturbation theory)

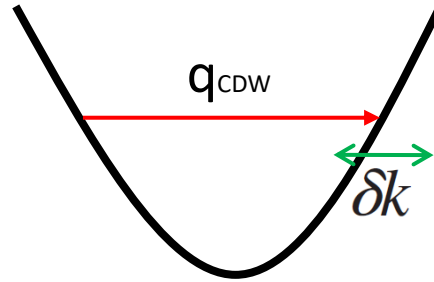
$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$



C.-W. Chen et al., Rep. Prog. Phys. **79** 084505 (2016)

**Ideal  
1D Systems!**

- What if we do not have an ideal 1D system? (“bad nesting”)
- How does temperature affect the electronic susceptibility?

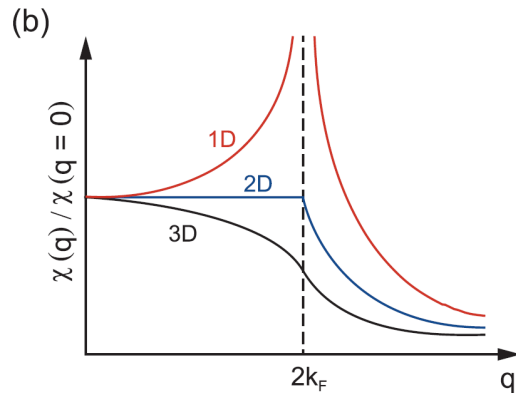


# Introduction

## The Nature of CDW

Criterion to CDW  
(Perturbation theory)

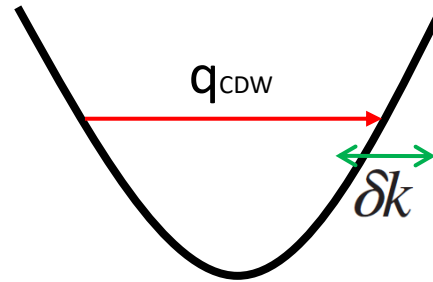
$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$



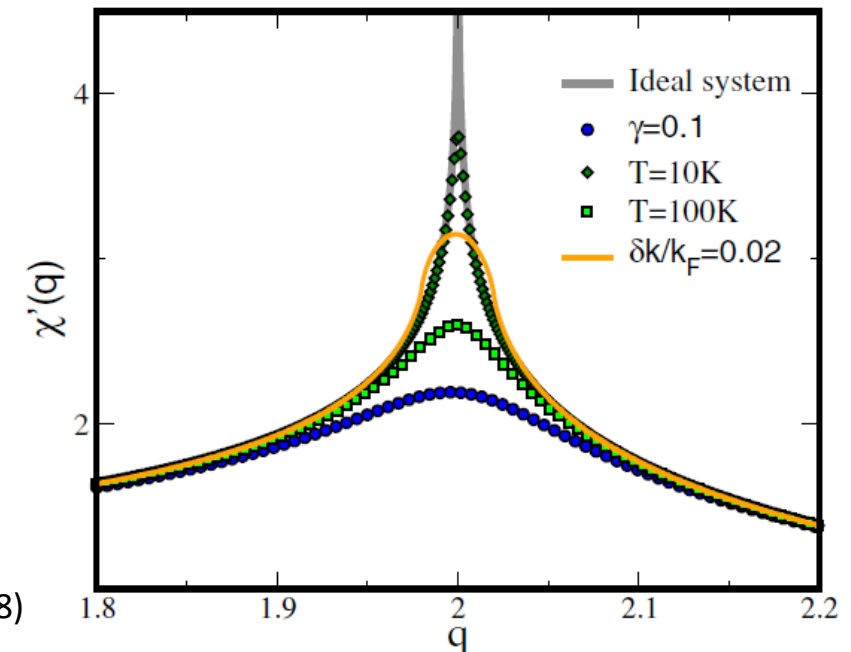
C.-W. Chen et al., Rep. Prog. Phys. **79** 084505 (2016)

**Ideal  
1D Systems!**

- What if we do not have an ideal 1D system? (“bad nesting”)
- How does temperature affect the electronic susceptibility?



$$\chi'(q) = \frac{1}{2\delta k} \ln \left| \frac{q^2 - (2k_F - \delta k)^2}{q^2 + (2k_F - \delta k)^2} \right| + \frac{k_F}{\delta k q} \ln \left| \frac{(q - \delta k)^2 - 4k_F^2}{(q + \delta k)^2 - 4k_F^2} \right| + \frac{1}{2q} \ln \left| \frac{(q - 2k_F)^2 - \delta k^2}{(q + 2k_F)^2 - \delta k^2} \right|,$$



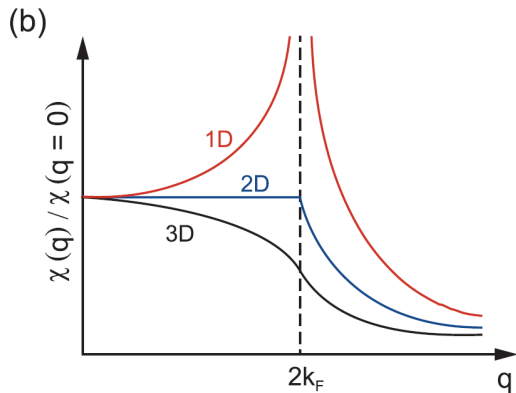
M.D. Johannes, I.I. Mazin, Phys Rev B **77** 165135 (2008)

# Introduction

## The Nature of CDW

Criterion to CDW  
(Perturbation theory)

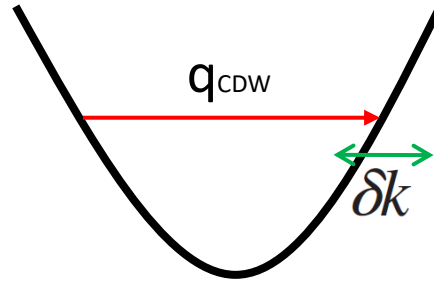
$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$



C.-W. Chen et al., Rep. Prog. Phys. **79** 084505 (2016)

**Ideal  
1D Systems!**

- What if we do not have an ideal 1D system? (“bad nesting”)
- How does temperature affect the electronic susceptibility?



$$\chi'(q) = \frac{1}{2\delta k} \ln \left| \frac{q^2 - (2k_F - \delta k)^2}{q^2 + (2k_F - \delta k)^2} \right| + \frac{k_F}{\delta k q} \ln \left| \frac{(q - \delta k)^2 - 4k_F^2}{(q + \delta k)^2 - 4k_F^2} \right| + \frac{1}{2q} \ln \left| \frac{(q - 2k_F)^2 - \delta k^2}{(q + 2k_F)^2 - \delta k^2} \right|,$$

**The electron-phonon coupling (EPC) and/or the phonon dispersion should play a central role in CDW formation!!**

M.D. Johannes, I.I. Mazin, Phys Rev B **77** 165135 (2008)

